

INDEX - PERIODIC DISCLOSURES

S.No.	Form No	Description	Page No.
1	NL-1-B-RA	Revenue Account	1
2	NL-2-B-PL	Profit & Loss Account	2
3	NL-3-B-BS	Balance Sheet	3
4	NL-4-PREMIUM SCHEDULE	Premium	4
5	NL-5-CLAIMS SCHEDULE	Claims Incurred	5
6	NL-6-COMMISSION SCHEDULE	Commission	6
7	NL-7-OPERATING EXPENSES SCHEDULE	Operating Expenses	7
8	NL-8-SHARE CAPITAL SCHEDULE	Share Capital	8
9	NL-9-PATTERN OF SHAREHOLDING SCHEDULE	Pattern of Shareholding	9
10	NL-10-RESERVE AND SURPLUS SCHEDULE	Reserves and Surplus	10
11	NL-11-BORROWING SCHEDULE	Borrowings	11
12	NL-12-INVESTMENT SCHEDULE	Shareholders	12-13
13	NL-13-LOANS SCHEDULE	Loans	14
14	NL-14-FIXED ASSETS SCHEDULE	Fixed Assets	15
15	NL-15-CASH AND BANK BALANCE SCHEDULE	Cash and Bank Balance	16
16	NL-16-ADVANCES AND OTHER ASSETS SCHEDULE	Advances & Other Assets	17
17	NL-17-CURRENT LIABILITIES SCHEDULE	Current Liabilities	18
18	NL-18-PROVISIONS SCHEDULE	Provisions	19
19	NL-19-MISC EXPENDITURE SCHEDULE	Misc Expenditure	20
20	NL-20-RECEIPTS AND PAYMENT SCHEDULE	Receipts & Payment Statement	21
21	NL-21-STATEMENT OF LIABILITIES	Statement of Liabilities	22
22	NL-22-GEOGRAPHICAL DISTN OF BSNS	Geographical Distribution of Business	23
23	NL-23-REINSURANCE RISK CONCENTRATION	Reinsurance Risk Concentration	24
24	NL-24-AGEING OF CLAIMS	Ageing of Claims	25
25	NL-25-CLAIMS DATA	Claims Data	26
26	NL-26-CLAIMS INFORMATION	Claims Information	27
27	NL-27-OFFICE OPENING	Office Opening	28
28	NL-28-STATEMENT OF ASSETS	Statement of Investment of Assets	29
29	NL-29-DEBT SECURITIES	Debt Securities	30
30	NL-30-ANALYTICAL RATIOS	Analytical Ratios	31
31	NL-31-RELATED PARTY TRANSACTIONS	Related Party Transactions	32-33
32	NL-32-PRODUCT INFORMATION	Product Information	34
33	NL-33-SOLVENCY MARGIN	Solvency	35
34	NL-34-BOD	Board of Directors & Management	36
35	NL-35-NPAs	NPAs	37
36	NL-36-YIELD ON INVESTMENTS	Yield on Investment	38
37	NL-37-DOWN GRADING OF INVESTMENTS	Downgrading of Investment	39
38	NL-38-BSNS RETURNS ACROSS LOB	Quarterly Business Returns for different line of business (Premum amount and number of policies)	40
39	NL-39-RURAL AND SOCIAL SECTOR OBLIGATIONS	Rural & Social Sector Obligations	41
40	NL-40-CHANNEL WISE PREMIUM	Business Acquisition through different channels	42
41	NL-41-GRIEVANCE DISPOSAL	Grievance Disposal	43
	Stewardship Annexure 1	Disclosure of voting activities in general meetings of investee companies in which the insurers have actively participated and voted	44



PERIODIC DISCLOSURES

FORM NL-1 B-RA

Allianz Global Corporate & Specialty SE, India Branch
IRDAI Registration No. FRB/010 dated August 6, 2018

Revenue Account for the year ended 31st March 2020

(Rs.' 000)

Particulars	Schedule	Fire		Marine		Miscellaneous		Total	
		FY 2019-20	FY 2018-19	FY 2019-20	FY 2018-19	FY 2019-20	FY 2018-19	FY 2019-20	FY 2018-19
1. Premiums earned (Net)	NL-4-Premium Schedule	635,770	89,659	58,454	4,784	230,995	126,091	925,219	220,534
2. Profit/Loss on sale/redemption of Investments		-	-	-	-	-	-	-	-
3. Others		37,675	16,440	3,806	392	14,856	14,997	56,337	31,829
4. Interest, Dividend & Rent - Gross		24,007	-	2,757	-	14,073	-	40,837	-
Total (A)		697,452	106,099	65,017	5,176	259,924	141,088	1,022,393	252,363
1. Claims Incurred (Net)	NL-5-Claims Schedule	552,915	59,110	43,786	8,857	198,705	81,874	795,406	149,841
2. Commission	NL-6-Commission Schedule	32,211	28,847	8,348	2,223	6,239	70,299	46,798	101,369
3. Operating Expenses related to Insurance Business	NL-7-Operating Expenses Schedule	160,832	121,138	15,225	2,711	61,122	106,411	237,179	230,260
4. Premium deficiency		-	-	-	-	1,449	-	1,449	-
Total (B)		745,958	209,095	67,359	13,791	267,515	258,584	1,080,832	481,470
Operating Profit/(Loss)		(48,506)	(102,996)	(2,342)	(8,615)	(7,591)	(117,496)	(58,439)	(229,107)
Appropriations									
Transfer to Shareholders' Funds		(48,506)	(102,996)	(2,342)	(8,615)	(7,591)	(117,496)	(58,439)	(229,107)
Transfer to Catastrophe Reserve		-	-	-	-	-	-	-	-
Transfer to Other Reserves		-	-	-	-	-	-	-	-
Total (C)		(48,506)	(102,996)	(2,342)	(8,615)	(7,591)	(117,496)	(58,439)	(229,107)



PERIODIC DISCLOSURES

FORM NL-2 B-PL

Allianz Global Corporate & Specialty SE, India Branch
IRDAI Registration No. FRB/010 dated August 6, 2018

Profit and Loss Account for the year ended 31st March 2020

(Rs.' 000)

Particulars	Schedule	FY 2019-20	FY 2018-19
1. Operating Profit/(Loss)			
(a) Fire Insurance		(48,506)	(102,996)
(b) Marine Insurance		(2,342)	(8,615)
(c) Miscellaneous Insurance		(7,591)	(117,496)
2. Income from investments			
(a) Interest, Dividend & Rent - Gross		52,245	-
Add/Less : Amortization on Securities		(6,195)	-
(b) Profit on sale of investments		-	-
Less : Loss on sale of investments		-	-
3. Other Income		-	-
Total (A)		(12,389)	(229,107)
4. Provisions (Other than taxation)			
(a) For diminution in the value of investments		-	-
(b) For Doubtful Debts		-	-
(c) Others (to be specified)		-	-
5. Other Expenses			
(a) Expenses other than those related to Insurance Business		6,764	150
(b) Bad Debts written off		-	-
(c) Others-CSR		-	-
Total (B)		6,764	150
Profit before Tax (A-B)		(19,153)	(229,257)
Provision for Taxation (MAT)		-	-
Minimum Alternate Tax-Credit		-	-
Deferred Tax		-	-
Profit / (Loss) after tax		(19,153)	(229,257)
Appropriations			
(a) Interim dividends paid during the period		-	-
(b) Proposed final dividend		-	-
(c) Dividend distribution tax		-	-
(d) Transfer to any Reserves or Other Accounts (to be specified)		-	-
Balance of profit / loss brought forward		(229,257)	-
Balance carried forward to Balance Sheet		(248,410)	(229,257)



PERIODIC DISCLOSURES

FORM NL-3 B-BS

Allianz Global Corporate & Specialty SE, India Branch
IRDAI Registration No. FRB/010 dated August 6, 2018

Balance Sheet as at 31st March 2020

(Rs.' 000)

Particulars	Schedule	As at 31st March 2020	As at 31st March 2019
Source of Funds			
Share Capital*	NL-8-Share Capital Schedule	-	-
Reserves and Surplus	NL-10-Reserves and Surplus Schedule	-	-
Head Office Account		2,002,373	2,002,373
Fair Value Change Account		-	-
Policyholders		-	-
Shareholders		-	-
Borrowings	NL-11-Borrowings Schedule	-	-
Total		2,002,373	2,002,373
Application of Funds			
Investments - Shareholders	NL-12-Investment Schedule	1,445,590	-
Investments - Policyholders	NL-12-Investment Schedule	1,281,939	-
Loans	NL-13-Loans Schedule	-	-
Fixed Assets	NL-14-Fixed Assets Schedule		
Gross Block		18,485	14,706
Less Accumulated Depreciation		6,601	1,941
Net Block		11,884	12,765
Capital Work in Process		-	-
		11,884	12,765
Deferred Tax Assets		-	-
Current Assets (A)			
(i) Cash and Bank balances	NL-15-Cash and bank balance Schedule	651,721	1,954,023
(ii) Advances and Other Assets	NL-16-Advances and Other Assets Schedule	703,070	1,046,863
		1,354,791	3,000,886
Current Liabilities	NL-17-Current Liabilities Schedule	2,055,006	857,649
Provisions	NL-18-Provisions Schedule	285,235	382,886
Total (B)		2,340,241	1,240,535
Net Current Assets (A - B)		(985,450)	1,760,351
Miscellaneous Expenditure (to the extent not written off or adjusted)	NL-19-Miscellaneous Expenditure Schedule	-	-
Debit balance in Profit and Loss Account		248,410	229,257
Total		2,002,373	2,002,373

* It is not applicable to Branch of Foreign Reinsurance Company.

