

Form No	Description
NL-1-B-RA	Revenue Account
NL-2-B-PL	Profit and Loss Account
NL-3A-B-BS	Balance Sheet
NL-4-PREMIUM SCHEDULE	Premium
NL-5-CLAIMS SCHEDULE	Claims Incurred
NL-6-COMMISSION SCHEDULE	Commission
NL-7-OPERATING EXPENSES SCHEDULE	Operating Expenses
NL-10-RESERVE AND SURPLUS SCHEDULE	Reserves and Surplus
NL-10A-HEAD OFFICE ACCOUNT SCHEDULE	Head Office Account (FRBs)
NL-11-BORROWING SCHEDULE	Borrowings
NL-12- INVESTMENT SCHEDULE (SHAREHOLDERS)	Investment
NL-12A-INVESTMENT SCHEDULE (POLICYHOLDERS)	
NL-13-LOANS SCHEDULE	Loans
NL-14-FIXED ASSETS SCHEDULE	Fixed Assets
NL-15-CASH AND BANK BALANCE SCHEDULE	Cash and Bank Balance
NL-16A-ADVANCES AND OTHER ASSETS SCHEDULE	Advances & Other Assets (FRBs)
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NL-18-PROVISIONS SCHEDULE	Provisions
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NL-20-ANALYTICAL RATIOS SCHEDULE	Analytical Ratios
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NL-40-UNDERWRITING PERFORMANCE	Segmental Underwriting Performance
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NL-42-KEY MANAGEMENT PERSONS	Board of Directors & Management Person
NL-46-VOTING ACTIVITY DISCLOSURE UNDER STEWARDSHIP CODE	Voting Activity disclosure under Stewardship Code



FORM NL-1-B-RA
Allianz Global Corporate & Specialty SE, India Branch
IRDAI Registration No. FRB/010 dated August 6, 2018
Revenue Account for the year ended 30th September 2021

(Amount in Rs. Lakhs)

Particulars	Schedule Ref. Form No.	Fire				Marine				Miscellaneous				Total			
		For the Half Yearly ending 30th Sept, 2021	Up to the Half Yearly ending 30th Sept, 2021	For the Half Yearly ending 30th Sept, 2020	Up to the Half Yearly ending 30th Sept, 2020	For the Half Yearly ending 30th Sept, 2021	Up to the Half Yearly ending 30th Sept, 2021	For the Half Yearly ending 30th Sept, 2020	Up to the Half Yearly ending 30th Sept, 2020	For the Half Yearly ending 30th Sept, 2021	Up to the Half Yearly ending 30th Sept, 2021	For the Half Yearly ending 30th Sept, 2020	Up to the Half Yearly ending 30th Sept, 2020	For the Half Yearly ending 30th Sept, 2021	Up to the Half Yearly ending 30th Sept, 2021	For the Half Yearly ending 30th Sept, 2020	Up to the Half Yearly ending 30th Sept, 2020
1 Premiums earned (Net)	NL-4	3,304.44	3,304.44	3,115.00	3,115.00	68.19	68.19	125.18	125.18	2,794.80	2,794.80	1,691.06	1,691.06	6,167.43	6,167.43	4,931.24	4,931.24
2 Profit/Loss on sale/redemption of Investments		0.74	0.74	0.74	0.74			0.03	0.03			0.53	0.53			1.30	1.30
3 Interest, Dividend & Rent – Gross Note 1		362.13	362.13	270.32	270.32	9.82	9.82	11.34	11.34	313.45	313.45	192.69	192.69	685.40	685.40	474.35	474.35
4 Other (a) Other Income (to be specified) (i) (b) Contribution from the Shareholders' Account (i) Towards Excess Expenses of Management (ii) Others (please specify)		31.86	31.86	29.51	29.51	0.38	0.38	0.71	0.71	26.82	26.82	56.93	56.93	59.06	59.06	87.15	87.15
TOTAL (A)		3,698.43	3,698.43	3,415.57	3,415.57	78.39	78.39	137.26	137.26	3,135.07	3,135.07	1,941.21	1,941.21	6,911.89	6,911.89	5,494.04	5,494.04
6 Claims Incurred (Net)	NL-5	3,052.97	3,052.97	11.03	11.03	(21.00)	(21.00)	28.06	28.06	2,840.87	2,840.87	3,172.79	3,172.79	5,872.84	5,872.84	3,211.88	3,211.88
7 Commission	NL-6	767.37	767.37	771.72	771.72	10.71	10.71	28.41	28.41	507.71	507.71	297.26	297.26	1,285.79	1,285.79	1,097.39	1,097.39
8 Operation Expenses (related to Insurance Business)	NL-7	1,179.55	1,179.55	1,517.74	1,517.74	17.75	17.75	35.08	35.08	737.08	737.08	694.91	694.91	1,890.79	1,890.79	2,247.73	2,247.73
9 Premium Refractory		-	-	-	-	-	-	-	-	125.37	125.37	266.39	266.39	125.37	125.37	266.39	266.39
TOTAL (B)		4,949.89	4,949.89	2,300.49	2,300.49	2.46	2.46	91.55	91.55	4,211.89	4,211.89	4,431.35	4,431.35	9,164.24	9,164.24	6,873.39	6,873.39
10 Operating Profit/(Loss) C= (A - B)		(1,251.46)	(1,251.46)	1,115.08	1,115.08	75.93	75.93	45.71	45.71	(1,076.82)	(1,076.82)	(2,490.14)	(2,490.14)	(2,252.35)	(2,252.35)	(1,379.35)	(1,379.35)
11 APPROPRIATIONS																	
Transfer to Shareholders' Account		(1,251.46)	(1,251.46)	1,115.08	1,115.08	75.93	75.93	45.71	45.71	(1,076.82)	(1,076.82)	(2,490.14)	(2,490.14)	(2,252.35)	(2,252.35)	(1,379.35)	(1,379.35)
Transfer to Partnership Reserve		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Transfer to Other Reserves (to be specified)		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
TOTAL (C)		(1,251.46)	(1,251.46)	1,115.08	1,115.08	75.93	75.93	45.71	45.71	(1,076.82)	(1,076.82)	(2,490.14)	(2,490.14)	(2,252.35)	(2,252.35)	(1,379.35)	(1,379.35)

