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PERIODIC DISCLOSURES

FORM NL-1 B-RA

Allianz Global Corporate & Specialty SE, India Branch
IRDAI Registration No. FRB/010 dated August 6, 2018

Revenue Account for the year ended 31st March 2021

(Rs.' 000)

Particulars	Schedule	Fire		Marine		Miscellaneous		Total	
		FY 2020-21	FY 2019-20	FY 2020-21	FY 2019-20	FY 2020-21	FY 2019-20	FY 2020-21	FY 2019-20
1. Premiums earned (Net)	NL-4-Premium Schedule	633,843	635,770	19,696	58,454	413,264	230,995	1,066,803	925,219
2. Profit/Loss on sale/redemption of Investments		65	-	1	-	73	-	139	-
3. Others		6,270	37,675	177	3,806	12,124	14,856	18,571	56,337
4. Interest, Dividend & Rent - Gross		46,582	23,513	524	2,714	53,002	13,741	100,108	39,968
Total (A)		**686,760**	**696,958**	**20,398**	**64,974**	**478,463**	**259,592**	**1,185,621**	**1,021,524**
1. Claims Incurred (Net)	NL-5-Claims Schedule	241,125	552,915	6,344	43,786	520,994	198,705	768,463	795,406
2. Commission	NL-6-Commission Schedule	99,021	32,211	3,967	8,348	55,406	6,239	158,394	46,798
3. Operating Expenses related to Insurance Busines	NL-7-Operating Expenses Schedule	234,174	161,889	6,279	15,333	145,567	61,500	386,020	238,722
4. Premium deficiency		-	-	-	-	29,114	1,449	29,114	1,449
Total (B)		**574,320**	**747,015**	**16,590**	**67,467**	**751,081**	**267,893**	**1,341,991**	**1,082,375**
Operating Profit/(Loss)		**112,440**	**(50,057)**	**3,808**	**(2,493)**	**(272,618)**	**(8,301)**	**(156,370)**	**(60,851)**
Appropriations									
Transfer to Shareholders' Funds		112,440	(50,057)	3,808	(2,493)	(272,618)	(8,301)	(156,370)	(60,851)
Transfer to Catastrophe Reserve		-	-	-	-	-	-	-	-
Transfer to Other Reserves		-	-	-	-	-	-	-	-
Total (C)		**112,440**	**(50,057)**	**3,808**	**(2,493)**	**(272,618)**	**(8,301)**	**(156,370)**	**(60,851)**

PERIODIC DISCLOSURES

FORM NL-2 B-PL

Allianz Global Corporate & Specialty SE, India Branch
IRDAI Registration No. FRB/010 dated August 6, 2018

Profit and Loss Account for the year ended 31st March 2021

(Rs.' 000)

Particulars	Schedule	FY 2020-21	FY 2019-20
1. Operating Profit/(Loss)			
(a) Fire Insurance		112,440	(50,057)
(b) Marine Insurance		3,808	(2,493)
(c) Miscellaneous Insurance		(272,618)	(8,301)
2. Income from investments			
(a) Interest, Dividend & Rent - Gross		76,326	53,231
Add/Less : Amortization on Securities		(6,759)	(6,312)
(b) Profit on sale of investments		98	-
Less : Loss on sale of investments		-	-
3. Other Income		-	-
Total (A)		**(86,705)**	**(13,932)**
4. Provisions (Other than taxation)			
(a) For diminution in the value of investments		-	-
(b) For Doubtful Debts		-	-
(c) Others (to be specified)		-	-
5. Other Expenses			
(a) Expenses other than those related to Insurance Business		2,310	5,221
(b) Bad Debts written off		-	-
(c) Others-CSR		-	-
Total (B)		**2,310**	**5,221**
Profit before Tax (A-B)		**(89,015)**	**(19,153)**
Provision for Taxation (MAT)		(7,730)	-
Minimum Alternate Tax-Credit		-	-
Deferred Tax		-	-
Profit / (Loss) after tax		**(96,745)**	**(19,153)**
Appropriations			
(a) Interim dividends paid during the period		-	-
(b) Proposed final dividend		-	-
(c) Dividend distribution tax		-	-
(d) Transfer to any Reserves or Other Accounts (to be specified)		-	-
Balance of profit / loss brought forward		(248,410)	(229,257)
Balance carried forward to Balance Sheet		**(345,155)**	**(248,410)**

PERIODIC DISCLOSURES

FORM NL-3 B-BS

Allianz Global Corporate & Specialty SE, India Branch
IRDAI Registration No. FRB/010 dated August 6, 2018

Balance Sheet as at 31st March 2021

(Rs.' 000)

Particulars	Schedule	As at 31st March 2021	As at 31st March 2020
Source of Funds			
Share Capital*	NL-8-Share Capital Schedule	-	-
Reserves and Surplus	NL-10-Reserves and Surplus Schedule	-	-
Head Office Account	NL-6A-Head Office Account	2,002,373	2,002,373
Fair Value Change Account			
Policyholders		-	-
Shareholders		-	-
Borrowings	NL-11-Borrowings Schedule	-	-
Total		**2,002,373**	**2,002,373**
Application of Funds			
Investments - Shareholders	NL-12-Investment Schedule	1,062,299	1,445,590
Investments - Policyholders	NL-12-Investment Schedule	1,528,673	1,281,939
Loans	NL-13-Loans Schedule	-	-
Fixed Assets	NL-14-Fixed Assets Schedule		
Gross Block		18,802	18,485
Less Accumulated Depreciation		11,332	6,601
Net Block		7,470	11,884
Capital Work in Process		-	-
		7,470	11,884
Deferred Tax Assets		-	-
Current Assets (A)			
(i) Cash and Bank balances	NL-15-Cash and bank balance Schedule	514,991	651,721
(ii) Advances and Other Assets	NL-16-Advancxes and Other Assets Schedule	643,773	698,585
		1,158,764	1,350,306
Current Liabilities	NL-17-Current Liabilities Schedule	1,716,806	2,050,521
Provisions	NL-18-Provisions Schedule	383,182	285,235
Total (B)		2,099,988	2,335,756
Net Current Assets (A - B)		(941,224)	(985,450)
Miscellaneous Expenditure (to the extent not written off or adjusted)	NL-19-Miscellaneous Expenditure Schedule	-	-
Debit balance in Profit and Loss Account		345,155	248,410
Total		**2,002,373**	**2,002,373**

* It is not applicable to Branch of Foreign Reinsurance Company.

Contingent Liabilities

Particulars	As at 31st March 2021	As at 31st March 2020
1. Partly paid-up investments	-	-
2. Claims, other than against policies, not acknowledged as debts by the company	-	-
3. Underwriting commitments outstanding (in respect of shares and securities)	-	-
4. Guarantees given by or on behalf of the Company	-	-
5. Statutory demands/ liabilities in dispute, not provided for (Note 1 below)	-	-
6. Reinsurance obligations to the extent not provided for in accounts	-	-
7. Others (to be specified)	-	-
Total	**-**	**-**

PERIODIC DISCLOSURES

FORM NL-4 PREMIUM SCHEDULE

Allianz Global Corporate & Specialty SE, India Branch
IRDAI Registration No. FRB/010 dated August 6, 2018

Premium Earned (Net)

(Rs.' 000)

Particulars	For the year ended 31st March 2021													
	Fire	Marine		Miscellaneous										Total
		Marine Cargo	Marine Other	Personal Accident	Health Insurance	Engineering	Aviation	Liability	Motor OD	Motor TP	Motor TP Pool	Workmen Compensation	Others	
Premium from direct business written	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Add : Premium on reinsurance accepted	966,327	20,735	388	-	-	46,445	-	554,044	-	-	-	-	119,024	1,706,963
Less: Premium on reinsurance ceded	279,630	1,691	-	-	-	2,349	-	291,343	-	-	-	-	824	575,837
Net Premium	**686,697**	**19,044**	**388**	**-**	**-**	**44,096**	**-**	**262,701**	**-**	**-**	**-**	**-**	**118,200**	**1,131,126**
Adjustment for change in reserve for unexpired risks	52,854	(264)	-	-	-	4,009	-	(9,111)	-	-	-	-	16,835	64,323
Total Premium Earned (Net)	**633,843**	**19,308**	**388**	**-**	**-**	**40,087**	**-**	**271,812**	**-**	**-**	**-**	**-**	**101,365**	**1,066,803**

(Rs.' 000)

Particulars	For the year ended 31st March 2020													
	Fire	Marine		Miscellaneous										Total
		Marine Cargo	Marine Other	Personal Accident	Health Insurance	Engineering	Aviation	Liability	Motor OD	Motor TP	Motor TP Pool	Workmen Compensation	Others	
Premium from direct business written	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Add : Premium on reinsurance accepted	1,240,557	238,571	3,957	-	-	95,931	10,378	889,735	-	-	-	-	18,846	2,497,975
Less: Premium on reinsurance ceded	678,898	185,784	-	-	-	65,914	10,378	738,044	-	-	-	-	-	1,679,018
Net Premium	**561,659**	**52,787**	**3,957**	**-**	**-**	**30,017**	**-**	**151,691**	**-**	**-**	**-**	**-**	**18,846**	**818,957**
Adjustment for change in reserve for unexpired risks	(74,111)	(612)	(1,098)	-	-	13,403	-	(48,422)	-	-	-	-	4,578	(106,262)
Total Premium Earned (Net)	**635,770**	**53,399**	**5,055**	**-**	**-**	**16,614**	**-**	**200,113**	**-**	**-**	**-**	**-**	**14,268**	**925,219**