Contingent Liabilities

Particulars	As at 31st March 2020	As at 31st March 2019
1. Partly paid-up investments	-	-
2. Claims, other than against policies, not acknowledged as debts by the company	-	-
3. Underwriting commitments outstanding (in respect of shares and securities)	-	-
4. Guarantees given by or on behalf of the Company	-	-
5. Statutory demands/ liabilities in dispute, not provided for (Note below)	-	-
6. Reinsurance obligations to the extent not provided for in accounts	-	-
7. Others (to be specified)	-	-
Total	-	-



PERIODIC DISCLOSURES

FORM NL-4 PREMIUM SCHEDULE

Allianz Global Corporate & Specialty SE, India Branch
IRDAI Registration No. FRB/010 dated August 6, 2018

Premium Earned (Net)

(Rs.' 000)

Particulars	For the year ended 31st March 2020													Total	
	Fire	Marine		Miscellaneous									Others		
		Marine Cargo	Marine Other	Personal Accident	Health Insurance	Engineering	Aviation	Liability	Motor OD	Motor TP	Motor TP Pool	Workmen Compensation			
Premium from direct business written	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Add : Premium on reinsurance accepted	1,240,557	238,571	3,957	-	-	95,931	10,378	889,735	-	-	-	-	-	18,846	2,497,975
Less: Premium on reinsurance ceded	678,898	185,784	-	-	-	65,914	10,378	738,044	-	-	-	-	-	-	1,679,018
Net Premium	561,659	52,787	3,957	-	-	30,017	-	151,691	-	-	-	-	-	18,846	818,957
Adjustment for change in reserve for unexpired risks	(74,111)	(612)	(1,098)	-	-	13,403	-	(48,422)	-	-	-	-	-	4,578	(106,262)
Total Premium Earned (Net)	635,770	53,399	5,055	-	-	16,614	-	200,113	-	-	-	-	-	14,268	925,219

(Rs.' 000)

Particulars	For the year ended 31st March 2019													Total	
	Fire	Marine		Miscellaneous									Others		
		Marine Cargo	Marine Other	Personal Accident	Health Insurance	Engineering	Aviation	Liability	Motor OD	Motor TP	Motor TP Pool	Workmen Compensation			
Premium from direct business written	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Add : Premium on reinsurance accepted	670,542	5,625	1,976	-	-	-	-	344,200	-	-	-	-	-	313	1,022,656
Less: Premium on reinsurance ceded	361,821	238	-	-	-	358	-	62,544	-	-	-	-	-	-	424,961
Net Premium	308,721	5,387	1,976	-	-	(358)	-	281,656	-	-	-	-	-	313	597,695
Adjustment for change in reserve for unexpired risks	219,062	1,481	1,098	-	-	(358)	-	155,878	-	-	-	-	-	-	377,161
Total Premium Earned (Net)	89,659	3,906	878	-	-	-	-	125,778	-	-	-	-	-	313	220,534



PERIODIC DISCLOSURES

FORM NL-5 CLAIMS SCHEDULE

Allianz Global Corporate & Specialty SE, India Branch
IRDAI Registration No. FRB/010 dated August 6, 2018

Claims Incurred [Net]

(Rs.' 000)

Particulars	For the year ended 31st March 2020													Total	
	Fire	Marine		Miscellaneous											
		Marine Cargo	Marine Other	Personal Accident	Health Insurance	Engineering	Aviation	Liability	Motor OD	Motor TP	Motor TP Pool	Workmen Compensation	Others		
Claims Paid	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Direct	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Add : Reinsurance accepted	107,554	32,255	-	-	-	-	-	-	-	-	-	-	-	7,263	147,072
Less: Reinsurance ceded	27,036	23,507	-	-	-	-	-	-	-	-	-	-	-	-	50,543
Net Claims Paid	80,518	8,748	-	-	-	-	-	-	-	-	-	-	-	7,263	96,529
Add : Claims outstanding at the end	531,507	43,895	-	-	-	10,680	-	250,894	-	-	-	-	-	11,742	848,718
Less : Claims outstanding at the beginning	59,110	8,857	-	-	-	-	-	81,678	-	-	-	-	-	196	149,841
Total Claims Incurred	552,915	43,786	-	-	-	10,680	-	169,216	-	-	-	-	-	18,809	795,406

(Rs.' 000)

Particulars	For the year ended 31st March 2019													Total	
	Fire	Marine		Miscellaneous											
		Marine Cargo	Marine Other	Personal Accident	Health Insurance	Engineering	Aviation	Liability	Motor OD	Motor TP	Motor TP Pool	Workmen Compensation	Others		
Claims Paid	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Direct	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Add : Reinsurance accepted	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Less: Reinsurance ceded	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Net Claims Paid	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Add : Claims outstanding at the end	59,110	8,857	-	-	-	-	-	81,678	-	-	-	-	-	196	149,841
Less : Claims outstanding at the beginning	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Total Claims Incurred	59,110	8,857	-	-	-	-	-	81,678	-	-	-	-	-	196	149,841



PERIODIC DISCLOSURES

FORM NL-6 COMMISSION SCHEDULE

Allianz Global Corporate & Specialty SE, India Branch
IRDAI Registration No. FRB/010 dated August 6, 2018

Commission

(Rs.' 000)

Particulars	For the year ended 31st March 2020													Total
	Fire	Marine		Miscellaneous										
		Marine Cargo	Marine Other	Personal Accident	Health Insurance	Engineering	Aviation	Liability	Motor OD	Motor TP	Motor TP Pool	Workmen Compensation	Others	
Commission Paid														
Direct	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Add : Reinsurance accepted	120,797	32,396	(395)	-	-	11,238	1,203	198,984	-	-	-	-	3,983	368,206
Less: Commission on reinsurance Ceded	88,586	23,653	-	-	-	7,058	1,307	200,804	-	-	-	-	-	321,408
Net Commission	32,211	8,743	(395)	-	-	4,180	(104)	(1,820)	-	-	-	-	3,983	46,798

Particulars	For the year ended 31st March 2019													Total
	Fire	Marine		Miscellaneous										
		Marine Cargo	Marine Other	Personal Accident	Health Insurance	Engineering	Aviation	Liability	Motor OD	Motor TP	Motor TP Pool	Workmen Compensation	Others	
Commission Paid														
Direct	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Add : Reinsurance accepted	85,386	1,828	395	-	-	-	-	79,760	-	-	-	-	63	167,432
Less: Commission on reinsurance Ceded	56,539	-	-	-	-	-	-	9,524	-	-	-	-	-	66,063
Net Commission	28,847	1,828	395	-	-	-	-	70,236	-	-	-	-	63	101,369



PERIODIC DISCLOSURES

FORM NL-7 OPERATING EXPENSES SCHEDULE

Allianz Global Corporate & Specialty SE, India Branch
IRDAI Registration No. FRB/010 dated August 6, 2018

Operating Expenses related to Insurance Business

(Rs.' 000)