Notes: - (a) See notes appended at the end of Form NL-2-B-PL

Note - 1

Pertaining to Policyholder's funds	Fire				Marine				Miscellaneous				Total			
	For the Half Yearly ending 30th Sept, 2021	Up to the Half Yearly ending 30th Sept, 2021	For the Half Yearly ending 30th Sept, 2020	Up to the Half Yearly ending 30th Sept, 2020	For the Half Yearly ending 30th Sept, 2021	Up to the Half Yearly ending 30th Sept, 2021	For the Half Yearly ending 30th Sept, 2020	Up to the Half Yearly ending 30th Sept, 2020	For the Half Yearly ending 30th Sept, 2021	Up to the Half Yearly ending 30th Sept, 2021	For the Half Yearly ending 30th Sept, 2020	Up to the Half Yearly ending 30th Sept, 2020	For the Half Yearly ending 30th Sept, 2021	Up to the Half Yearly ending 30th Sept, 2021	For the Half Yearly ending 30th Sept, 2020	Up to the Half Yearly ending 30th Sept, 2020
Interest, Dividend & Rent	397.90	397.90	295.66	295.66	10.79	10.79	12.41	12.41	344.41	344.41	210.75	210.75	753.10	753.10	518.92	518.92
Add/Less:-																
Investment Expenses	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Amortisation of Premium/ Discount on Investments	(18.77)	(18.77)	(18.34)	(18.34)	(0.97)	(0.97)	(1.07)	(1.07)	(30.96)	(30.96)	(18.06)	(18.06)	(67.70)	(67.70)	(44.47)	(44.47)
Amount written off in respect of depreciated investments	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Provision for Bad and Doubtful Debts	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Provision for diminution in the value of other than actively traded	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Investment income from Pool	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Interest, Dividend & Rent – Gross*	362.13	362.13	270.32	270.32	9.82	9.82	11.34	11.34	313.45	313.45	192.69	192.69	685.40	685.40	474.35	474.35

* Term gross implies inclusive of TDS



FORM NL-2-B-PL

Allianz Global Corporate & Specialty SE, India Branch

IRDAI Registration No. FRB/010 dated August 6, 2018

PROFIT AND LOSS ACCOUNT FOR THE PERIOD ENDED ON 30th September, 2021

(Amount in Rs. Lakhs)