PERIODIC DISCLOSURES

FORM NL-5 CLAIMS SCHEDULE

Allianz Global Corporate & Specialty SE, India Branch
IRDAI Registration No. FRB/010 dated August 6, 2018

Claims Incurred [Net]

(Rs.' 000)

Particulars	For the year ended 31st March 2021													
	Fire	Marine		Miscellaneous										Total
		Marine Cargo	Marine Other	Personal Accident	Health Insurance	Engineering	Aviation	Liability	Motor OD	Motor TP	Motor TP Pool	Workmen Compensation	Others	
Claims Paid														
Direct	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Add : Reinsurance accepted	59,735	67,963	-	-	-	-	-	315,424	-	-	-	-	14,118	457,240
Less: Reinsurance ceded	2,330	51,112	-	-	-	-	-	235,755	-	-	-	-	-	289,197
Net Claims Paid	**57,405**	**16,851**	**-**	**-**	**-**	**-**	**-**	**79,669**	**-**	**-**	**-**	**-**	**14,118**	**168,043**
Add : Claims outstanding at the end	715,227	33,388	-	-	-	31,286	-	540,310	-	-	-	-	128,927	1,449,138
Less : Claims outstanding at the beginning	531,507	43,895	-	-	-	10,680	-	250,894	-	-	-	-	11,742	848,718
Total Claims Incurred	**241,125**	**6,344**	**-**	**-**	**-**	**20,606**	**-**	**369,085**	**-**	**-**	**-**	**-**	**131,303**	**768,463**

(Rs.' 000)

Particulars	For the year ended 31st March 2020													
	Fire	Marine		Miscellaneous										Total
		Marine Cargo	Marine Other	Personal Accident	Health Insurance	Engineering	Aviation	Liability	Motor OD	Motor TP	Motor TP Pool	Workmen Compensation	Others	
Claims Paid														
Direct	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Add : Reinsurance accepted	107,554	32,255	-	-	-	-	-	-	-	-	-	-	7,263	147,072
Less: Reinsurance ceded	27,036	23,507	-	-	-	-	-	-	-	-	-	-	-	50,543
Net Claims Paid	**80,518**	**8,748**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**-**	**7,263**	**96,529**
Add : Claims outstanding at the end	531,507	43,895	-	-	-	10,680	-	250,894	-	-	-	-	11,742	848,718
Less : Claims outstanding at the beginning	59,110	8,857	-	-	-	-	-	81,678	-	-	-	-	196	149,841
Total Claims Incurred	**552,915**	**43,786**	**-**	**-**	**-**	**10,680**	**-**	**169,216**	**-**	**-**	**-**	**-**	**18,809**	**795,406**

PERIODIC DISCLOSURES

FORM NL-6 COMMISSION SCHEDULE

Allianz Global Corporate & Specialty SE, India Branch
IRDAI Registration No. FRB/010 dated August 6, 2018

Commission

(Rs.' 000)

Particulars	For the year ended 31st March 2021													
	Fire	Marine		Miscellaneous										Total
		Marine Cargo	Marine Other	Personal Accident	Health Insurance	Engineering	Aviation	Liability	Motor OD	Motor TP	Motor TP Pool	Workmen Compensation	Others	
Commission Paid														
Direct	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Add : Reinsurance accepted	139,893	4,144	-	-	-	5,449	-	110,134	-	-	-	-	23,844	283,464
Less: Commission on reinsurance Ceded	40,872	177	-	-	-	346	-	83,675	-	-	-	-	-	125,070
Net Commission	**99,021**	**3,967**	**-**	**-**	**-**	**5,103**	**-**	**26,459**	**-**		**-**	**-**	**23,844**	**158,394**

Particulars	For the year ended 31st March 2020													
	Fire	Marine		Miscellaneous										Total
		Marine Cargo	Marine Other	Personal Accident	Health Insurance	Engineering	Aviation	Liability	Motor OD	Motor TP	Motor TP Pool	Workmen Compensation	Others	
Commission Paid														
Direct	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Add : Reinsurance accepted	120,797	32,396	(395)	-	-	11,238	1,203	198,984	-	-	-	-	3,983	368,206
Less: Commission on reinsurance Ceded	88,586	23,653	-	-	-	7,058	1,307	200,804	-	-	-	-	-	321,408
Net Commission	**32,211**	**8,743**	**(395)**	**-**	**-**	**4,180**	**(104)**	**(1,820)**	**-**		**-**	**-**	**3,983**	**46,798**

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PERIODIC DISCLOSURES

FORM NL-7 OPERATING EXPENSES SCHEDULE

Allianz Global Corporate & Specialty SE, India Branch
IRDAI Registration No. FRB/010 dated August 6, 2018

Operating Expenses related to Insurance Business

(Rs.' 000)

Particulars	For the year ended 31st March 2021													
	Fire	Marine		Miscellaneous										Total
		Marine Cargo	Marine Other	Personal Accident	Health Insurance	Engineering	Aviation	Liability	Motor OD	Motor TP	Motor TP Pool	Workmen Compensation	Others	
1. Employees' Remuneration & Welfare Benefits	43,076	856	16	-	-	1,983	-	19,998	-	-	-	-	5,313	71,242
2. Travel , Conveyance and Vehicle Running Expenses	35	1	-	-	-	2	-	13	-	-	-	-	6	57
3. Training Expenses	318	9	-	-	-	20	-	122	-	-	-	-	55	524
4. Rents, Rates, and Taxes	14,481	402	8	-	-	930	-	5,540	-	-	-	-	2,493	23,854
5. Repairs	1,494	41	1	-	-	96	-	572	-	-	-	-	257	2,461
6. Printing & Stationery	73	2	-	-	-	5	-	28	-	-	-	-	13	121
7. Communication	811	22	-	-	-	52	-	310	-	-	-	-	140	1,335
8. Legal & Professional Charges	2,396	66	1	-	-	154	-	917	-	-	-	-	412	3,946
9. Auditors' Fees , Expenses etc.														
(a) as auditor	729	20	-	-	-	47	-	279	-	-	-	-	125	1,200
(b) as adviser or in any other capacity, in respect of														-
(i) Taxation matters	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(ii) Insurance Matters	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(iii) Management services; and	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(c) in any other capacity	-	-	-	-	-	-	-	-	-	-	-	-	-	-
10. Advertisement and Publicity	121	3	-	-	-	8	-	46	-	-	-	-	21	199
11. Interest & Bank Charges	1,178	33	1	-	-	76	-	450	-	-	-	-	203	1,941
12. Others														
(a) Outsourcing Expenses	2,565	71	1	-	-	165	-	981	-	-	-	-	442	4,225
(b) Business Support	152,083	4,218	86	-	-	9,766	-	58,181	-	-	-	-	26,178	250,512
(c) Entertainment	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(d) Gain/(Loss) on Foreign Exchange	8,460	235	5	-	-	543	-	3,237	-	-	-	-	1,456	13,936
(e) Subscription/Membership	1,506	42	1	-	-	97	-	576	-	-	-	-	259	2,481
(f) Miscellaeous-Others	1,976	55	1	-	-	127	-	756	-	-	-	-	340	3,255
13. Depreciation	2,872	80	2	-	-	184	-	1,099	-	-	-	-	494	4,731
14. GST Expenses	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Total	**234,174**	**6,156**	**123**	**-**	**-**	**14,255**	**-**	**93,105**	**-**	**-**	**-**	**-**	**38,207**	**386,020**

(Rs.' 000)

Particulars	For the year ended 31st March 2020													
	Fire	Marine		Miscellaneous										Total
		Marine Cargo	Marine Other	Personal Accident	Health Insurance	Engineering	Aviation	Liability	Motor OD	Motor TP	Motor TP Pool	Workmen Compensation	Others	
1. Employees' Remuneration & Welfare Benefits	43,563	3,143	236	-	-	1,787	-	16,340	-	-	-	-	1,122	66,191
2. Travel , Conveyance and Vehicle Running Expenses	3,896	366	27	-	-	208	-	1,052	-	-	-	-	131	5,680
3. Training Expenses	314	30	2	-	-	17	-	85	-	-	-	-	11	459
4. Rents, Rates, and Taxes	16,584	1,559	117	-	-	886	-	4,479	-	-	-	-	556	24,181
5. Repairs	1,984	187	14	-	-	106	-	536	-	-	-	-	67	2,894
6. Printing & Stationery	76	7	1	-	-	4	-	21	-	-	-	-	3	112
7. Communication	1,076	101	8	-	-	57	-	290	-	-	-	-	36	1,568
8. Legal & Professional Charges	3,852	362	27	-	-	206	-	1,040	-	-	-	-	129	5,616
9. Auditors' Fees , Expenses etc.														
(a) as auditor	823	77	6	-	-	44	-	222	-	-	-	-	28	1,200
(b) as adviser or in any other capacity, in respect of														
(i) Taxation matters	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(ii) Insurance Matters	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(iii) Management services; and	-	-	-	-	-	-	-	-	-	-	-	-	-	-
(c) in any other capacity	-	-	-	-	-	-	-	-	-	-	-	-	-	-
10. Advertisement and Publicity	201	19	1	-	-	11	-	54	-	-	-	-	7	293
11. Interest & Bank Charges	228	21	2	-	-	12	-	62	-	-	-	-	8	333
12. Others														
(a) Outsourcing Expenses	2,255	212	16	-	-	121	-	609	-	-	-	-	76	3,289
(b) Business Support	84,466	7,938	595	-	-	4,514	-	22,812	-	-	-	-	2,834	123,159
(c) Entertainment	184	17	1	-	-	10	-	49	-	-	-	-	6	267
(d) Gain/(Loss) on Foreign Exchange	(2,590)	(243)	(18)	-	-	(138)	-	(700)	-	-	-	-	(87)	(3,776)
(e) Subscription/Membership	1,756	165	12	-	-	94	-	474	-	-	-	-	59	2,560
(f) Miscellaeous-Others	25	2	-	-	-	1	-	7	-	-	-	-	1	36
13. Depreciation	3,196	300	23	-	-	171	-	863	-	-	-	-	107	4,660
14. GST Expenses	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Total	**161,889**	**14,263**	**1,070**	**-**	**-**	**8,111**	**-**	**48,295**	**-**	**-**	**-**	**-**	**5,094**	**238,722**