Particulars	For the year ended 31st March 2020													Total
	Fire	Marine		Personal Accident	Health Insurance	Engineering	Aviation	Miscellaneous					Others	
		Marine Cargo	Marine Other					Liability	Motor OD	Motor TP	Motor TP Pool	Workmen Compensation		
1. Employees' Remuneration & Welfare Benefits	42,675	3,059	229	-	-	1,740	-	16,100	-	-	-	-	1,092	64,895
2. Travel , Conveyance and Vehicle Running Expenses	3,896	366	27	-	-	208	-	1,052	-	-	-	-	131	5,680
3. Training Expenses	314	30	2	-	-	17	-	85	-	-	-	-	11	459
4. Rents, Rates, and Taxes	16,584	1,559	117	-	-	886	-	4,479	-	-	-	-	556	24,181
5. Repairs	1,984	187	14	-	-	106	-	536	-	-	-	-	67	2,894
6. Printing & Stationery	76	7	1	-	-	4	-	21	-	-	-	-	3	112
7. Communication	1,076	101	8	-	-	57	-	290	-	-	-	-	36	1,568
8. Legal & Professional Charges	3,852	362	27	-	-	206	-	1,040	-	-	-	-	129	5,616
9. Auditors' Fees , Expenses etc.	823	77	6	-	-	44	-	222	-	-	-	-	28	1,200
(a) as auditor	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(b) as adviser or in any other capacity, in respect of	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(i) Taxation matters	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(ii) Insurance Matters	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(iii) Management services; and	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(c) in any other capacity	-	-	-	-	-	-	-	-	-	-	-	-	-	-
10. Advertisement and Publicity	201	19	1	-	-	11	-	54	-	-	-	-	7	293
11. Interest & Bank Charges	59	6	-	-	-	3	-	16	-	-	-	-	2	86
12. Others	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(a) Outsourcing Expenses	2,255	212	16	-	-	121	-	609	-	-	-	-	76	3,289
(b) Business Support	84,466	7,938	595	-	-	4,514	-	22,812	-	-	-	-	2,834	123,159
(c) Entertainment	184	17	1	-	-	10	-	49	-	-	-	-	6	267
(d) Gain/(Loss) on Foreign Exchange	(2,590)	(243)	(18)	-	-	(138)	-	(700)	-	-	-	-	(87)	(3,776)
(e) Subscription/Membership	1,756	165	12	-	-	94	-	474	-	-	-	-	59	2,560
(f) Miscellaneous-Others	25	2	-	-	-	1	-	7	-	-	-	-	1	36
13. Depreciation	3,196	300	23	-	-	171	-	863	-	-	-	-	107	4,660
14. GST Expenses	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Total	160,832	14,164	1,061	-	-	8,055	-	48,009	-	-	-	-	5,058	237,179

(Rs.' 000)

Particulars	For the year ended 31st March 2019													Total
	Fire	Marine		Personal Accident	Health Insurance	Engineering	Aviation	Miscellaneous					Others	
		Marine Cargo	Marine Other					Liability	Motor OD	Motor TP	Motor TP Pool	Workmen Compensation		
1. Employees' Remuneration & Welfare Benefits	21,114	239	87	-	-	(16)	-	15,165	-	-	-	-	14	36,603
2. Travel , Conveyance and Vehicle Running Expenses	1,268	22	8	-	-	(1)	-	1,157	-	-	-	-	1	2,455
3. Training Expenses	182	3	1	-	-	-	-	167	-	-	-	-	-	353
4. Rents, Rates, and Taxes	16,938	296	108	-	-	(20)	-	15,453	-	-	-	-	17	32,792
5. Repairs	1,578	28	10	-	-	(2)	-	1,440	-	-	-	-	2	3,056
6. Printing & Stationery	153	3	1	-	-	-	-	140	-	-	-	-	-	297
7. Communication	539	9	3	-	-	(1)	-	492	-	-	-	-	1	1,043
8. Legal & Professional Charges	10,503	183	67	-	-	(12)	-	9,582	-	-	-	-	11	20,334
9. Auditors' Fees , Expenses etc.	517	9	3	-	-	(1)	-	471	-	-	-	-	1	1,000
(a) as auditor	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(b) as adviser or in any other capacity, in respect of	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(i) Taxation matters	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(ii) Insurance Matters	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(iii) Management services; and	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(c) in any other capacity	-	-	-	-	-	-	-	-	-	-	-	-	-	-
10. Advertisement and Publicity	910	16	6	-	-	(1)	-	830	-	-	-	-	1	1,762
11. Interest & Bank Charges	13	-	-	-	-	-	-	12	-	-	-	-	-	25
12. Others	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(a) Outsourcing Expenses	2,711	47	17	-	-	(3)	-	2,474	-	-	-	-	3	5,249
(b) Business Support	61,356	1,071	393	-	-	(71)	-	55,982	-	-	-	-	62	118,793
(c) Entertainment	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(d) Gain/(Loss) on Foreign Exchange	1,062	19	7	-	-	(1)	-	969	-	-	-	-	1	2,057
(e) Subscription/Membership	1,291	23	8	-	-	(1)	-	1,178	-	-	-	-	1	2,500
(f) Miscellaneous-Others	-	-	-	-	-	-	-	-	-	-	-	-	-	-
13. Depreciation	1,003	18	6	-	-	(1)	-	914	-	-	-	-	1	1,941
14. GST Expenses	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Total	121,138	1,986	725	-	-	(131)	-	106,426	-	-	-	-	116	230,260



PERIODIC DISCLOSURES

FORM NL-8 SHARE CAPITAL SCHEDULE

Allianz Global Corporate & Specialty SE, India Branch
IRDAI Registration No. FRB/010 dated August 6, 2018

Share Capital

(Rs.'000)

Particulars	As at 31st March 2020	As at 31st March 2019
1. Authorized Capital 2. Issued Capital 3. Subscribed Capital 4. Called Up Capital Less : Calls Unpaid Add : Equity Shares Forfeited (Amount originally paid up) Less : Par value of Equity Shares bought back Less : Preliminary Expenses Less : Expenses Including commission or brokerage on underwriting or subscription of shares	Not Applicable (Refer note below)	
Total		

Note:

1. Not applicable as per Para 4 part (ii) of IRDAI Circular Ref. IRDA/F&A/CIR/CPM/056/03/2016.



PERIODIC DISCLOSURES

FORM NL-9 PATTERN OF SHAREHOLDING SCHEDULE

Allianz Global Corporate & Specialty SE, India Branch
IRDAI Registration No. FRB/010 dated August 6, 2018

Share Capital Pattern of Shareholding

Shareholder	As at 31st March 2020		As at 31st March 2019	
	Number of Shares	% of Holdings	Number of Shares	% of Holdings
Promoters Indian Foreign	Not Applicable (Refer note below)		Not Applicable (Refer note below)	
Others				
Total	-		-	

Note:

1. Not applicable as per Para 4 part (ii) of IRDAI Circular Ref. IRDA/F&A/CIR/CPM/056/03/2016.



PERIODIC DISCLOSURES

FORM NL-10 RESERVE AND SURPLUS SCHEDULE

Allianz Global Corporate & Specialty SE, India Branch
IRDAI Registration No. FRB/010 dated August 6, 2018

Reserves and Surplus

Particulars	(Rs. '000)	
	As at 31st March 2020	As at 31st March 2019
1. Capital Reserve	-	-
2. Capital Redemption Reserve	-	-
3. Share Premium	-	-
4. General Reserve	-	-
Less : Debit balance in Profit and Loss Account	-	-
Less : Amount utilized for Buy - Back	-	-
5. Catastrophe Reserve	-	-
6. Other Reserves	-	-
7. Balance of Profit in Profit & Loss Account	248,410	229,257
Total	248,410	229,257

Head Office Account

Particulars	(Rs. '000)	
	As at 31st March, 2020	As at 31st March, 2019
Assigned Capital	1,270,000	1,270,000
Add : Addition during the year	732,373	732,373
Closing Balance of Assigned Capital	2,002,373	2,002,373



PERIODIC DISCLOSURES

FORM NL-11 BORROWINGS SCHEDULE

Allianz Global Corporate & Specialty SE, India Branch
IRDAI Registration No. FRB/010 dated August 6, 2018

Borrowings

(Rs. '000)

Particulars	As at	As at
	31st March 2020	31st March 2019
1. Debenture/Bonds	-	-
2. Banks	-	-
3. Financial Institutions	-	-
4. Others	-	-
Total	-	-

PERIODIC DISCLOSURES

FORM NL-12 INVESTMENT SCHEDULE (POLICYHOLDERS)

Allianz Global Corporate & Specialty SE, India Branch
IRDAI Registration No. FRB/010 dated August 6, 2018

Investments

(Rs. '000)

Particulars	As at 31st March 2020	As at 31st March 2019
Long Term Investments		
1. Government Securities and Government guaranteed bonds including Treasury Bills	661,796	-
2. Other Approved Securities	118,629	-
3. Other Investments		
(a) Shares		
(i) Equity	-	-
(ii) Preference	-	-
(b) Mutual Funds	-	-
(c) Debentures / Bonds	-	-
(d) Investment Property - Real Estate	-	-
(e) Other Securities (Fixed Deposit)	-	-
4. Investments in Infrastructure & Housing	417,820	-
5. Other than Approved Investments	-	-
Sub - Total	1,198,245	-
Short Term Investments		
1. Government Securities and Government guaranteed bonds including Treasury Bills	70,552	-
2. Other Approved Securities	-	-
3. Other Investments		
(a) Shares		
(i) Equity	-	-
(ii) Preference	-	-
(b) Mutual Funds	-	-
(c) Debentures / Bonds	-	-
(d) Investment Property - Real Estate	-	-
(e) Other Securities (incl. fixed deposits)	-	-
4. Investments in Infrastructure & Housing	13,142	-
5. Other than Approved Investments	-	-
Sub - Total	83,694	-
Total	1,281,939	-

Note:

- All the above investments are performing assets.
- Aggregate book value of investments (other than listed equities and derivative instruments) is Rs. 1,281,939 thousand (previous year Rs. NIL).
- Aggregate market value of investments (other than listed equities and derivative instruments) is Rs.1,296,699 thousand (previous year Rs. NIL).
- Investment assets have been allocated in the ratio of policyholders and shareholders funds (refer note 4.5 in Schedule 16).
- Investment property Rs Nil (previous year Rs Nil).
- Value of contracts in relation to investments purchases where deliveries are pending Rs Nil (previous year Rs Nil) and in respect of sale of investments where payments are overdue Rs Nil (previous year Rs Nil).
- There is no investment in Equities, Mutual Funds and Derivatives.