Particulars	Schedule Ref. Form No.	For the Half Yearly ending 30th Sept, 2021	Up to the Half Yearly ending 30th Sept, 2021	For the Half Yearly ending 30th Sept, 2020	Up to the Half Yearly ending 30th Sept, 2020
1 OPERATING PROFIT/(LOSS)	NL-1				
(a) Fire Insurance		(1,251.46)	(1,251.46)	1,115.08	1,115.08
(b) Marine Insurance		75.93	75.93	45.71	45.71
(c) Miscellaneous Insurance		(1,076.82)	(1,076.82)	(2,490.14)	(2,490.14)
		-	-	-	-
2 INCOME FROM INVESTMENTS					
(a) Interest, Dividend & Rent – Gross		251.04	251.04	424.49	424.49
(b) Profit on sale of investments		-	-	1.07	1.07
(c) (Loss on sale/ redemption of investments)		-	-	-	-
(d) Amortization of Premium / Discount on Investments		(22.56)	(22.56)	(36.38)	(36.38)
3 OTHER INCOME (To be specified)					
		-	-	-	-
TOTAL (A)		(2,023.87)	(2,023.87)	(940.17)	(940.17)
4 PROVISIONS (Other than taxation)					
(a) For diminution in the value of investments		-	-	-	-
(b) For doubtful debts		-	-	-	-
(c) Others (to be specified)		-	-	-	-
5 OTHER EXPENSES					
(a) Expenses other than those related to Insurance Business		8.53	8.53	11.85	11.85
(b) Bad debts written off		7.33	7.33	-	-
(c) Interest on subordinated debt		-	-	-	-
(d) Expenses towards CSR activities		-	-	-	-
(e) Penalties		-	-	-	-
(f) Contribution to Policyholders' A/c		-	-	-	-
(i) Towards Excess Expenses of Management		-	-	-	-
(ii) Others (please specify)		-	-	-	-
(g) Others (Please specify)		-	-	-	-
(i) _____		-	-	-	-
(ii) _____		-	-	-	-
TOTAL (B)		15.86	15.86	11.85	11.85
6 Profit/(Loss) Before Tax		(2,039.73)	(2,039.73)	(952.02)	(952.02)
7 Provision for Taxation		-	-	-	-
8 Profit / (Loss) after tax		(2,039.73)	(2,039.73)	(952.02)	(952.02)
9 APPROPRIATIONS					
(a) Interim dividends paid during the year		-	-	-	-
(b) Final dividend paid		-	-	-	-
(c) Transfer to any Reserves or Other Accounts (to be specified)		-	-	-	-
Balance of profit/ loss brought forward from last year		(3,451.55)	(3,451.55)	(2,484.10)	(2,484.10)
Balance carried forward to Balance Sheet		(5,491.28)	(5,491.28)	(3,436.12)	(3,436.12)

Notes: to Form NL-1-B-RA and NL-2-B- PL

(a) Items of income in excess of one percent of the total premiums (less reinsurance) or Rs.5,00,000 whichever is higher, shall be shown as a separate line item.

(b) Under the sub-head "Others" items like foreign exchange gains or losses and other items shall be included

(c) Interest, dividends and rentals receivable in connection with an investment should be stated as gross amount, the amount of income tax deducted at source being included under 'advance taxes paid and taxes deducted at source'. The expenses pertaining to investment income e.g. Amortisation, Write off, other Investments expenses etc. are to be deducted from this other than separately disclosed here.

(d) Income from rent shall include only the realized rent. It shall not include any notional rent.