PERIODIC DISCLOSURES

FORM NL-8 SHARE CAPITAL SCHEDULE

Allianz Global Corporate & Specialty SE, India Branch
IRDAI Registration No. FRB/010 dated August 6, 2018

Share Capital

(Rs.'000)

Particulars	**As at 31st March 2021**	**As at 31st March 2020**
1. Authorized Capital 2. Issued Capital 3. Subscribed Capital 4. Called Up Capital Less : Calls Unpaid Add : Equity Shares Forfeited (Amount originally paid up) Less : Par value of Equity Shares bought back Less : Preliminary Expenses Less : Expenses Including commission or brokerage on underwriting or subscription of shares	**Not Applicable (Refer note below)**	
Total		

Note:

1. Not applicable as per Para 4 part (ii) of IRDAI Circular Ref. IRDA/F&A/CIR/CPM/056/03/2016.

PERIODIC DISCLOSURES

FORM NL-9 PATTERN OF SHAREHOLDING SCHEDULE

Allianz Global Corporate & Specialty SE, India Branch
IRDAI Registration No. FRB/010 dated August 6, 2018

Share Capital Pattern of Shareholding

Shareholder	As at 31st March 2021		As at 31st March 2020	
	Number of Shares	% of Holdings	Number of Shares	% of Holdings
Promoters **Indian** **Foreign** **Others**	Not Applicable (Refer note below)		Not Applicable (Refer note below)	
Total	-		-	

Note:
1. Not applicable as per Para 4 part (ii) of IRDAI Circular Ref. IRDA/F&A/CIR/CPM/056/03/2016.

PERIODIC DISCLOSURES

FORM NL-10 RESERVE AND SURPLUS SCHEDULE

Allianz Global Corporate & Specialty SE, India Branch
IRDAI Registration No. FRB/010 dated August 6, 2018

Reserves and Surplus

(Rs. '000)

Particulars	**As at 31st March 2021**	**As at 31st March 2020**
1. Capital Reserve	-	-
2. Capital Redemption Reserve	-	-
3. Share Premium	-	-
4. General Reserve		
Less : Debit balance in Profit and Loss Account	-	-
Less : Amount utilized for Buy - Back	-	-
5. Catastrophe Reserve	-	-
6. Other Reserves	-	-
7. Balance of Profit in Profit & Loss Account	-	-
Total	-	-

Head Office Account

(Rs. '000)

Particulars	**As at 31st March 2021**	**As at 31st March 2020**
Assigned Capital	2,002,373	2,002,373
Add : Addition during the year	-	-
Closing Balance of Assigned Capital	2,002,373	2,002,373

PERIODIC DISCLOSURES

FORM NL-11 BORROWINGS SCHEDULE

Allianz Global Corporate & Specialty SE, India Branch
IRDAI Registration No. FRB/010 dated August 6, 2018

Borrowings

(Rs. '000)

Particulars	**As at 31st March 2021**	**As at 31st March 2020**
1. Debenture/Bonds	-	-
2. Banks	-	-
3. Financial Institutions	-	-
4. Others	-	-
Total	-	**-**

PERIODIC DISCLOSURES

FORM NL-12 INVESTMENT SCHEDULE (POLICYHOLDERS)

Allianz Global Corporate & Specialty SE, India Branch
IRDAI Registration No. FRB/010 dated August 6, 2018

Investments

(Rs. '000)

Particulars	As at 31st March 2021	As at 31st March 2020
Long Term Investments		
1. Government Securities and Government guaranteed bonds including Treasury Bills	796,838	661,796
2. Other Approved Securities	148,265	118,629
3. Other Investments		
(a) Shares		
(i) Equity	-	-
(ii) Preference	-	-
(b) Mutual Funds	-	-
(c) Debentures / Bonds	-	-
(d) Investment Property - Real Estate	-	-
(e) Other Securities (Fixed Deposit)	-	-
4. Investments in Infrastructure & Housing	447,792	417,820
5. Other than Approved Investments	-	-
Sub - Total	1,392,895	1,198,245
Short Term Investments		
1. Government Securities and Government guaranteed bonds including Treasury Bills	119,280	70,552
2. Other Approved Securities	-	-
3. Other Investments		
(a) Shares		
(i) Equity	-	-
(ii) Preference	-	-
(b) Mutual Funds	-	-
(c) Debentures / Bonds	-	-
(d) Investment Property - Real Estate	-	-
(e) Other Securities (incl. fixed deposits)	-	-
4. Investments in Infrastructure & Housing	16,498	13,142
5. Other than Approved Investments	-	-
Sub - Total	135,778	83,694
Total	1,528,673	1,281,939

Note:
1. All the above investments are performing assets.

2. Aggregate book value of investments (other than listed equities and derivative instruments) is Rs. 1,281,939 thousand (previous year Rs. NIL).
3. Aggregate market value of investments (other than listed equities and derivative instruments) is Rs.1,296,699 thousand (previous year Rs. NIL).
4. Investment assets have been allocated in the ratio of policyholders and shareholders funds (refer note 4.5 in Schedule 16).
5. Investment property Rs Nil (previous year Rs Nil).
6. Value of contracts in relation to investments purchases where deliveries are pending Rs Nil (previous year Rs Nil) and in respect of sale of investments where payments are overdue Rs Nil (previous year Rs Nil).
7. There is no investment in Equities, Mutual Funds and Derivatives.

PERIODIC DISCLOSURES

FORM NL-12 INVESTMENT SCHEDULE (SHAREHOLDERS)

Allianz Global Corporate & Specialty SE, India Branch
IRDAI Registration No. FRB/010 dated August 6, 2018

Investments

(Rs. '000)

Particulars	As at 31st March 2021	As at 31st March 2020
Long Term Investments		
1. Government Securities and Government guaranteed bonds including Treasury Bills	553,735	746,280
2. Other Approved Securities	103,032	133,773
3. Other Investments		
(a) Shares		
(i) Equity	-	-
(ii) Preference	-	-
(b) Mutual Funds	-	-
(c) Debentures / Bonds	-	-
(d) Investment Property - Real Estate	-	-
(e) Other Securities (Fixed Deposit)	-	-
4. Investments in Infrastructure & Housing	311,178	471,158
5. Other than Approved Investments	-	-
Sub - Total	967,945	1,351,211
Short Term Investments		
1. Government Securities and Government guaranteed bonds including Treasury Bills	82,889	79,559
2. Other Approved Securities	-	-
3. Other Investments		
(a) Shares		
(i) Equity	-	-
(ii) Preference	-	-
(b) Mutual Funds	-	-
(c) Debentures / Bonds	-	-
(d) Investment Property - Real Estate	-	-
(e) Other Securities (incl. fixed deposits)	-	-
4. Investments in Infrastructure & Housing	11,465	14,820
5. Other than Approved Investments	-	-
Sub - Total	94,354	94,379
Total	1,062,299	1,445,590

Note:
1. All the above investments are performing assets.
2. Aggregate book value of investments (other than listed equities and derivative instruments) is Rs. 1,445,590 thousand (previous year Rs. NIL).
3. Aggregate market value of investments (other than listed equities and derivative instruments) is Rs.1,462,235 thousand (previous year Rs. NIL).
4. Investment assets have been allocated in the ratio of policyholders and shareholders funds (refer note 4.5 in Schedule 16).
5. Investment property Rs Nil (previous year Rs Nil).
6. Value of contracts in relation to investments purchases where deliveries are pending Rs Nil (previous year Rs Nil) and in respect of sale of investments where payments are overdue Rs Nil (previous year Rs Nil).
7. There is no investment in Equities, Mutual Funds and Derivatives.