PERIODIC DISCLOSURES

FORM NL-12 INVESTMENT SCHEDULE (SHAREHOLDERS)

Allianz Global Corporate & Specialty SE, India Branch
IRDAI Registration No. FRB/010 dated August 6, 2018

Investments

(Rs. '000)

Particulars	As at 31st March 2020	As at 31st March 2019
Long Term Investments		
1. Government Securities and Government guaranteed bonds including Treasury Bills	746,280	-
2. Other Approved Securities	133,773	-
3. Other Investments		
(a) Shares		
(i) Equity	-	-
(ii) Preference	-	-
(b) Mutual Funds	-	-
(c) Debentures / Bonds	-	-
(d) Investment Property - Real Estate	-	-
(e) Other Securities (Fixed Deposit)	-	-
4. Investments in Infrastructure & Housing	471,158	-
5. Other than Approved Investments	-	-
Sub - Total	1,351,211	-
Short Term Investments		
1. Government Securities and Government guaranteed bonds including Treasury Bills	79,559	-
2. Other Approved Securities	-	-
3. Other Investments		
(a) Shares		
(i) Equity	-	-
(ii) Preference	-	-
(b) Mutual Funds	-	-
(c) Debentures / Bonds	-	-
(d) Investment Property - Real Estate	-	-
(e) Other Securities (incl. fixed deposits)	-	-
4. Investments in Infrastructure & Housing	14,820	-
5. Other than Approved Investments	-	-
Sub - Total	94,379	-
Total	1,445,590	-

Note:

- All the above investments are performing assets.
- Aggregate book value of investments (other than listed equities and derivative instruments) is Rs. 1,445,590 thousand (previous year Rs. NIL).
- Aggregate market value of investments (other than listed equities and derivative instruments) is Rs.1,462,235 thousand (previous year Rs. NIL).
- Investment assets have been allocated in the ratio of policyholders and shareholders funds (refer note 4.5 in Schedule 16).
- Investment property Rs Nil (previous year Rs Nil).
- Value of contracts in relation to investments purchases where deliveries are pending Rs Nil (previous year Rs Nil) and in respect of sale of investments where payments are overdue Rs Nil (previous year Rs Nil).
- There is no investment in Equities, Mutual Funds and Derivatives.



PERIODIC DISCLOSURES

FORM NL-13 LOANS SCHEDULE

Allianz Global Corporate & Specialty SE, India Branch
IRDAI Registration No. FRB/010 dated August 6, 2018

Loans

(Rs. '000)

Particulars	As at 31st March 2020	As at 31st March 2019
1. Security - Wise Classification		
Secured	-	-
(a) On Mortgage of Property		
(aa) In India	-	-
(bb) Outside India	-	-
(b) On Shares ,Bonds, Government Securities	-	-
(c) Others	-	-
Unsecured	-	-
Total	-	-
2. Borrower - Wise Classification		
(a) Central and State Governments	-	-
(b) Banks and Financial Institutions	-	-
(c) Subsidiaries	-	-
(d) Industrial Undertakings	-	-
(e) Others	-	-
Total	-	-
3. Performance - Wise Classification		
(a) Loans classified as standard		
(aa) In India	-	-
(bb) Outside India	-	-
(b) Non - Performing Loans less Provisions		
(aa) In India	-	-
(bb) Outside India	-	-
Total	-	-
4. Maturity - Wise Classification		
(a) Short - Term	-	-
(b) Long - Term	-	-
Total	-	-



PERIODIC DISCLOSURES

FORM NL-14 FIXED ASSETS SCHEDULE

Allianz Global Corporate & Specialty SE, India Branch
IRDAI Registration No. FRB/010 dated August 6, 2018

Fixed Assets

(Rs. '000)

Particulars	Cost / Gross Block				Depreciation				Net Block	
	As at 1st April 2019	Additions	Deductions	As at 31st March 2020	As at 1st April 2019	For the Period	On Sales/Adjustments	As at 31st March 2020	As at 31st March 2020	As at 31st March 2019
Goodwill	-	-	-	-	-	-	-	-	-	-
Intangibles - Computer Software	-	2,918	-	2,918	-	973	-	973	1,945	-
Land-Freehold	-	-	-	-	-	-	-	-	-	-
Leasehold Improvements	3,671	249	-	3,920	614	829	-	1,443	2,477	3,057
Buildings	-	-	-	-	-	-	-	-	-	-
Furniture & Fittings	3,099	25	-	3,124	453	622	-	1,075	2,049	2,646
Information & Technology Equipment	4,022	233	-	4,255	643	1,393	-	2,036	2,219	3,379
Vehicles	3,886	-	-	3,886	230	779	-	1,009	2,877	3,656
Office Equipment	28	354	-	382	1	64	-	65	317	27
Others	-	-	-	-	-	-	-	-	-	-
Total	14,706	3,779	-	18,485	1,941	4,660	-	6,601	11,884	12,765
Work in progress										
Grand Total	14,706	3,779	-	18,485	1,941	4,660	-	6,601	11,884	12,765
Previous Year	-	14,706	-	14,706	-	1,941	-	1,941	12,765	-



PERIODIC DISCLOSURES

FORM NL-15 CASH AND BANK BALANCE SCHEDULE

Allianz Global Corporate & Specialty SE, India Branch
IRDAI Registration No. FRB/010 dated August 6, 2018

Cash and Bank Balances

(Rs. '000)

Particulars	As at 31st March 2020	As at 31st March 2019
1. Cash (including cheques, drafts and stamps)	40	40
2. Balances with Scheduled Bank		
(a) Deposit Accounts		
(aa) Short - Term (due within 3 months)	630,757	1,900,000
(bb) Others	-	-
(b) Current Accounts	20,924	53,983
(c) Others	-	-
3. Money at Call and Short Notice		
(a) With Banks	-	-
(b) With Other Institutions	-	-
4. Others	-	-
Total	651,721	1,954,023
Cash and Bank Balances		
In India	651,721	1,954,023
Outside India	-	-



PERIODIC DISCLOSURES

FORM NL-16 ADVANCES AND OTHER ASSETS SCHEDULE

Allianz Global Corporate & Specialty SE, India Branch
IRDAI Registration No. FRB/010 dated August 6, 2018

Advances and Other Assets

(Rs. '000)

Particulars	As at 31st March 2020	As at 31st March 2019
Advances		
1. Reserve Deposits with ceding Companies	-	-
2. Application Money for Investments	-	-
3. Prepayments	511	500
4. Advances to Directors/Officers	-	-
5. Advance Tax Paid and Taxes Deducted at Source (Net of provision for taxation)	154,904	9,012
6. Others	-	-
(a) Other Deposits	22,037	22,037
(b) Advances to Employees	-	-
(c) Advances recoverable in cash or kind	654	2,387
(d) Unutilized GST Credit	73,179	75,153
Total (A)	251,285	109,089
Other Assets		
1. Income accrued on Investments	72,585	-
2. Outstanding Premiums	-	-
3. Agents' Balances	-	-
4. Foreign Agencies' Balances	-	-
5. Due from other entities carrying on insurance business	378,877	932,823
6. Due from Subsidiaries / Holding Company	-	-
7. Assets held for unclaimed amount of Policyholders	-	-
8. Deposit With Reserve Bank Of India [Pursuant to section 7 of Insurance Act, 1938]	-	-
9. Others	-	-
(a) Investments Receivable	-	-
(b) Interest Accrued other than investment	323	4,951
Total (B)	451,785	937,774
Total (A + B)	703,070	1,046,863



PERIODIC DISCLOSURES

FORM NL-17 CURRENT LIABILITIES SCHEDULE

Allianz Global Corporate & Specialty SE, India Branch
IRDAI Registration No. FRB/010 dated August 6, 2018

Current Liabilities

(Rs. '000)

Particulars	As at	As at
	31st March 2020	31st March 2019
1. Agents Balances	25,739	47,614
2. Balances due to other Insurance Companies	515,657	263,212
3. Deposits held on Reinsurance ceded	-	-
4. Premiums received in advance	-	-
5. Unallocated Premium	-	-
6. Sundry Creditors	59,118	81,118
7. Head Office Account	481,644	215,774
8. Due to Subsidiaries / Holding Company	-	-
9. Claims Outstanding (net)	848,718	149,841
10. Due to Officers / Directors	-	-
11. Unclaimed amount of Policyholders	-	-
12. Others		
(a) Statutory Dues	124,130	100,090
(b) Investment Payable	-	-
(c) Book Overdraft	-	-
Total	2,055,006	857,649