(e) Contribution from the Shareholders' Account to policyholders' account /Contribution to the Policyholders' Fund is as per the terms of Section 40C of the Insurance Act, 1938 read with IRDAI (Expenses of Management of Insurers transacting General or Health Insurance Business) Regulations as specified and modified from time to time



FORM NL-3-B-BS

Allianz Global Corporate & Specialty SE, India Branch
IRDAI Registration No. FRB/010 dated August 6, 2018
BALANCE SHEET AS AT 30th September, 2021

(Amount in Rs. Lakhs)

Particulars	Schedule Ref. Form No.	As At 30th September, 2021	As At 30th September, 2020
SOURCES OF FUNDS			
RESERVES AND SURPLUS	NL-10	-	-
Head Office Account	NL-10A	20,023.73	20,023.73
FAIR VALUE CHANGE ACCOUNT			
-Shareholders' Funds		-	-
-Policyholders' Funds		-	-
BORROWINGS	NL-11	-	-
TOTAL		20,023.73	20,023.73
APPLICATION OF FUNDS			
INVESTMENTS-Shareholders	NL-12	7,906.18	10,986.77
INVESTMENTS-Policyholders	NL-12A	23,718.56	13,428.28
LOANS	NL-13	-	-
FIXED ASSETS	NL-14	78.92	98.45
DEFERRED TAX ASSET (Net)		-	-
CURRENT ASSETS			
Cash and Bank Balances	NL-15	5,346.08	4,657.41
Advances and Other Assets	NL-16	5,765.32	7,258.57
Sub-Total (A)		11,111.40	11,915.98
DEFERRED TAX LIABILITY (Net)			
		-	-
CURRENT LIABILITIES			
PROVISIONS	NL-17	21,819.27	14,061.61
	NL-18	6,463.34	5,780.26
Sub-Total (B)		28,282.61	19,841.87
NET CURRENT ASSETS (C) = (A - B)		(17,171.21)	(7,925.89)
MISCELLANEOUS EXPENDITURE (to the extent not written off or adjusted)	NL-19	-	-
DEBIT BALANCE IN PROFIT AND LOSS ACCOUNT		5,491.28	3,436.12
TOTAL		20,023.73	20,023.73

CONTINGENT LIABILITIES

Particulars	As At 30th September, 2021	As At 30th September, 2020
1. Partly paid-up investments	-	-
2. Claims, other than against policies, not acknowledged	-	-
3. Underwriting commitments outstanding (in respect of	-	-
4. Guarantees given by or on behalf of the Company	-	-
5. Statutory demands/ liabilities in dispute, not provided for	-	-
6. Reinsurance obligations to the extent not provided for	-	-
7. Others (to be specified)	-	-
(a). _____		
(b). _____		
TOTAL	-	-

Particulars	FIRE		Marine Cargo		Marine Hull		Total Marine		Motor OD		Motor TP		Total Motor		Health		Personal Accident		Travel Insurance		Total Health	
	For the Half Yearly ending 30th Sept, 2021	Up to the Half Yearly ending 30th Sept, 2021	For the Half Yearly ending 30th Sept, 2021	Up to the Half Yearly ending 30th Sept, 2021	For the Half Yearly ending 30th Sept, 2021	Up to the Half Yearly ending 30th Sept, 2021	For the Half Yearly ending 30th Sept, 2021	Up to the Half Yearly ending 30th Sept, 2021	For the Half Yearly ending 30th Sept, 2021	Up to the Half Yearly ending 30th Sept, 2021	For the Half Yearly ending 30th Sept, 2021	Up to the Half Yearly ending 30th Sept, 2021	For the Half Yearly ending 30th Sept, 2021	Up to the Half Yearly ending 30th Sept, 2021	For the Half Yearly ending 30th Sept, 2021	Up to the Half Yearly ending 30th Sept, 2021	For the Half Yearly ending 30th Sept, 2021	Up to the Half Yearly ending 30th Sept, 2021	For the Half Yearly ending 30th Sept, 2021	Up to the Half Yearly ending 30th Sept, 2021	For the Half Yearly ending 30th Sept, 2021	Up to the Half Yearly ending 30th Sept, 2021
	Gross Direct Premium	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Add: Premium on reinsurance accepted (a)	7,489.75	7,489.75	83.42	83.42	-	-	83.42	83.42	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Less: Premium on reinsurance ceded (a)	2,293.21	2,293.21	21.35	21.35	-	-	21.35	21.35	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Net Written Premium	5,196.54	5,196.54	62.07	62.07	-	-	62.07	62.07	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Add: Opening balance of UPR	1,978.04	1,978.04	6.05	6.05	-	-	6.05	6.05	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Less: Closing balance of UPR	3,870.13	3,870.13	0.07	0.07	-	-	0.07	0.07	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Net Earned Premium	3,304.44	3,304.44	68.10	68.10	-	-	68.10	68.10	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Gross Direct Premium	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
- In India	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
- Outside India	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-