PERIODIC DISCLOSURES

FORM NL-13 LOANS SCHEDULE

Allianz Global Corporate & Specialty SE, India Branch
IRDAI Registration No. FRB/010 dated August 6, 2018

Loans

(Rs. '000)

Particulars	**As at 31st March 2021**	**As at 31st March 2020**
1. Security - Wise Classification		
Secured	-	-
(a) On Mortgage of Property		
(aa) In India	-	-
(bb) Outside India	-	-
(b) On Shares ,Bonds, Government Securities	-	-
(c) Others	-	-
Unsecured	-	-
Total	-	-
2. Borrower - Wise Classification		
(a) Central and State Governments	-	-
(b) Banks and Financial Institutions	-	-
(c) Subsidiaries	-	-
(d) Industrial Undertakings	-	-
(e) Others	-	-
Total	-	-
3. Performance - Wise Classification		
(a) Loans classified as standard		
(aa) In India	-	-
(bb) Outside India	-	-
(b) Non - Performing Loans less Provisions		
(aa) In India	-	-
(bb) Outside India	-	-
Total	-	-
4. Maturity - Wise Classification		
(a) Short - Term	-	-
(b) Long - Term	-	-
Total	-	-

PERIODIC DISCLOSURES

FORM NL-14 FIXED ASSETS SCHEDULE

Allianz Global Corporate & Specialty SE, India Branch
IRDAI Registration No. FRB/010 dated August 6, 2018

Fixed Assets

(Rs. '000)

Particulars	Cost / Gross Block				Depreciation				Net Block	
	As at 1st April 2020	Additions	Deductions	As at 31st March 2021	As at 1st April 2020	For the Period	On Sales/Adjustments	As at 31st March 2021	As at 31st March 2021	As at 31st March 2020
Goodwill	-	-	-	-	-	-	-	-	-	-
Intangibles - Computer Software	2,918	-	-	2,918	973	972	-	1,945	973	1,945
Land-Freehold	-	-	-	-	-	-	-	-	-	-
Leasehold Improvements	3,920	-	-	3,920	1,443	785	-	2,228	1,692	2,477
Buildings	-	-	-	-	-	-	-	-	-	-
Furniture & Fittings	3,124	-	-	3,124	1,075	625	-	1,700	1,424	2,049
Information & Technology Equipment	4,255	318	-	4,573	2,036	1,495	-	3,531	1,042	2,219
Vehicles	3,886	-	-	3,886	1,009	777	-	1,786	2,100	2,877
Office Equipment	382	-	-	382	65	77	-	142	240	317
Others	-	-	-	-	-	-	-	-	-	-
Total	**18,485**	**318**	**-**	**18,803**	**6,601**	**4,731**	**-**	**11,332**	**7,470**	**11,884**
Work in progress									-	-
Grand Total	**18,485**	**318**	**-**	**18,803**	**6,601**	**4,731**	**-**	**11,332**	**7,470**	**11,884**
Previous Year	**14,706**	**3,779**	**-**	**18,485**	**1,941**	**4,660**	**-**	**6,601**	**11,884**	**12,765**

PERIODIC DISCLOSURES

FORM NL-15 CASH AND BANK BALANCE SCHEDULE

Allianz Global Corporate & Specialty SE, India Branch
IRDAI Registration No. FRB/010 dated August 6, 2018

Cash and Bank Balances

(Rs. '000)

Particulars	**As at 31st March 2021**	**As at 31st March 2020**
1. Cash (including cheques, drafts and stamps)	40	40
2. Balances with Scheduled Bank		
(a) Deposit Accounts		
(aa) Short - Term (due within 3 months)	500,000	630,757
(bb) Others	-	-
(b) Current Accounts	14,951	20,924
(c) Others	-	-
3. Money at Call and Short Notice		
(a) With Banks	-	-
(b) With Other Institutions	-	-
4. Others	-	-
Total	514,991	651,721
Cash and Bank Balances		
In India	514,991	651,721
Outside India	-	-

PERIODIC DISCLOSURES

FORM NL-16 ADVANCES AND OTHER ASSETS SCHEDULE

Allianz Global Corporate & Specialty SE, India Branch
IRDAI Registration No. FRB/010 dated August 6, 2018

Advances and Other Assets

(Rs. '000)

Particulars	As at 31st March 2021	As at 31st March 2020
Advances		
1. Reserve Deposits with ceding Companies	-	-
2. Application Money for Investments	-	-
3. Prepayments	1,971	511
4. Advances to Directors/Officers	-	-
5. Advance Tax Paid and Taxes Deducted at Source (Net of provision for taxation)	191,736	138,144
6. Others	-	-
(a) Other Deposits	22,037	22,037
(b) Advances to Employees	-	-
(c) Advances recoverable in cash or kind	2,903	654
(d) Unutilized GST Credit	11,198	68,694
Total (A)	**229,845**	**230,040**
Other Assets		
1. Income accrued on Investments	75,543	72,585
2. Outstanding Premiums	-	-
3. Agents' Balances	-	-
4. Foreign Agencies' Balances	-	-
5. Due from other entities carrying on insurance business	337,799	395,637
6. Due from Subsidiaries / Holding Company	-	-
7. Assets held for uncliamed amount of Policyholders	-	-
8. Deposit With Reserve Bank Of India [Pursuant to section 7 of Insurance Act, 1938]	-	-
9. Others		
(a) Investments Receivable	-	-
(b) Interest Accured other than investment	586	323
Total (B)	**413,928**	**468,545**
Total (A + B)	**643,773**	**698,585**

PERIODIC DISCLOSURES

FORM NL-17 CURRENT LIABILITIES SCHEDULE

Allianz Global Corporate & Specialty SE, India Branch
IRDAI Registration No. FRB/010 dated August 6, 2018

Current Liabilities

(Rs. '000)

Particulars	**As at 31st March 2021**	**As at 31st March 2020**
1. Agents Balances	13,696	21,254
2. Balances due to other Insurance Companies	27,903	515,657
3. Deposits held on Reinsurance ceded	-	-
4. Premiums received in advance	-	-
5. Unallocated Premium	-	-
6. Sundry Creditors	21,012	70,018
7. Head Office Account	182,591	470,744
8. Due to Subsidiaries / Holding Company	-	-
9. Claims Outstanding (net)	1,449,138	848,718
10. Due to Officers / Directors	-	-
11. Unclaimed amount of Policyholders	-	-
12. Others		
(a) Statutory Dues	22,466	124,130
(b) Investment Payable	-	-
(c) Book Overdraft	-	-
Total	**1,716,806**	**2,050,521**

PERIODIC DISCLOSURES

FORM NL-18 PROVISIONS SCHEDULE

Allianz Global Corporate & Specialty SE, India Branch
IRDAI Registration No. FRB/010 dated August 6, 2018

Provisions

(Rs. '000)

Particulars	**As at 31st March 2021**	**As at 31st March 2020**
1. Reserve for Unexpired risk	335,223	270,899
2. Premium Deficiency Reserve	30,563	1,449
2. For Taxation	-	-
(less advance tax paid and taxes deducted at source)		
3. Deferred Tax	-	-
4. For Proposed Dividends	-	-
5. For Dividend Distribution Tax	-	-
6. Others	-	-
For Employee benefits		
(a) Bonus	9,983	8,840
(b) Gratuity	3,192	1,624
(b) Leave Encashment	4,221	2,423
Total	**383,182**	**285,235**

PERIODIC DISCLOSURES

FORM NL-19 MISC EXPENDITURE SCHEDULE

Allianz Global Corporate & Specialty SE, India Branch
IRDAI Registration No. FRB/010 dated August 6, 2018

Miscellaneous Expenditure (to the extent not written off or adjusted)

(Rs. '000)

Particulars	**As at 31st March 2021**	**As at 31st March 2020**
1. Discount Allowed in issue of shares/Debentures	-	-
2. Others	-	-
Total	**-**	**-**

PERIODIC DISCLOSURES

FORM NL-20 RECEIPTS AND PAYMENTS SCHEDULE

Allianz Global Corporate & Specialty SE, India Branch
IRDAI Registration No. FRB/010 dated August 6, 2018

Receipts and Payments A/c (Direct Basis)

(Rs.'000)

Particulars	For the year ended 31st March 2021	For the year ended 31st March 2020
(A) Cash Flows from the operating activities:		
1. Premium received from policyholders, including advance receipts	2,012,278	3,373,049
2. Other receipts	9,161	1,655
3. Payments to the re-insurers, net of commissions and claims recovery	(915,400)	(795,049)
4. Payments to co-insurers, net of claims recovery	-	-
5. Payments of claims (net of salvage)	(468,433)	(135,260)
6. Payments of commission and brokerage	(303,008)	(455,382)
7. Payments of other operating expenses	(490,208)	(274,604)
8. Preliminary and pre-operative expenses	-	-
9. Deposits, advances and staff loans	-	-
10. Income taxes paid (Net)	2,566	-
11. GST paid	(295,626)	(338,881)
Cash flows before extraordinary items	**(448,670)**	**1,375,529**
Cash flow from extraordinary operations	-	-
Net cash flow from operating activities	**(448,670)**	**1,375,529**
(B) Cash flows from investing activities:		
1. Purchase of fixed assets (including Capital Advances)	(374)	(11,845)
2. Proceeds from sale of fixed assets	-	-
3. Purchases of investments	(159,822)	(3,237,554)
4. Loans disbursed	-	-
5. Sales of investments	278,176	450,000
6. Repayments received	-	-
7. Rents/Interests/ Dividends received	195,014	124,625
8. Investments in money market instruments and in liquid mutual funds (Net)	-	-
9. Expenses related to investments	(1,054)	(3,056)
Net cash flow from investing activities	**311,940**	**(2,677,831)**
(C) Cash flows from financing activities:		
1. Proceeds from issuance of share capital/HO Capital Account	-	-
2. Proceeds from borrowing	-	-
3. Repayments of borrowing	-	-
4. Interest/dividends paid	-	-
Net cash flow from financing activities	**-**	**-**
(D) Effect of foreign exchange rates on cash and cash equivalents, net	-	-
(E) Net increase in cash and cash equivalents (A+B+C+D)	**(136,729)**	**(1,302,303)**
(F) Cash and cash equivalents at the beginning of the year	**651,721**	**1,954,023**
(G) Cash and cash equivalents at the end of the year(E+F)	**514,991**	**651,721**