PERIODIC DISCLOSURES

FORM NL-18 PROVISIONS SCHEDULE

Allianz Global Corporate & Specialty SE, India Branch
IRDAI Registration No. FRB/010 dated August 6, 2018

Provisions

(Rs. '000)

Particulars	As at 31st March 2020	As at 31st March 2019
1. Reserve for Unexpired risk	270,899	377,161
2. Premium Deficiency Reserve	1,449	-
2. For Taxation (less advance tax paid and taxes deducted at source)	-	-
3. Deferred Tax	-	-
4. For Proposed Dividends	-	-
5. For Dividend Distribution Tax	-	-
6. Others	-	-
For Employee benefits		
(a) Bonus	8,840	3,600
(b) Gratuity	1,624	653
(b) Leave Encashment	2,423	1,472
Total	285,235	382,886



PERIODIC DISCLOSURES

FORM NL-19 MISC EXPENDITURE SCHEDULE

Allianz Global Corporate & Specialty SE, India Branch
IRDAI Registration No. FRB/010 dated August 6, 2018

Miscellaneous Expenditure (to the extent not written off or adjusted)

(Rs. '000)

Particulars	As at 31st March 2020	As at 31st March 2019
1. Discount Allowed in issue of shares/Debentures	-	-
2. Others	-	-
Total	-	-



PERIODIC DISCLOSURES

FORM NL-20 RECEIPTS AND PAYMENTS SCHEDULE

Allianz Global Corporate & Specialty SE, India Branch
IRDAI Registration No. FRB/010 dated August 6, 2018

Receipts and Payments A/c (Direct Basis)

(Rs.'000)

Particulars	For the year ended 31st March 2020	For the year ended 31st March 2019
(A) Cash Flows from the operating activities:		
1. Premium received from policyholders, including advance receipts	3,373,049	177,641
2. Other receipts	1,655	806
3. Payments to the re-insurers, net of commissions and claims recovery	(795,049)	-
4. Payments to co-insurers, net of claims recovery	-	-
5. Payments of claims (net of salvage)	(135,260)	-
6. Payments of commission and brokerage	(455,382)	(41,170)
7. Payments of other operating expenses	(274,604)	(63,056)
8. Preliminary and pre-operative expenses	-	-
9. Deposits, advances and staff loans	-	-
10. Income taxes paid (Net)	-	-
11. GST paid	(338,881)	(138,474)
Cash flows before extraordinary items	1,375,529	(64,254)
Cash flow from extraordinary operations	-	-
Net cash flow from operating activities	1,375,529	(64,254)
(B) Cash flows from investing activities:		
1. Purchase of fixed assets (including Capital Advances)	(11,845)	(7,821)
2. Proceeds from sale of fixed assets	-	-
3. Purchases of investments	(3,237,554)	-
4. Loans disbursed	-	-
5. Sales of investments	450,000	-
6. Repayments received	-	-
7. Rents/Interests/ Dividends received	124,625	23,724
8. Investments in money market instruments and in liquid mutual funds (Net)	-	-
9. Expenses related to investments	(3,056)	-
Net cash flow from investing activities	(2,677,831)	15,903
(C) Cash flows from financing activities:		
1. Proceeds from issuance of share capital/HO Capital Account	-	2,002,373
2. Proceeds from borrowing	-	-
3. Repayments of borrowing	-	-
4. Interest/dividends paid	-	-
Net cash flow from financing activities	-	2,002,373
(D) Effect of foreign exchange rates on cash and cash equivalents, net	-	-
(E) Net increase in cash and cash equivalents (A+B+C+D)	(1,302,303)	1,954,023
(F) Cash and cash equivalents at the beginning of the year	1,954,023	-
(G) Cash and cash equivalents at the end of the year(E+F)	651,721	1,954,023



PERIODIC DISCLOSURES

FORM NL-21 STATEMENT OF LIABILITIES

Allianz Global Corporate & Specialty SE, India Branch
IRDAI Registration No. FRB/010 dated August 6, 2018

Statement of Liabilities

(Rs .in Lacs)

Description	As on 31st March 2020		As on 31st March 2019	
	Gross Reserve	Net Reserve	Gross Reserve	Net Reserve
Unearned Premium Reserve (UPR) (a)	8,915	2,709	7,013	3,772
Premium Deficiency Reserve (PDR)....(b)	24	14	0	0
Unexpired Risk Reserve (URR)....(c)=(a) +(b)	8,939	2,723	7,013	3,772
Outstanding Claim Reserve (other than IBNR reserve)....(d)	5,294	3,343	132	77
IBNR Reserve.... (e)	13,602	5,144	2,070	1,421
Total Reserves for Technical Liabilities(f)=(c)+(d)+(e)	27,835	11,210	9,215	5,270



PERIODIC DISCLOSURES

FORM NL-22 GEOGRAPHICAL DISTRIBUTION OF BUSINESS

**Allianz Global Corporate & Specialty SE, India Branch
IRDAI Registration No. FRB/010 dated August 6, 2018**

Statement for the period ended 31st March 2020

(Rs .in Lacs)

STATES	Fire	Marine (Cargo)	Marine (Hull)	Engineering	Motor Own Damage	Motor Third Party	Liability insurance	Personal Accident	Medical Insurance	Overseas medical Insurance	Crop Insurance	All Other Miscellaneous	Grand Total
NOT APPLICABLE													

Note:

1. The Branch is in the business of providing reinsurance services and does not have any direct premium income.



PERIODIC DISCLOSURES

FORM NL-23 REINSURANCE RISK CONCENTRATION

**Allianz Global Corporate & Specialty SE, India Branch
IRDAI Registration No. FRB/010 dated August 6, 2018**

Statement for the period ended 31st March 2020

(Rs .in Lacs)

Reinsurance Risk Concentration						
S.No.	Reinsurance Placements	No. of reinsurers	Premium ceded to reinsurers			Premium ceded to reinsurers / Total reinsurance premium ceded
			Proportional	Non-Proportional	Facultative	
1	No. of Reinsurers with rating of AAA and above	-	-	-	-	0%
2	No. of Reinsurers with rating AA but less than AAA	5.00	5,669	889	7,743	85%
3	No. of Reinsurers with rating A but less than AA	7.00	-	-	2,490	15%
4	No. of Reinsurers with rating BBB but less than A	-	-	-	-	0%
5	No. of Reinsurers with rating less than BBB	-	-	-	-	0%
	Total	12	5,669	889	10,233	100%



PERIODIC DISCLOSURES

FORM NL-24 AGEING OF CLAIMS

Allianz Global Corporate & Specialty SE, India Branch
IRDAI Registration No. FRB/010 dated August 6, 2018

Statement as at 31st March 2020

(Rs .in Lacs)

Ageing of Claims								
Sl.No.	Line of Business	No. of claims paid					Total No. of claims paid	Total amount of claims paid
		1 month	1 - 3 months	3 - 6 months	6 months - 1 year	> 1 year		
1	Fire	Not Applicable						
2	Marine Cargo							
3	Marine Hull							
4	Engineering							
5	Motor OD							
6	Motor TP							
7	Health							
8	Overseas Travel							
9	Personal Accident							
10	Liability							
11	Crop							
12	Miscellaneous							



PERIODIC DISCLOSURES

FORM NL-25 CLAIM DATA FOR NON LIFE

Allianz Global Corporate & Specialty SE, India Branch
IRDAI Registration No. FRB/010 dated August 6, 2018

Statement as at 31st March 2020

Sl. No.	Claims Experience	Fire	Marine Cargo	Marine Hull	Engineering	Motor OD	Motor TP	Health	Overseas Travel	Personal Accident	Liability	Crop	Credit	Miscellaneous	Total
1	Claims O/S at the beginning of the period	Not Applicable													
2	Claims reported during the period														
3	Claims Settled during the period														
4	Claims Repudiated during the period														
5	Claims closed during the period														
6	Claims O/S at End of the period														
	Less than 3months														
	3 months to 6 months														
	6months to 1 year														
	1year and above														



PERIODIC DISCLOSURES

FORM NL-26 CLAIMS INFORMATION - KG Table I

Allianz Global Corporate & Specialty SE, India Branch
IRDAI Registration No. FRB/010 dated August 6, 2018

Statement of Solvency Margin as at 31st March 2020
Required Solvency Margin based on Net Premium and Net Incurred Claims

(Rs .in Lacs)

Item No:	Description (Class of Business)	Gross Written Premium	Net Written Premium	Gross Claims Incurred	Net Claims Incurred	RSM-1	RSM-2	RSM
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)
01	Fire	12,406	5,617	9,162	5,529	1,241	1,659	1,659
02	Marine Cargo	2,386	528	1,357	438	286	244	286
03	Marine Hull	40	40	-	-	8	-	8
	Miscellaneous:							
04	Motor	-	-	-	-	-	-	-
05	Engineering	959	300	408	107	96	61	96
06	Aviation	104	-	41	-	10	6	10
07	Liability	8,897	1,517	7,009	1,692	1,335	1,577	1,577
08	Health Insurance	-	-	-	-	-	-	-
09	Miscellaneous	188	188	188	188	38	56	56
10	Crop Insurance	-	-	-	-	-	-	-
	Total	24,980	8,190	18,165	7,954	3,014	3,603	3,692