Particulars	Miscellaneous																Grand Total	Grand Total					
	Workmen's Compensation/ Employer's Liability		Public/ Product Liability		Engineering		Aviation		Crop Insurance		Other segments (b)		Other Miscellaneous segment		Total Miscellaneous								
	For the Half Yearly ending 30th Sept, 2021	Up to the Half Yearly ending 30th Sept, 2021	For the Half Yearly ending 30th Sept, 2021	Up to the Half Yearly ending 30th Sept, 2021	For the Half Yearly ending 30th Sept, 2021	Up to the Half Yearly ending 30th Sept, 2021	For the Half Yearly ending 30th Sept, 2021	Up to the Half Yearly ending 30th Sept, 2021	For the Half Yearly ending 30th Sept, 2021	Up to the Half Yearly ending 30th Sept, 2021	For the Half Yearly ending 30th Sept, 2021	Up to the Half Yearly ending 30th Sept, 2021	For the Half Yearly ending 30th Sept, 2021	Up to the Half Yearly ending 30th Sept, 2021	For the Half Yearly ending 30th Sept, 2021	Up to the Half Yearly ending 30th Sept, 2021							
Gross Direct Premium	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Add: Premium on reinsurance accepted (a)	-	-	2,880.52	2,880.52	457.72	457.72	-	-	-	-	-	-	1,186.82	1,186.82	4,525.06	4,525.06	12,098.23	12,098.23	-	-	-	-	-
Less: Premium on reinsurance ceded (a)	-	-	1,050.77	1,050.77	85.92	85.92	-	-	-	-	-	-	24.70	24.70	1,161.39	1,161.39	3,475.95	3,475.95	-	-	-	-	-
Net Written Premium	-	-	1,829.75	1,829.75	371.80	371.80	-	-	-	-	-	-	1,162.12	1,162.12	3,363.67	3,363.67	8,622.28	8,622.28	-	-	-	-	-
Add: Opening balance of UPR	-	-	983.46	983.46	170.54	170.54	-	-	-	-	-	-	214.14	214.14	3,368.14	3,368.14	3,352.23	3,352.23	-	-	-	-	-
Less: Closing balance of UPR	-	-	1,513.42	1,513.42	269.91	269.91	-	-	-	-	-	-	153.68	153.68	1,937.01	1,937.01	5,807.08	5,807.08	-	-	-	-	-
Net Earned Premium	-	-	1,299.79	1,299.79	272.43	272.43	-	-	-	-	-	-	1,222.58	1,222.58	2,794.80	2,794.80	6,167.43	6,167.43	-	-	-	-	-
Gross Direct Premium	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
- In India	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
- Outside India	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-

Particulars	FIRE		Marine Cargo		Marine Hull		Total Marine		Motor OD		Motor TP		Total Motor		Health		Personal Accident		Travel Insurance		Total Health	
	For the Half Yearly ending 30th Sept, 2020	Up to the Half Yearly ending 30th Sept, 2020	For the Half Yearly ending 30th Sept, 2020	Up to the Half Yearly ending 30th Sept, 2020	For the Half Yearly ending 30th Sept, 2020	Up to the Half Yearly ending 30th Sept, 2020	For the Half Yearly ending 30th Sept, 2020	Up to the Half Yearly ending 30th Sept, 2020	For the Half Yearly ending 30th Sept, 2020	Up to the Half Yearly ending 30th Sept, 2020	For the Half Yearly ending 30th Sept, 2020	Up to the Half Yearly ending 30th Sept, 2020	For the Half Yearly ending 30th Sept, 2020	Up to the Half Yearly ending 30th Sept, 2020	For the Half Yearly ending 30th Sept, 2020	Up to the Half Yearly ending 30th Sept, 2020	For the Half Yearly ending 30th Sept, 2020	Up to the Half Yearly ending 30th Sept, 2020	For the Half Yearly ending 30th Sept, 2020	Up to the Half Yearly ending 30th Sept, 2020	For the Half Yearly ending 30th Sept, 2020	Up to the Half Yearly ending 30th Sept, 2020
	Gross Direct Premium	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Add: Premium on reinsurance accepted (a)	8,245.67	8,245.67	130.24	130.24	3.88	3.88	134.12	134.12	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Less: Premium on reinsurance ceded (a)	3,153.67	3,153.67	11.46	11.46	-	-	11.46	11.46	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Net Written Premium	5,092.00	5,092.00	118.78	118.78	3.88	3.88	122.66	122.66	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Add: Opening balance of UPR	1,449.50	1,449.50	8.69	8.69	-	-	8.69	8.69	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Less: Closing balance of UPR	3,422.50	3,422.50	6.17	6.17	0.00	0.00	6.17	6.17	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Net Earned Premium	3,119.00	3,119.00	121.30	121.30	3.88	3.88	125.18	125.18	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Gross Direct Premium	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
- In India	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
- Outside India	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-