PERIODIC DISCLOSURES

FORM NL-21 STATEMENT OF LIABILITIES

Allianz Global Corporate & Specialty SE, India Branch
IRDAI Registration No. FRB/010 dated August 6, 2018

Statement of Liabilities

(Rs .in Lacs)

Description	As on 31st March 2021		As on 31st March 2020	
	Gross Reserve	Net Reserve	Gross Reserve	Net Reserve
Unearned Premium Reserve (UPR) …. (a)	5,831	3,352	8,915	2,709
Premium Deficiency Reserve (PDR)….(b)	1,465	306	24	14
Unexpired Risk Reserve (URR)….(c)=(a) +(b)	7,296	3,658	8,939	2,723
Outstanding Claim Reserve (other than IBNR reserve)….(d)	12,941	6,141	5,294	3,343
IBNR Reserve…. (e)	18,204	8,350	13,602	5,144
Total Reserves for Technical Liabilities ….(f)=(c)+(d)+(e)	**38,441**	**18,149**	**27,835**	**11,210**

PERIODIC DISCLOSURES

FORM NL-22 GEOGRAPHICAL DISTRIBUTION OF BUSINESS

Allianz Global Corporate & Specialty SE, India Branch
IRDAI Registration No. FRB/010 dated August 6, 2018

Statement for the period ended 31st March 2021

(Rs .in Lacs)

STATES	Fire	Marine (Cargo)	Marine (Hull)	Engineering	Motor Own Damage	Motor Third Party	Liability insurance	Personal Accident	Medical Insurance	Overseas medical Insurance	Crop Insurance	All Other Miscellaneous	Grand Total
NOT APPLICABLE													

Note:
1. The Branch is in the business of providing reisurance services and does not have any direct permium income.

PERIODIC DISCLOSURES

FORM NL-23 REINSURANCE RISK CONCENTRATION

Allianz Global Corporate & Specialty SE, India Branch
IRDAI Registration No. FRB/010 dated August 6, 2018

Statement for the period ended 31st March 2021

(Rs .in Lacs)

Reinsurance Risk Concentration						
S.No.	**Reinsurance Placements**	**No. of reinsurers**	**Premium ceded to reinsurers**			**Premium ceded to reinsurers / Total reinsurance premium ceded**
			Proportional	**Non-Proportional**	**Facultative**	
1	No. of Reinsurers with rating of AAA and above	-	-	-	-	0%
2	No. of Reinsurers with rating AA but less than AAA	2.00	4,063	1,328	(858)	79%
3	No. of Reinsurers with rating A but less than AA	10.00	-	-	1,225	21%
4	No. of Reinsurers with rating BBB but less than A	-	-	-	-	0%
5	No. of Reinsurres with rating less than BBB	-	-	-	-	0%
	Total	**12**	**4,063**	**1,328**	**367**	**100%**

PERIODIC DISCLOSURES

FORM NL-24 AGEING OF CLAIMS

Allianz Global Corporate & Specialty SE, India Branch
IRDAI Registration No. FRB/010 dated August 6, 2018

Statement as at 31st March 2021

(Rs .in Lacs)

Ageing of Claims								
Sl.No.	**Line of Business**	**No. of claims paid**					**Total No. of claims paid**	**Total amount of claims paid**
		1 month	**1 - 3 months**	**3 - 6 months**	**6 months - 1 year**	**> 1 year**		
1	Fire	Not Applicable						
2	Marine Cargo							
3	Marine Hull							
4	Engineering							
5	Motor OD							
6	Motor TP							
7	Health							
8	Overseas Travel							
9	Personal Accident							
10	Liability							
11	Crop							
12	Miscellaneous							

PERIODIC DISCLOSURES

FORM NL-25 CLAIM DATA FOR NON LIFE

Allianz Global Corporate & Specialty SE, India Branch
IRDAI Registration No. FRB/010 dated August 6, 2018

Statement as at 31st March 2021

Sl. No.	Claims Experience	Fire	Marine Cargo	Marine Hull	Engineering	Motor OD	Motor TP	Health	Overseas Travel	Personal Accident	Liability	Crop	Credit	Miscellaneous	Total
1	Claims O/S at the beginning of the period	Not Applicable													
2	Claims reported during the period														
3	Claims Settled during the period														
4	Claims Repudiated during the period														
5	Claims closed during the period														
6	Claims O/S at End of the period														
	Less than 3months														
	3 months to 6 months														
	6months to 1 year														
	1year and above														

PERIODIC DISCLOSURES

FORM NL-26 CLAIMS INFORMATION - KG Table I

Allianz Global Corporate & Specialty SE, India Branch
IRDAI Registration No. FRB/010 dated August 6, 2018

Statement of Solvency Margin as at 31st March 2021
Required Solvency Margin based on Net Premium and Net Incured Claims

(Rs .in Lacs)

Item No:	Description (Class of Business)	Gross Written Premium	Net Written Premium	Gross Claims Incurred	Net Claims Incurred	RSM-1	RSM-2	RSM
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)
01	Fire	9,663	6,867	4,203	2,844	1,373	853	1,373
02	Marine Cargo	207	190	565	197	38	102	102
03	Marine Hull	4	4	-	-	1	-	1
04	Motor	-	-	-	-	-	-	-
05	Engineering	464	441	179	206	88	62	88
06	Aviation	-	-	-	-	-	-	-
07	Liability	5,540	2,627	12,922	3,691	831	2,908	2,908
08	Health Insurance	-	-	-	-	-	-	-
09	Miscellaneous	1,190	1,182	1,313	1,313	236	394	394
10	Crop Insurance	-	-	-	-	-	-	-
	Total	**17,070**	**11,311**	**19,182**	**8,251**	**2,567**	**4,319**	**4,866**

PERIODIC DISCLOSURES

FORM NL-26 OFFICES INFORMATION FOR NON LIFE

Allianz Global Corporate & Specialty SE, India Branch
IRDAI Registration No. FRB/010 dated August 6, 2018

Statement as at 31st March 2021

Sl. No.	Office Information		Number
1	No. of offices at the beginning of the year		1
2	No. of branches approved during the year		-
3	No. of branches opened during the year	Out of approvals of previous year	-
4		Out of approvals of this year	-
5	No. of branches closed during the year		-
6	No of branches at the end of the year		1
7	No. of branches approved but not opend		-
8	No. of rural branches		-
9	No. of urban branches		1

PERIODIC DISCLOSURES

FORM NL-28 STATEMENT OF ASSETS - 3B

Allianz Global Corporate & Specialty SE, India Branch
IRDAI Registration No. FRB/010 dated August 6, 2018

Statement as at 31st March 2021
Statement of Investment Assets

(Rs .in Crores)

No	PARTICULARS	SCH	AMOUNT
1	Investments	8	259
2	Loans	9	-
3	Fixed Assets	10	1
4	**Current Assets**		
	a. Cash & Bank Balance	11	51
	b. Advances & Other Assets	12	64
5	**Current Liabilities**		
	a. Current Liabilities	13	172
	b. Provisions	14	38
	c. Misc. Exp not Written Off	15	-
	d. Debit Balance of P&L A/c		35
	Application of Funds as per Balance Sheet		**132**

	Less: Other Assets	**SCH**	**Amount**
1	Loans (if any)	9	-
2	Fixed Assets (if any)	10	1
3	Cash & Bank Balance (if any)	11	51
4	Advances & Other Assets (if any)	12	64
5	Current Liabilities	13	172
6	Provisions	14	38
7	Misc. Exp not Written Off	15	-
8	Debit Balance of P&L A/c		35
		TOTAL (B)	**(127)**
	'Investment Assets' As per FORM 3B	**(A-B)**	**259**

(Rs .in Crores)

No	'Investment' represented as	Reg. %	SH		PH	Book Value (SH + PH)	% Actual	FVC Amount	Total	Market Value
			Balance	FRSM+						
			(a)	(b)	(c)	d = (b+c)		(e)	(d + e)	
1	G. Sec.	Not less than 20%	-	49	71	120	46	-	120	123
2	G. Sec or Other Apporved Sec. (incl. (1) above)	Not less than 30%	-	64	92	155	60	-	155	159
3	**Investment subject to Exposure Norms**									
	1.Housing & Loans to SG for Housing and FFE, Infrastructure Investments	Not less than 15%	-	32	46	79	30	-	79	82
	2. Approved Investments	Not exceeding 55%	-	10	15	25	10	-	25	26
	3. Other Investments (not exceeding 25%)		-	-	-	-	-	-	-	-
	Total Investment Assets	**100%**	-	106	153	259	100	-	259	267

Note:
1. FRMS refers 'Funds representing Solvency Margin'.