PERIODIC DISCLOSURES

FORM NL-26 OFFICES INFORMATION FOR NON LIFE

Allianz Global Corporate & Specialty SE, India Branch
IRDAI Registration No. FRB/010 dated August 6, 2018

Statement as at 31st March 2020

Sl. No.	Office Information	Number	
1	No. of offices at the beginning of the year	1	
2	No. of branches approved during the year	-	
3	No. of branches opened during the year	Out of approvals of previous year	-
4		Out of approvals of this year	-
5	No. of branches closed during the year	-	
6	No of branches at the end of the year	1	
7	No. of branches approved but not open	-	
8	No. of rural branches	-	
9	No. of urban branches	1	



PERIODIC DISCLOSURES

FORM NL-28 STATEMENT OF ASSETS - 3B

Allianz Global Corporate & Specialty SE, India Branch
IRDAI Registration No. FRB/010 dated August 6, 2018

Statement as at 31st March 2020
Statement of Investment Assets

(Rs .in Crores)

No	PARTICULARS	SCH	AMOUNT
1	Investments	8	273
2	Loans	9	-
3	Fixed Assets	10	1
4	Current Assets		
	a. Cash & Bank Balance	11	65
	b. Advances & Other Assets	12	70
5	Current Liabilities		
	a. Current Liabilities	13	206
	b. Provisions	14	29
	c. Misc. Exp not Written Off	15	-
	d. Debit Balance of P&L A/c		25
	Application of Funds as per Balance Sheet		151

	Less: Other Assets	SCH	Amount
1	Loans (if any)	9	-
2	Fixed Assets (if any)	10	1
3	Cash & Bank Balance (if any)	11	65
4	Advances & Other Assets (if any)	12	70
5	Current Liabilities	13	206
6	Provisions	14	29
7	Misc. Exp not Written Off	15	-
8	Debit Balance of P&L A/c		25
	TOTAL (B)		(122)
	'Investment Assets' As per FORM 3B	(A-B)	273

(Rs .in Crores)

No	'Investment' represented as	Reg. %	SH		PH	Book Value (SH + PH)	% Actual	FVC Amount	Total	Market Value
			Balance	FRSM ⁺						
			(a)	(b)						
1	G. Sec.	Not less than 20%	-	64	56	120	44	-	120	122
2	G. Sec or Other Apporved Sec. (incl. (1) above)	Not less than 30%	-	83	73	156	57	-	156	158
3	Investment subject to Exposure Norms									
	1.Housing & Loans to SG for Housing and FFE, Infrastructure Investments	Not less than 15%	-	49	43	92	34	-	92	92
	2. Approved Investments		-	13	12	25	9	-	25	26
	3. Other Investments (not exceeding 25%)	Not exceeding 55%	-	-	-	-	-	-	-	-
	Total Investment Assets	100%	-	145	128	273	100	-	273	276

Note:

1. FRMS refers 'Funds representing Solvency Margin'.



PERIODIC DISCLOSURES

FORM NL-29 DETAIL REGARDING DEBT SECURITIES

Allianz Global Corporate & Specialty SE, India Branch
IRDAI Registration No. FRB/010 dated August 6, 2018

For the period ended 31st March 2020

(Rs .in Crores)

	MARKET VALUE				Book Value			
	As at 31st March 2020	as % of total for this class	As at 31st March 2019	as % of total for this class	As at 31st March 2020	as % of total for this class	As at 31st March 2019	as % of total for this class
Break down by credit rating								
AAA rated	118	43%	-	-	117	43%	-	-
AA or better	-	-	-	-	-	-	-	-
Rated below AA but above A	-	-	-	-	-	-	-	-
Rated below A but above B	-	-	-	-	-	-	-	-
Any other	158	57%	-	-	156	57%	-	-
BREAKDOWN BY RESIDUAL MATURITY								
Up to 1 year	18	6%	-	-	18	7%	-	-
more than 1 year and upto 3years	83	30%	-	-	82	30%	-	-
More than 3years and up to 7years	144	52%	-	-	142	52%	-	-
More than 7 years and up to 10 years	21	7%	-	-	20	7%	-	-
above 10 years	11	4%	-	-	11	4%	-	-
Breakdown by type of the issuer								
a. Central Government	122	44%	-	-	120	44%	-	-
b. State Government	36	13%	-	-	36	13%	-	-
c. Corporate Securities	118	43%	-	-	117	43%	-	-



PERIODIC DISCLOSURES

FORM NL-30 ANALYTICAL RATIOS

Allianz Global Corporate & Specialty SE, India Branch
IRDAI Registration No. FRB/010 dated August 6, 2018

Analytical Ratios for Non-Life companies			
Sl.No.	Particular	Upto the period ended 31st March 2020	Upto the period ended 31st March 2019
1	Gross Premium Growth Rate		
	a. Fire	85%	NA
	b. Marine Cargo	4141%	NA
	c. Marine Other	100%	NA
	d. Engineering	NA	NA
	e. Aviation	NA	NA
	f. Liability	158%	NA
	g. Others	5921%	NA
2	Gross Premium to shareholders' fund ratio	1.42	0.58
3	Growth rate of shareholders' fund	-1.08%	NA
4	Net Retention Ratio	33%	58%
	a. Fire	45%	46%
	b. Marine Cargo	22%	96%
	c. Marine Other	100%	100%
	d. Engineering	31%	NA
	e. Aviation	0%	NA
	f. Liability	17%	82%
	g. Others	100%	100%
5	Net Commission Ratio	6%	17%
	a. Fire	6%	9%
	b. Marine Cargo	17%	34%
	c. Marine Other	-10%	20%
	d. Engineering	14%	0%
	e. Aviation	NA	NA
	f. Liability	-1%	25%
	g. Others	21%	20%
6	Expense of Management to Gross Premium Ratio	9%	23%
7	Expense of Management to Net written Premium Ratio	29%	39%
8	Net Incurred Claims to Net Earned Premium	86%	68%
9	Combined Ratio	121%	123%
10	Technical Reserves to net premium ratio	1.37	0.88
11	Underwriting balance ratio	(0.17)	(1.18)
	a. Fire	(0.17)	(1.33)
	b. Marine	(0.15)	(1.88)
	c. Miscellaneous	(0.16)	(1.05)
12	Operation Profit Ratio	-6%	-104%
13	Liquid Assets to liabilities ratio	301%	371%
14	Net earning ratio	-2%	-104%
15	Return on net worth ratio	-1%	-13%
16	Available Solvency Margin Ratio to Required Solvency Margin Ratio	2.75	2.78
17	NPA Ratio	NIL	NIL
18	Gross NPA Ratio	NIL	NIL
19	Net NPA Ratio	NIL	NIL

Equity Holding Pattern		(Rs .in Lacs)
1	No. of shares	Not Applicable
2	Percentage of shareholding (Indian / Foreign)	
3	%of Government holding (in case of public sector insurance companies)	
4	Basic and diluted EPS before extraordinary items (net of tax expense) for the period (not to be annualized)	
5	Basic and diluted EPS after extraordinary items (net of tax expense) for the period (not to be annualized)	
6	Book value per share (Rs)	

Note:

- There are no comparative and data available on quarterly basis.
- The Branch is not required to issue any equity and hence there are no disclosures for equity holding pattern.