Particulars	Miscellaneous																Grand Total	Grand Total				
	Workmen's Compensation/ Employer's Liability		Public/ Product Liability		Engineering		Aviation		Crop Insurance		Other segments (b)		Other Miscellaneous segment		Total Miscellaneous							
	For the Half Yearly ending 30th Sept, 2020	Up to the Half Yearly ending 30th Sept, 2020	For the Half Yearly ending 30th Sept, 2020	Up to the Half Yearly ending 30th Sept, 2020	For the Half Yearly ending 30th Sept, 2020	Up to the Half Yearly ending 30th Sept, 2020	For the Half Yearly ending 30th Sept, 2020	Up to the Half Yearly ending 30th Sept, 2020	For the Half Yearly ending 30th Sept, 2020	Up to the Half Yearly ending 30th Sept, 2020	For the Half Yearly ending 30th Sept, 2020	Up to the Half Yearly ending 30th Sept, 2020	For the Half Yearly ending 30th Sept, 2020	Up to the Half Yearly ending 30th Sept, 2020	For the Half Yearly ending 30th Sept, 2020	Up to the Half Yearly ending 30th Sept, 2020						
Gross Direct Premium	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Add: Premium on reinsurance accepted (a)	-	-	3,233.47	3,233.47	247.79	247.79	-	-	-	-	-	-	64.63	64.63	3,545.89	3,545.89	11,925.88	11,925.88	-	-	-	-
Less: Premium on reinsurance ceded (a)	-	-	1,238.52	1,238.52	7.27	7.27	-	-	-	-	-	-	-	-	1,245.79	1,245.79	4,409.92	4,409.92	-	-	-	-
Net Written Premium	-	-	1,994.95	1,994.95	240.52	240.52	-	-	-	-	-	-	64.63	64.63	2,300.10	2,300.10	7,515.96	7,515.96	-	-	-	-
Add: Opening balance of UPR	-	-	1,074.57	1,074.57	130.45	130.45	-	-	-	-	-	-	45.78	45.78	1,250.80	1,250.80	2,708.99	2,708.99	-	-	-	-
Less: Closing balance of UPR	-	-	1,685.84	1,685.84	173.99	173.99	-	-	-	-	-	-	0.00	0.00	1,685.84	1,685.84	5,293.51	5,293.51	-	-	-	-
Net Earned Premium	-	-	1,383.67	1,383.67	196.98	196.98	-	-	-	-	-	-	110.41	110.41	1,691.06	1,691.06	4,931.44	4,931.44	-	-	-	-
Gross Direct Premium	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
- In India	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
- Outside India	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-

Notes:
(a) Reinsurance premiums whether on business ceded or accepted are to be brought into account, before deducting commission, under the head of reinsurance premiums.
(b) Separate disclosure to be made for segment/sub-segment which contributes more than 10 percent of the total gross direct premium



FORM NL-10-RESERVE AND SURPLUS SCHEDULE

(Amount in Rs. Lakhs)

	Particulars	As At 30th September, 2021	As At 30th September, 2020
1	Capital Reserve	-	-
2	Capital Redemption Reserve	-	-
3	Share Premium	-	-
4	General Reserves	-	-
	Less: Amount utilized for Buy-back	-	-
	Less: Amount utilized for issue of Bonus shares	-	-
5	Catastrophe Reserve	-	-
6	Other Reserves (to be specified)	-	-
7	Balance of Profit in Profit & Loss Account	-	-
	TOTAL	-	-

Notes:

(a) Additions to and deductions from the reserves should be disclosed under each of the specified heads.