PERIODIC DISCLOSURES

FORM NL-29 DETAIL REGARDING DEBT SECURITIES

Allianz Global Corporate & Specialty SE, India Branch
IRDAI Registration No. FRB/010 dated August 6, 2018

For the period ended 31st March 2021

(Rs .in Crores)

	MARKET VALUE				**Book Value**			
	As at 31st March 2021	**as % of total for this class**	**As at 31st March 2020**	**as % of total for this class**	**As at 31st March 2021**	**as % of total for this class**	**As at 31st March 2020**	**as % of total for this class**
Break down by credit rating								
AAA rated	108	40%	-	-	104	40%	-	-
AA or better	-	-	-	-	-	-	-	-
Rated below AA but above A	-	-	-	-	-	-	-	-
Rated below A but above B	-	-	-	-	-	-	-	-
Any other	159	60%	-	-	155	60%	-	-
BREAKDOWN BY RESIDUAL MATURITY								
Up to 1 year	23	9%	-	-	23	9%	-	-
more than 1 yearand upto 3years	126	47%	-	-	122	47%	-	-
More than 3years and up to 7years	86	32%	-	-	83	32%	-	-
More than 7 years and up to 10 years	21	8%	-	-	21	8%	-	-
above 10 years	11	4%	-	-	11	4%	-	-
Breakdown by type of the issurer								
a. Central Government	123	46%	-	-	120	46%	-	-
b. State Government	36	14%	-	-	35	14%	-	-
c.Corporate Securities	108	40%	-	-	104	40%	-	-

PERIODIC DISCLOSURES

FORM NL-30 ANALYTICAL RATIOS

Allianz Global Corporate & Specialty SE, India Branch
IRDAI Registration No. FRB/010 dated August 6, 2018

Analytical Ratios for Non-Life companies			
Sl.No.	**Particular**	**Upto the period ended 31st March 2021**	**Upto the period ended 31st March 2020**
1	Gross Premium Growth Rate		
	a. Fire	-22%	85%
	b. Marine Cargo	-91%	4141%
	c. Marine Other	-90%	100%
	d. Engineering	-52%	NA
	e. Aviation	-100%	NA
	f. Liability	-38%	158%
	g. Others	532%	5921%
2	Gross Premium to shareholders' fund ratio	1.03	1.42
3	Growth rate of shareholders'fund	-5.52%	-1%
4	Net Retention Ratio	66%	33%
	a. Fire	71%	45%
	b. Marine Cargo	92%	22%
	c. Marine Other	100%	100%
	d. Engineering	95%	31%
	e. Aviation	NA	0%
	f. Liability	47%	17%
	g. Others	99%	100%
5	Net Commission Ratio	14%	6%
	a. Fire	14%	6%
	b. Marine Cargo	21%	17%
	c. Marine Other	0%	-10%
	d. Engineering	12%	14%
	e. Aviation	NA	NA
	f. Liability	10%	-1%
	g. Others	20%	21%
6	Expense of Management to Gross Premium Ratio	23%	10%
7	Expense of Management to Net written Premium Ratio	34%	29%
8	Net Incurred Claims to Net Earned Premium	75%	86%
9	Combined Ratio	123%	121%
10	Technical Reserves to net premium ratio	1.58	1.37
11	Underwriting balance ratio	(0.26)	(0.17)
	a. Fire	0.09	(0.17)
	b. Marine	0.16	(0.15)
	c. Miscellaneous	(0.82)	(0.16)
12	Operationg Profit Ratio	-15%	-7%
13	Liquid Assets to liabilities ratio	171%	301%
14	Net earning ratio	-9%	-2%
15	Return on net worth ratio	-6%	-1%
16	Available Solvency argin Ratio to Required Solvency Margin Ratio	2.60	2.75
17	NPA Ratio	NIL	NIL
18	Gross NPA Ratio	NIL	NIL
19	Net NPA Ratio	NIL	NIL

Equity Holding Pattern **(Rs .in Lacs)**

1	No. of shares	Not Applicable
2	Percentage of shareholding (Indian / Foreign)	
3	%of Government holding (in case of public sector insurance companies)	
4	Basic and diluted EPS before extraordinary items (net of tax expense) for the period (not to be annualized)	
5	Basic and diluted EPS after extraordinary items (net of tax expense) for the period (not to be annualized)	
6	Book value per share (Rs)	

Note:
1. There are no comparative and data available on quarterly basis.
3. The Branch is not required to issue any equity and hence there are no disclosures for equity holding pattern.

PERIODIC DISCLOSURES

FORM NL-31 RELATED PARTY TRANSACTIONS

Allianz Global Corporate & Specialty SE, India Branch
IRDAI Registration No. FRB/010 dated August 6, 2018

Statement as at 31st March 2021

(Rs .in Lacs)

Related Party Transactions

Sl.No.	Name of the Related Party	Nature of Relationship with the Company	Categories	Description of Transactions / Categories	For the quarter	Upto the period ended 31st March 2021	For the quarter	Upto the period ended 31st March 2020
1	Allianz Global Corporate & Specialty (AGCS) SE	Head Office	Liability	HO - Assigned Capital	Not Applicable	-	Not Applicable	-
			Expense	Reinsurance Insurance Premium Payable		5,391		6,557
			Income	Reinsurance Commission Receivable		(1,282)		(1,843)
			Expense	Brokerage Payable		13		15
			Expense	Operating Expense		1,123		445
			Income	Claim Receivable		(2,374)		(267)
			Liability	Expense Payable		269		272
			Liability	Reinsurance Payable Net of Commission,Expense and Claims		1,353		3,727
2	Allianz Global Corporate & Specialty SE - SG Branch	Branch Office	Expense	Operating Expense	Not Applicable	1,059	Not Applicable	824
			Liability	Expense Payable		204		708
						-		-
3	Allianz Fire and Marine Insurance Japan Limited	Subsidiary of AGCS SE	Expense	Reinsurance Insurance Premium Payable	Not Applicable	(858)	Not Applicable	7,730
			Income	Reinsurance Commission Receivable		76		(1,117)
			Income	Reinsurance Insurance Premium Receivable		(1,056)		(349)
			Expense	Reinsurance Commission Payable		115		50
			Expense	Operating Expense		40		41
			Income	Claim Receivable		(416)		(127)
			Liability	Expense Payable		5		40
			Liability	Reinsurance Payable Net of Commission and Claims		(167)		2,928
			Asset	Reinsurance Receivable Net of Commission and Claims		520		334
						-		-
4	Allianz Global Risk US Insurance Company	Subsidiary of AGCS SE	Expense	Reinsurance Insurance Premium Payable	Not Applicable	(76)	Not Applicable	2,167
			Income	Reinsurance Commission Receivable		3		(244)
			Income	Reinsurance Insurance Premium Receivable		(1,125)		(1,091)
			Expense	Reinsurance Commission Payable		221		231
			Expense	Operating Expense		123		65
			Income	Claim Receivable		(102)		(111)
			Liability	Expense Payable		32		62
			Liability	Reinsurance Payable Net of Commission and Claims		(49)		1,812
			Asset	Reinsurance Receivable Net of Commission and Claims		327		7
						-		-
5	Allianz Australia Insurance Limited	Subsidiary of AGCS SE	Expense	Reinsurance Insurance Premium Payable	Not Applicable	-	Not Applicable	13
			Income	Reinsurance Commission Receivable		-		(3)
			Income	Reinsurance Insurance Premium Receivable		(208)		(13)
			Expense	Reinsurance Commission Payable		23		3
			Income	Claim Receivable		-		(0)
			Liability	Reinsurance Payable Net of Commission and Claims		10		10
			Asset	Reinsurance Receivable Net of Commission and Claims		(2)		8

PERIODIC DISCLOSURES

FORM NL-31 RELATED PARTY TRANSACTIONS

Allianz Global Corporate & Specialty SE, India Branch
IRDAI Registration No. FRB/010 dated August 6, 2018

Statement as at 31st March 2021

(Rs .in Lacs)