PERIODIC DISCLOSURES

FORM NL-31 RELATED PARTY TRANSACTIONS

Allianz Global Corporate & Specialty SE, India Branch
IRDAI Registration No. FRB/010 dated August 6, 2018

Statement as at 31st March 2020

(Rs .in Lacs)

Related Party Transactions							
Sl.No.	Name of the Related Party	Nature of Relationship with the Company	Categories	Description of Transactions / Categories	For the quarter	Upto the period ended 31st March 2020	Upto the period ended 31st March 2019
1	Allianz Global Corporate & Specialty (AGCS) SE	Head Office	Liability	HO - Assigned Capital	Not Applicable	-	12,700
			Liability	HO - Additional Capital		-	7,324
			Expense	Reinsurance Insurance Premium Payable		6,557	1,285
			Income	Reinsurance Commission Receivable		(1,843)	(333)
			Expense	Brokerage Payable		15	-
			Expense	Operating Expense		445	96
			Income	Claim Receivable		(267)	-
			Liability	Expense Payable		272	96
			Liability	Reinsurance Payable Net of Commission,Expense and Claims		3,727	951
2	Allianz Global Corporate & Specialty SE - SG Branch	Branch Office	Expense	Operating Expense	Not Applicable	824	1,110
			Liability	Expense Payable	Not Applicable	708	1,110
3	Allianz Fire and Marine Insurance Japan Limited	Subsidiary of AGCS SE	Expense	Reinsurance Insurance Premium Payable	Not Applicable	7,730	2,413
			Income	Reinsurance Commission Receivable		(1,117)	(327)
			Income	Reinsurance Insurance Premium Receivable		(349)	(50)
			Expense	Reinsurance Commission Payable		50	4
			Expense	Operating Expense		41	-
			Income	Claim Receivable		(127)	-
			Liability	Expense Payable		40	-
			Liability	Reinsurance Payable Net of Commission and Claims		2,928	2,086
			Asset	Reinsurance Receivable Net of Commission and Claims		334	(46)
4	Allianz Global Risk US Insurance Company	Subsidiary of AGCS SE	Expense	Reinsurance Insurance Premium Payable	Not Applicable	2,167	-
			Income	Reinsurance Commission Receivable		(244)	-
			Income	Reinsurance Insurance Premium Receivable		(1,091)	(266)
			Expense	Reinsurance Commission Payable		231	85
			Expense	Operating Expense		65	-
			Income	Claim Receivable		(111)	-
			Liability	Expense Payable		62	-
			Liability	Reinsurance Payable Net of Commission and Claims		1,812	-
			Asset	Reinsurance Receivable Net of Commission and Claims		7	(181)
5	Allianz Australia Insurance Limited	Subsidiary of AGCS SE	Expense	Reinsurance Insurance Premium Payable	Not Applicable	13	-
			Income	Reinsurance Commission Receivable		(3)	-
			Income	Reinsurance Insurance Premium Receivable		(13)	-
			Expense	Reinsurance Commission Payable		3	-
			Income	Claim Receivable		(0)	-
			Liability	Reinsurance Payable Net of Commission and Claims		10	-
			Asset	Reinsurance Receivable Net of Commission and Claims		8	-



PERIODIC DISCLOSURES

FORM NL-31 RELATED PARTY TRANSACTIONS

Allianz Global Corporate & Specialty SE, India Branch
IRDAI Registration No. FRB/010 dated August 6, 2018

Statement as at 31st March 2020

(Rs .in Lacs)

Related Party Transactions							
Sl.No.	Name of the Related Party	Nature of Relationship with the Company	Categories	Description of Transactions / Categories	For the quarter	Upto the period ended 31st March 2020	Upto the period ended 31st March 2019
						-	-
6	Allianz Ayudhya General Insurance PCL.	Subsidiary of AGCS SE	Income	Reinsurance Insurance Premium Receivable	Not Applicable	(10)	-
			Expense	Reinsurance Commission Payable		4	-
			Asset	Reinsurance Receivable Net of Commission		6	-
						-	-
7	Allianz Insurance Company of Kenya Limited	Subsidiary of AGCS SE	Income	Reinsurance Insurance Premium Receivable	Not Applicable	(1)	-
			Expense	Reinsurance Commission Payable		1	-
			Asset	Reinsurance Receivable Net of Commission		0	-
						-	-
8	Allianz Insurance Lanka Limited	Subsidiary of AGCS SE	Income	Reinsurance Insurance Premium Receivable	Not Applicable	(2)	-
			Expense	Reinsurance Commission Payable		1	-
			Asset	Reinsurance Receivable Net of Commission		1	-
						-	-
9	Allianz Jingdong General Insurance Company Ltd.	Subsidiary of AGCS SE	Income	Reinsurance Insurance Premium Receivable	Not Applicable	(30)	-
			Expense	Reinsurance Commission Payable		3	-
			Asset	Reinsurance Receivable Net of Commission		27	-
						-	-
10	Allianz Maroc	Subsidiary of AGCS SE	Income	Reinsurance Insurance Premium Receivable	Not Applicable	(3)	-
			Expense	Reinsurance Commission Payable		1	-
						-	-
11	Allianz Mexico S.A. Compania de Seguros	Subsidiary of AGCS SE	Income	Reinsurance Insurance Premium Receivable	Not Applicable	(18)	-
			Expense	Reinsurance Commission Payable		2	-
			Asset	Reinsurance Receivable Net of Commission		16	-
						-	-
12	Allianz Seguros S.A.	Subsidiary of AGCS SE	Income	Reinsurance Insurance Premium Receivable	Not Applicable	(13)	-
			Expense	Reinsurance Commission Payable		4	-
			Asset	Reinsurance Receivable Net of Commission		9	-
						-	-
13	Allianz Sigorta A.S.	Subsidiary of AGCS SE	Income	Reinsurance Insurance Premium Receivable	Not Applicable	(2)	-
			Expense	Reinsurance Commission Payable		1	-
						-	-
14	JSC IC Allianz	Subsidiary of AGCS SE	Income	Reinsurance Insurance Premium Receivable	Not Applicable	(26)	-
			Expense	Reinsurance Commission Payable		3	-
			Asset	Reinsurance Receivable Net of Commission		22	-
						-	-
15	PT Asuransi Allianz Utama	Subsidiary of AGCS SE	Income	Reinsurance Insurance Premium Receivable	Not Applicable	(1)	-
			Expense	Reinsurance Commission Payable		1	-
			Asset	Reinsurance Receivable Net of Commission		1	-
						-	-
16	Allianz General Insurance Company (Malaysia) Berhad p.l.c.	Subsidiary of AGCS SE	Income	Reinsurance Insurance Premium Receivable	Not Applicable	(11)	-
			Expense	Reinsurance Commission Payable		2	-
			Asset	Reinsurance Receivable Net of Commission		9	-
						-	-
17	Firemans Fund Insurance Company Chicago	Subsidiary of AGCS SE	Expense	Operating Expense	Not Applicable	6	-
			Liability	Expense Payable		6	-
						-	-
18	Allianz ART SG, Switzerland	Subsidiary of AGCS SE	Expense	Operating Expense	Not Applicable	1	-
			Liability	Expense Payable		1	-
						-	-
19	Allianz Technology SE, Germany	Subsidiary of Allianz SE	Expense	Operating Expense	Not Applicable	94	-
			Liability	Expense Payable		94	-
						-	-
20	Allianz Technology SE, Singapore Branch	Subsidiary of Allianz SE	Expense	Operating Expense	Not Applicable	309	-
			Liability	Expense Payable		309	-
						-	-
21	Allianz Technology SE, Trivandrum	Subsidiary of Allianz SE	Expense	Operating Expense	Not Applicable	72	-
			Liability	Expense Payable		46	-
						-	-
22	Allianz Services Pvt Limited Trivandrum.	Subsidiary of Allianz SE	Expense	Operating Expense	Not Applicable	57	-
			Liability	Expense Payable		32	-
						-	-
23	Key Managerial Personnel	KMP's	Expense	Remuneration for the period	Not Applicable	220	152



PERIODIC DISCLOSURES

FORM NL-32 PRODUCTS INFORMATION

**Allianz Global Corporate & Specialty SE, India Branch
IRDAI Registration No. FRB/010 dated August 6, 2018**

For the period ended 31st March 2020

Products Information							
Sl. No.	Name of Product	Co. Ref. No.	IRDAI Ref.no.	Class of Business	Category of product	Date of filing of Product	Date IRDAI confirmed filing/ approval
Not Applicable							

Note:

1. As per circular no IRDA/RI/CIR/MISC/004/01/2019 issued by IRDAI on applicability of regulations / circulars / guidelines to registered reinsurance entities, reinsurance entities are not required to follow guidelines on product filing procedures.



PERIODIC DISCLOSURES

FORM NL-33 - SOLVENCY MARGIN - KGII

**Allianz Global Corporate & Specialty SE, India Branch
IRDAI Registration No. FRB/010 dated August 6, 2018**

**Solvency as at 31st March 2020
Available Solvency Margin and Solvency Ratio**

(Rs .in Lacs)

Item	Description	Notes No.	Amount
(1)	(2)	(3)	(4)
(A)	Policyholder's FUNDS		
	Available assets(as per Form IRDAI-GI-TA)		16,566
	Deduct:		
(B)	Current Liabilities as per BS		11,210
(C)	Provisions as per BS		5,157
(D)	Other Liabilities		-
(E)	Excess in Policyholder's funds (A)-(B)-(C)-(D)		199
	Shareholder's FUNDS		
(F)	Available Assets		24,289
	Deduct:		
(G)	Other Liabilities		7,036
(H)	Excess in Shareholder's funds (F-G)		17,254
(I)	Total ASM (E+H)		17,453
(J)	Total RSM*		6,350
(K)	Solvency Ratio (Total ASM / Total RSM)		2.75

* RSM taken at higher of the following: 50% of minimum assigned capital or calculated as per FORM IRDAI-GI-SM