Related Party Transactions

Sl.No.	Name of the Related Party	Nature of Relationship with the Company	Categories	Description of Transactions / Categories	For the quarter	Upto the period ended 31st March 2021	For the quarter	Upto the period ended 31st March 2020
6	Allianz Ayudhya General Insurance PCL.	Subsidiary of AGCS SE	Income	Reinsurance Insurance Premium Receivable	Not Applicable	(26)	Not Applicable	(10)
			Expense	Reinsurance Commission Payable		6		4
			Asset	Reinsurance Receivable Net of Commission		20		6
						-		-
7	Allianz Insurance Company of Kenya Limited	Subsidiary of AGCS SE	Income	Reinsurance Insurance Premium Receivable	Not Applicable	(3)	Not Applicable	(1)
			Expense	Reinsurance Commission Payable		2		1
			Asset	Reinsurance Receivable Net of Commission		-		0
						-		-
8	Allianz Insurance Lanka Limited	Subsidiary of AGCS SE	Income	Reinsurance Insurance Premium Receivable	Not Applicable	(4)	Not Applicable	(2)
			Expense	Reinsurance Commission Payable		2		1
			Asset	Reinsurance Receivable Net of Commission		1		1
						-		-
9	Allianz Jingdong General Insurance Company Ltd.	Subsidiary of AGCS SE	Income	Reinsurance Insurance Premium Receivable	Not Applicable	(15)	Not Applicable	(30)
			Expense	Reinsurance Commission Payable		3		3
			Asset	Reinsurance Receivable Net of Commission		12		27
						-		-
10	Allianz Maroc	Subsidiary of AGCS SE	Income	Reinsurance Insurance Premium Receivable	Not Applicable	(9)	Not Applicable	(3)
			Expense	Reinsurance Commission Payable		3		1
			Asset	Reinsurance Receivable Net of Commission		6		-
						-		-
11	Allianz Mexico S.A. Compania de Seguros	Subsidiary of AGCS SE	Income	Reinsurance Insurance Premium Receivable	Not Applicable	(31)	Not Applicable	(18)
			Expense	Reinsurance Commission Payable		6		2
			Asset	Reinsurance Receivable Net of Commission		13		16
						-		-
12	Allianz Seguros S.A.	Subsidiary of AGCS SE	Income	Reinsurance Insurance Premium Receivable	Not Applicable	(16)	Not Applicable	(13)
			Expense	Reinsurance Commission Payable		4		4
			Asset	Reinsurance Receivable Net of Commission		14		9
						-		-
13	Allianz Sigorta A.S.	Subsidiary of AGCS SE	Income	Reinsurance Insurance Premium Receivable	Not Applicable	-	Not Applicable	(2)
			Expense	Reinsurance Commission Payable		-		1
						-		-
14	JSC IC Allianz	Subsidiary of AGCS SE	Income	Reinsurance Insurance Premium Receivable	Not Applicable	(51)	Not Applicable	(26)
			Expense	Reinsurance Commission Payable		4		3
			Asset	Reinsurance Receivable Net of Commission		0		22
						-		-
15	PT Asuransi Allianz Utama	Subsidiary of AGCS SE	Income	Reinsurance Insurance Premium Receivable	Not Applicable	(2)	Not Applicable	(1)
			Expense	Reinsurance Commission Payable		1		1
			Asset	Reinsurance Receivable Net of Commission		1		1
						-		-
16	Allianz General Insurance Company (Malaysia) Berhad p.l.c.	Subsidiary of AGCS SE	Income	Reinsurance Insurance Premium Receivable	Not Applicable	-	Not Applicable	(11)
			Expense	Reinsurance Commission Payable		-		2
			Asset	Reinsurance Receivable Net of Commission		-		9
						-		-
17	Firemans Fund Insurance Company Chicago	Subsidiary of AGCS SE	Expense	Operating Expense	Not Applicable	5	Not Applicable	6
			Liability	Expense Payable		0		6
						-		-
18	Allianz ART SG, Switzerland	Subsidiary of AGCS SE	Expense	Operating Expense	Not Applicable	12	Not Applicable	1
			Liability	Expense Payable		12		1
						-		-
19	Allianz Technology SE, Germany	Subsidiary of Allianz SE	Expense	Operating Expense	Not Applicable	97	Not Applicable	94
			Liability	Expense Payable		12		94
						-		-
20	Allianz Technology SE,Singapore Branch	Subsidiary of Allianz SE	Expense	Operating Expense	Not Applicable	45	Not Applicable	309
			Liability	Expense Payable		19		309
						-		-
21	Allianz Technology SE, Trivandrum	Subsidiary of Allianz SE	Expense	Operating Expense	Not Applicable	19	Not Applicable	72
			Liability	Expense Payable		-		46
						-		-
22	Allianz Services Pvt Limited Trivandrum.	Subsidiary of Allianz SE	Expense	Operating Expense	Not Applicable	36	Not Applicable	57
			Liability	Expense Payable		8		32

PERIODIC DISCLOSURES

FORM NL-31 RELATED PARTY TRANSACTIONS

Allianz Global Corporate & Specialty SE, India Branch
IRDAI Registration No. FRB/010 dated August 6, 2018

Statement as at 31st March 2021

(Rs .in Lacs)

Related Party Transactions

Sl.No.	Name of the Related Party	Nature of Relationship with the Company	Categories	Description of Transactions / Categories	For the quarter	Upto the period ended 31st March 2021	For the quarter	Upto the period ended 31st March 2020
23	Allianz SE	Holding Company	Expense	Operating Expense	Not Applicable	6	Not Applicable	-
			Liability	Expense Payable		0		-
24	Allianz Re	Subsidiary of Allianz SE	Expense	Operating Expense	Not Applicable	5	Not Applicable	-
			Liability	Expense Payable		-		-
25	Allianz Argentina Compania de Seguros S.A.	Subsidiary of Allianz SE	Income	Reinsurance Insurance Premium Receivable	Not Applicable	(4)	Not Applicable	-
			Expense	Reinsurance Commission Payable		1		-
			Asset	Reinsurance Receivable Net of Commission		3		-
26	Allianz Insurance Company of Egypt	Subsidiary of Allianz SE	Income	Reinsurance Insurance Premium Receivable	Not Applicable	(15)	Not Applicable	-
			Expense	Reinsurance Commission Payable		2		-
			Asset	Reinsurance Receivable Net of Commission		(3)		-
27	Allianz Saudi Fransi Cooperative Ins. Co.	Subsidiary of Allianz SE	Income	Reinsurance Insurance Premium Receivable	Not Applicable	(11)	Not Applicable	-
			Expense	Reinsurance Commission Payable		5		-
			Asset	Reinsurance Receivable Net of Commission		6		-
28	Allianz SE General Reinsurance Branch Labuan	Branch Office of Allianz SE	Income	Reinsurance Insurance Premium Receivable	Not Applicable	(66)	Not Applicable	-
			Expense	Reinsurance Commission Payable		8		-
			Asset	Reinsurance Receivable Net of Commission		11		-
29	Allianz Ukraine LLC	Subsidiary of Allianz SE	Income	Reinsurance Insurance Premium Receivable	Not Applicable	(6)	Not Applicable	-
			Expense	Reinsurance Commission Payable		2		-
			Asset	Reinsurance Receivable Net of Commission		0		-
30	Key Managerial Personnel	KMP's	Expense	Remuneration for the period	Not Applicable	236	Not Applicable	220

PERIODIC DISCLOSURES

FORM NL-32 PRODUCTS INFORMATION

Allianz Global Corporate & Specialty SE, India Branch
IRDAI Registration No. FRB/010 dated August 6, 2018

For the period ended 31st March 2021

Products Information							
Sl. No.	**Name of Product**	**Co. Ref. No.**	**IRDAI Ref.no.**	**Class of Business**	**Category of product**	**Date of filing of Product**	**Date IRDAI confirmed filing/ approval**
Not Applicable							

Note:
1. As per circular no IRDA/RI/CIR/MISC/004/01/2019 issued by IRDAI on applicability of regulations / circulars / guidelines to registered reinsurance entities, reinsurance entities are not required to follow guidelines on product filing procedures.

PERIODIC DISCLOSURES

FORM NL-33 - SOLVENCY MARGIN - KGII

Allianz Global Corporate & Specialty SE, India Branch
IRDAI Registration No. FRB/010 dated August 6, 2018

Solvency as at 31st March 2021
Available Solvency Margin and Solvency Ratio

(Rs .in Lacs)

Item	Description	Notes No.	Amount
(1)	(2)	(3)	(4)
(A)	**Policyholder's FUNDS**		
	Available assets(as per Form IRDAI-GI-TA)		18,611
	Deduct:		
(B)	Current Liabilities as per BS		18,149
(C)	Provisions as per BS		-
(D)	Other Liabilities		279
(E)	Excess in Policyholder's funds (A)-(B)-(C)-(D)		183
	Shareholder's FUNDS		
(F)	Available Assets		18,877
	Deduct:		
(G)	Other Liabilities		2,572
(H)	Excess in Shareholder's funds (F-G)		16,305
(I)	**Total ASM (E+H)**		**16,488**
(J)	**Total RSM***		6,350
(K)	**Solvency Ratio (Total ASM / Total RSM)**		**2.60**

* RSM taken at higher of the following: 50% of mimimum assigned capital or calculated as per FORM IRDAI-GI-SM

PERIODIC DISCLOSURES

FORM NL-34 BOARD OF DIRECTORS & KEY PERSONS

Allianz Global Corporate & Specialty SE, India Branch
IRDAI Registration No. FRB/010 dated August 6, 2018

For the period ended 31st March 2021

Key Persons

Sl. No.	Name of person	Role/designation	Details of change in the period
1	Chalat Balaraman Murali	Chief Executive Officer	No change
2	Piyush Patwa	Chief Financial Officer	No change
3	Abhijit Datar	Chief Underwriting Officer	No change

PERIODIC DISCLOSURES

FORM NL-35 NON PERFORMING ASSETS-7A

Allianz Global Corporate & Specialty SE, India Branch
IRDAI Registration No. FRB/010 dated August 6, 2018