PERIODIC DISCLOSURES

FORM NL-34 BOARD OF DIRECTORS & KEY PERSONS

**Allianz Global Corporate & Specialty SE, India Branch
IRDAI Registration No. FRB/010 dated August 6, 2018**

For the period ended 31st March 2020

Key Persons

Sl. No.	Name of person	Role/designation	Details of change in the period
1	Chalat Balaraman Murali	Chief Executive Officer	No change
2	Piyush Patwa	Chief Financial Officer	No change
3	Abhijit Datar	Chief Underwriting Officer	No change



PERIODIC DISCLOSURES

FORM NL-35 NON PERFORMING ASSETS-7A

Allianz Global Corporate & Specialty SE, India Branch
IRDAI Registration No. FRB/010 dated August 6, 2018

Statement as at 31st March 2020

COI	Company Name	Instrument Type	Interest Rate		Total O/s (Book Value)	Default Principal (Book Value)	Default Interest (Book Value)	Principal Due from	Interest Due from	Deferred Principal	Deferred Interest	Rollover?	Has there been any Principal Waiver?		Classification	Provision (%)	Provision (Rs)
			%	Has there been revision?									Amount	Board Approval Ref			
NIL																	



PERIODIC DISCLOSURES

FORM NL-36 YIELD ON INVESTMENTS

Allianz Global Corporate & Specialty SE, India Branch
IRDAI Registration No. FRB/010 dated August 6, 2018

Statement on Investment & Income on Investment for the period ended 31st March 2020

(Rs .in Crores)

No.	Category of Investment	Category Code	Current Quarter				Year to Date				Previous Year			
			Investment (Rs.)	Income on Investment (Rs.)	Gross Yield (%)	Net Yield (%)	Investment (Rs.)	Income on Investment (Rs.)	Gross Yield (%)	Net Yield (%)	Investment (Rs.)	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²
			Book Value				Book Value				Book Value			
1	Central Government Securities	CGSB	118.66	1.89	1.59%	0.90%	92.61	4.12	4.45%	2.51%	-	-	-	-
2	State Government Bonds/ Development Loans	SGGB	37.27	0.60	1.61%	0.91%	43.53	1.21	2.78%	1.57%	-	-	-	-
3	Bonds/Debentures issued by HUDCO	HTHD	9.80	0.13	1.33%	0.75%	9.8	0.13	1.33%	0.75%	-	-	-	-
4	Bonds/Debentures issued by NHB/Institutions accredited by NHB	HTDA	29.23	0.53	1.81%	1.02%	21.25	0.88	4.14%	2.33%	-	-	-	-
5	Infrastructure - PSU - Debentures/ Bonds	IPTD	48.87	0.86	1.76%	0.99%	36.31	1.46	4.02%	2.26%	-	-	-	-
6	Corporate Securities - Bonds - Taxable	EPBT	25.25	0.48	1.90%	1.07%	22.61	0.89	3.94%	2.22%	-	-	-	-
	Total		269.08	4.49	1.67%	0.94%	226.11	8.69	3.84%	2.16%	-	-	-	-

Note: Category of investment (COI) are as per Guidelines, as amended from time to time

1. Based on daily simple Average of Investments
2. Yield netted for tax
3. In the previous year column, figures of the corresponding Year to date of the previous financial year are shown.
4. FORM-1 shall be prepared in respect of each fund. In case of ULIP FORM 1 shall be prepared at Segregated Fund (SFIN) level and also at consolidated level.
5. YTD Income on investment reconciled with figures in P&L and Revenue account



PERIODIC DISCLOSURES

FORM NL-37 DOWN GRADING OF INVESTMENTS

**Allianz Global Corporate & Specialty SE, India Branch
IRDAI Registration No. FRB/010 dated August 6, 2018**

Statement of Downgraded Investments as at 31st March 2020

(Rs .in Lacs)

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
A.	During the Quarter								NIL
B.	As on Date								



PERIODIC DISCLOSURES

FORM NL-38 QUARTERLY BUSINESS RETURNS ACROSS LINE OF BUSINESS

Allianz Global Corporate & Specialty SE, India Branch
IRDAI Registration No. FRB/010 dated August 6, 2018

(Rs .in Lacs)

Quarterly Business Returns across line of Business for the year ended 31st March 2020

Sl.No.	Line of Business	Current Quarter		Same Quarter previous year		Upto the period ended 31st March 2020		Upto the period ended 31st March 2019	
		Premium	No. of Policies	Premium	No. of Policies	Premium	No. of Policies	Premium	No. of Policies
1	Fire					12,406		6,705	
2	Cargo & Hull					2,425		76	
3	Motor TP					-		-	
4	Motor OD					-		-	
5	Engineering					959		-	
6	Workmen's Compensation					-		-	
7	Employer's Liability					-		-	
8	Aviation					104		-	
9	Personal Accident					-		-	
10	Health					-		-	
11	Liability					8,897		3,442	
12	Others					188		3	

Note:

1. Premium stands for amount of premium booked as reinsurance inward.
2. There are no comparative and data available on quarterly basis.
3. Branch operates in reinsurance business and hence does not have policy related details.



PERIODIC DISCLOSURES

FORM NL-39 RURAL & SOCIAL OBLIGATIONS (QUARTERLY RETURNS)

Allianz Global Corporate & Specialty SE, India Branch
IRDAI Registration No. FRB/010 dated August 6, 2018

(Rs .in Lacs)

Rural & Social Obligations for the period ended 31st March 2020					
Sl.No.	Line of Business	Particular	No. of Policies Issued	Premium Collected	Sum Assured
1	Fire	Rural	Not Applicable		
		Social			
2	Cargo & Hull	Rural			
		Social			
3	Motor TP	Rural			
		Social			
4	Motor OD	Rural			
		Social			
5	Engineering	Rural			
		Social			
6	Workmen's Compensation	Rural			
		Social			
7	Employer's Liability	Rural			
		Social			
8	Aviation	Rural			
		Social			
9	Personal Accident	Rural			
		Social			
10	Health	Rural			
		Social			
11	Others	Rural			
		Social			

Note:

1. As per circular no IRDA/RI/CIR/MISC/004/01/2019 issued by IRDAI on applicability of regulations / circulars /guidelines to registered reinsurance entities, reinsurance entities are not required to follow guidelines on rural & social sector obligations issued by IRDAI.



PERIODIC DISCLOSURES

FORM NL-40 BUSINESS ACQUISITION THROUGH DIFFERENT CHANNELS

**Allianz Global Corporate & Specialty SE, India Branch
IRDAI Registration No. FRB/010 dated August 6, 2018**

(Rs .in Lacs)

Business Acquisition through different channels for the period ended 31st March 2020									
Sl.No.	Channels	Current Quarter		Same Quarter Previous Year		Up to the period FY 19-20		Up to the period FY 18-19	
		No. of Policies	Premium	No. of Policies	Premium	No. of Policies	Premium	No. of Policies	Premium
1	Individual agents	Not Applicable	Not Applicable	Not Applicable	Not Applicable		-	Not Applicable	-
2	Corporate Agents-Banks					-	-		
3	Corporate Agents -Others					-	-		
4	Brokers					10,164	6,352		
5	Micro Agents					-	-		
6	Direct Business					14,815	3,874		
	Total (A)								
1	Referral (B)					-	-		-
	Grand Total (A+B)					24,980			10,227

Note:

1. Premium stands for amount of premium booked as reinsurance inward.
2. There are no comparative and data available on quarterly basis.
3. Branch operates in reinsurance business and hence does not have policy related details.



PERIODIC DISCLOSURES

FORM NL-41 GRIEVANCE DISPOSAL

**Allianz Global Corporate & Specialty SE, India Branch
IRDAI Registration No. FRB/010 dated August 6, 2018**

For the period ended 31st March 2020

GRIEVANCE DISPOSAL							
SI No.	Particulars	Opening Balance	Additions	Complaints Resolved			Complaints Pending
				Fully Accepted	Partial Accepted	Rejected	
1	Complaints made by customers	-	-	-	-	-	-
a)	Sales Related	-	-	-	-	-	-
b)	Policy Administration Related	-	-	-	-	-	-
c)	Insurance Policy Coverage related	-	-	-	-	-	-
d)	Claims related	-	-	-	-	-	-
e)	others	-	-	-	-	-	-
	Total Number	-	-	-	-	-	-

2	Duration wise Pending Status	Complaints made by customers	Complaints made by intermediaries	Total
a)	Less than 15 days	-	-	-
b)	Greater than 15 days	-	-	-
	Total Number	-	-	-



Annexure A

Disclosure of voting activities in general meetings of investee companies in which the insurers have actively participated and voted:

Allianz Global Corporate & Specialty SE, India Branch
IRDAI Registration No. FRB/010 dated August 6, 2018

For the period ended 31st March 2020

Meeting Date	Investee Company Name	Type of Meeting (AGM / EGM)	Proposal of Management/ Shareholders	Description of the proposal	Management Recommendation	Vote (For / Against / Abstain)	Reason supporting the vote decision
NIL							

Place: Mumbai
Date: 22nd June, 2020

Name: Priyanka Jain
Head of Legal and Compliance