Statement as at 31st March 2021

COI	Company Name	Instrument Type	Interest Rate		Total O/s (Book Value)	Default Principal (Book Value)	Default Interest (Book Value)	Principal Due from	Interest Due from	Deferred Principal	Deferred Interest	Rolled Over?	Has there been any Principal Waiver?		Classification	Provision (%)	Provision (Rs)
			%	Has there been revision?									Amount	Board Approval Ref			
NIL																	

PERIODIC DISCLOSURES

FORM NL-36 YIELD ON INVESTMENTS

Allianz Global Corporate & Specialty SE, India Branch
IRDAI Registration No. FRB/010 dated August 6, 2018

Statement on Investment & Income on Investment for the period ended 31st March 2021

(Rs .in Crores)

No.	Category of Investment	Category Code	Current Quarter				Year to Date				Previous Year			
			Investment (Rs.) Book Value	Income on Investment (Rs.)	Gross Yield (%)	Net Yield (%)	Investment (Rs.) Book Value	Income on Investment (Rs.)	Gross Yield (%)	Net Yield (%)	Investment (Rs.) Book Value	Income on Investment (Rs.)	Gross Yield (%)[1]	Net Yield (%)[2]
1	Central Government Securities	CGSB	104.58	1.69	1.62	0.91	105.33	6.82	6.48	3.65	**92.61**	**4.12**	**4.45%**	**2.51%**
2	State Government Bonds/ Development Loans	SGGB	35.43	0.59	1.67	0.94	35.57	2.38	6.69	3.77	**43.53**	**1.21**	**2.78%**	**1.57%**
3	Bonds/Debentures issued by HUDCO	HTHD	10.00	0.16	1.65	0.93	10.00	0.68	6.80	3.83	**9.80**	**0.13**	**1.33%**	**0.75%**
4	Bonds/Debentures issued by NHB/Institutions accredited by NHB	HTDA	20.34	0.37	1.81	1.02	21.55	1.61	7.48	4.21	**21.25**	**0.88**	**4.14%**	**2.33%**
5	Infrastructure - PSU - Debentures/ Bonds	IPTD	48.38	0.85	1.76	0.99	49.74	3.56	7.15	4.03	**36.31**	**1.46**	**4.02%**	**2.26%**
6	Corporate Securities - Bonds - Taxable	EPBT	25.14	0.48	1.89	1.07	25.19	1.94	7.70	4.34	**22.61**	**0.89**	**3.94%**	**2.22%**
Total			**243.87**	**4.15**	**1.70**	**0.96**	**247.37**	**16.99**	**6.87**	**3.87**	**226.11**	**8.69**	**3.84%**	**2.16%**

Note: Category of investment (COI) are as per Guidelines, as amended from time to time

1. Based on daily simple Average of Investments
2. Yield netted for tax
3. In the previous year column, figures of the corresponding Year to date of the previous financial year are shown.
4. FORM-1 shall be prepared in respect of each fund. In case of ULIP FORM 1 shall be prepared at Segregated Fund (SFIN) level and also at consolidated level.
5. YTD Income on investment reconciled with figures in P&L and Revenue account

PERIODIC DISCLOSURES

FORM NL-37 DOWN GRADING OF INVESTMENTS

Allianz Global Corporate & Specialty SE, India Branch
IRDAI Registration No. FRB/010 dated August 6, 2018

Statement of Downgraded Investments as at 31st March 2021

(Rs .in Lacs)

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
A.	During the Quarter	NIL							
B.	As on Date								

PERIODIC DISCLOSURES

FORM NL-38 QUARTERLY BUSINESS RETURNS ACROSS LINE OF BUSINESS

Allianz Global Corporate & Specialty SE, India Branch
IRDAI Registration No. FRB/010 dated August 6, 2018

(Rs .in Lacs)

Quarterly Business Returns across line of Business for the year ended 31st March 2021

Sl.No.	Line of Business	Current Quarter		Same Quarter previous year		Upto the period ended 31st March 2021		Upto the period ended 31st March 2020	
		Premium	No. of Policies	Premium	No. of Policies	Premium	No. of Policies	Premium	No. of Policies
1	Fire	Not Applicable		Not Applicable		9,663	Not Applicable	12,406	Not Applicable
2	Cargo & Hull					211		2,425	
3	Motor TP					-		-	
4	Motor OD					-		-	
5	Engineering					464		959	
6	Workmen's Compensation					-		-	
7	Employer's Liability					-		-	
8	Aviation					-		104	
9	Personal Accident					-		-	
10	Health					-		-	
11	Liability					5,540		8,897	
12	Others					1,190		188	

Note:
1. Premium stands for amount of premium booked as reinsurance inward.
2. There are no comparative and data available on quarterly basis.
3. Branch operates in reinsurance business and hence does not have policy related details.

PERIODIC DISCLOSURES

FORM NL-39 RURAL & SOCIAL OBLIGATIONS (QUARTERLY RETURNS)

Allianz Global Corporate & Specialty SE, India Branch
IRDAI Registration No. FRB/010 dated August 6, 2018

(Rs .in Lacs)

Rural & Social Obligations for the period ended 31st March 2021					
Sl.No.	**Line of Business**	**Particular**	**No. of Policies Issued**	**Premium Collected**	**Sum Assured**
1	Fire	Rural	Not Applicable		
		Social			
2	Cargo & Hull	Rural			
		Social			
3	Motor TP	Rural			
		Social			
4	Motor OD	Rural			
		Social			
5	Engineering	Rural			
		Social			
6	Workmen's Compensation	Rural			
		Social			
7	Employer's Liability	Rural			
		Social			
8	Aviation	Rural			
		Social			
9	Personal Accident	Rural			
		Social			
10	Health	Rural			
		Social			
11	Others	Rural			
		Social			

Note:
1. As per circular no IRDA/RI/CIR/MISC/004/01/2019 issued by IRDAI on applicability of regulations / circulars /guidelines to registered reinsurance entities, reinsurance entities are not required to follow guidelines on rural & social sector obligations issued by IRDAI.

PERIODIC DISCLOSURES

FORM NL-40 BUSINESS ACQUISITION THROUGH DIFFERENT CHANNELS

Allianz Global Corporate & Specialty SE, India Branch
IRDAI Registration No. FRB/010 dated August 6, 2018

(Rs .in Lacs)

Sl.No.	Channels	Business Acquisition through different channels for the period ended 31st March 2021							
		Current Quarter		Same Quarter Previous Year		Up to the period FY 20-21		Up to the period FY 19-20	
		No. of Policies	Premium	No. of Policies	Premium	No. of Policies	Premium	No. of Policies	Premium
1	Individual agents	Not Applicable		Not Applicable		Not Applicable	-	Not Applicable	-
2	Corporate Agents-Banks						-		-
3	Corporate Agents -Others						-		-
4	Brokers						13,245		10,164
5	Micro Agents						-		-
6	Direct Business						3,825		14,815
	Total (A)								
1	Referral (B)						-		-
	Grand Total (A+B)						**17,070**		**24,980**

Note:
1. Premium stands for amount of premium booked as reinsurance inward.
2. There are no comparative and data available on quarterly basis.
3. Branch operates in reinsurance business and hence does not have policy related details.

PERIODIC DISCLOSURES

FORM NL-41 GRIEVANCE DISPOSAL

Allianz Global Corporate & Specialty SE, India Branch
IRDAI Registration No. FRB/010 dated August 6, 2018

For the period ended 31st March 2021

GRIEVANCE DISPOSAL							
Sl No.	**Particulars**	**Opening Balance**	**Additions**	**Complaints Resolved**			**Complaints Pending**
				Fully Accepted	**Partial Accepted**	**Rejected**	
1	**Complaints made by customers**	**-**	**-**	**-**	**-**	**-**	**-**
a)	Sales Related	**-**	**-**	**-**	**-**	**-**	**-**
b)	Policy Administration Related	**-**	**-**	**-**	**-**	**-**	**-**
c)	Insurance Policy Coverage related	**-**	**-**	**-**	**-**	**-**	**-**
d)	Claims related	**-**	**-**	**-**	**-**	**-**	**-**
e)	others	**-**	**-**	**-**	**-**	**-**	**-**
	Total Number	**-**	**-**	**-**	**-**	**-**	**-**

2	**Duration wise Pending Status**	**Complaints made by customers**	**Complaints made by intermediaries**	**Total**
a)	Less than 15 days	-	-	-
b)	Greater than 15 days	-	-	-
	Total Number	**-**	**-**	**-**

Annexure A

Disclosure of voting activities in general meetings of investee companies in which the insurers have actively participated and voted:

Name of the Insurer: Allianz Global Corporate & Specialty SE, India Branch

Period of Reporting: 31st March, 2021

Meeting Date	Investee Company Name	Type of Meeting (AGM / EGM)	Proposal of Management/ Shareholders	Description of the proposal	Management Recommendation	Vote (For / Against / Abstain)	Reason supporting the vote decision
NIL							

Dubey RAHUL

Digitally signed by Dubey RAHUL
Date: 2021.07.27 16:57:40 +05'30'

Place: Mumbai

Date: 27th July, 2021

Name: Rahul Dubey
Head of Legal and Compliance