FORM NL-10A-HEAD OFFICE ACCOUNT SCHEDULE

[In case of foreign reinsurer operating through a branch office established in India in terms of section 2(9)(d) of the Insurance Act, 1938]

(Amount in Rs. Lakhs)

	Particulars	As At 30th September, 2021	As At 30th September, 2020
	Opening Balance of Assigned capital	20,023.73	20,023.73
	Add: Addition during the year	-	-
	Closing Balance of Assigned Capital*	20,023.73	20,023.73
	TOTAL	20,023.73	20,023.73

Note: *Represents irreversible fixed amount funded by Head Office per terms of license issued by the Authority and no amount/balance shall be transferred out of the Country without approval of the Authority.



FORM NL-11-BORROWINGS SCHEDULE

(Amount in Rs. Lakhs)

	Particulars	As At 30th September, 2021	As At 30th September, 2020
1	Debentures/ Bonds	-	-
2	Banks	-	-
3	Financial Institutions	-	-
4	Others (to be specified)	-	-
	TOTAL	-	-

Notes:

- The extent to which the borrowings are secured shall be separately disclosed stating the nature of the security under each sub-head.
- Amounts due within 12 months from the date of Balance Sheet should be shown separately
- Debentures include NCD issued as per IRDAI (Other Forms of Capital) Regulations, 2015

DISCLOSURE FOR SECURED BORROWINGS (Refer Note a)

(Amount in Rs. Lakhs)

SL. NO.	SOURCE / INSTRUMENT	AMOUNT BORROWED	AMOUNT OF SECURITY	NATURE OF SECURITY
1	-	-	-	-
2	-	-	-	-
3	-	-	-	-
4	-	-	-	-
5	-	-	-	-

	Particulars	NL -12		NL -12A		(Amount in Rs. Lakhs)	
		Shareholders		Policyholders		Total	
		As At 30th September, 2021	As At 30th September, 2020	As At 30th September, 2021	As At 30th September, 2020	As At 30th September, 2021	As At 30th September, 2020
LONG TERM INVESTMENTS							
1	Government securities and Government guaranteed bonds including Treasury Bills	4,494.64	5,850.92	13,483.93	7,151.12	17,978.57	13,002.04
2	Other Approved Securities	630.13	1,133.30	1,890.40	1,385.15	2,520.53	2,518.45
3	Other Investments	-	-	-	-	-	-
	(a) Shares	-	-	-	-	-	-
	(aa) Equity	-	-	-	-	-	-
	(bb) Preference	-	-	-	-	-	-
	(b) Mutual Funds	-	-	-	-	-	-
	(c) Derivative Instruments	-	-	-	-	-	-
	(d) Debentures/ Bonds	-	-	-	-	-	-
	(e) Other Securities (to be specified)	-	-	-	-	-	-
	(f) Subsidiaries	-	-	-	-	-	-
	(g) Investment Properties-Real Estate	-	-	-	-	-	-
4	Investments in Infrastructure and Housing	1,703.53	3,420.36	5,110.59	4,180.44	6,814.12	7,600.80
5	Other than Approved Investments	-	-	-	-	-	-
		6,828.30	10,404.58	20,484.92	12,716.71	27,313.22	23,121.29
SHORT TERM INVESTMENTS							
1	Government securities and Government guaranteed bonds including Treasury Bills	251.60	456.19	754.81	557.57	1,006.41	1,013.76
2	Other Approved Securities	251.13	-	753.38	-	1,004.51	-
3	Other Investments	-	-	-	-	-	-
	(a) Shares	-	-	-	-	-	-
	(aa) Equity	-	-	-	-	-	-
	(bb) Preference	-	-	-	-	-	-
	(b) Mutual Funds	-	-	-	-	-	-
	(c) Derivative Instruments	-	-	-	-	-	-
	(d) Debentures/ Bonds	-	-	-	-	-	-
	(e) Other Securities (to be specified)	-	-	-	-	-	-
	(f) Subsidiaries	-	-	-	-	-	-
	(g) Investment Properties-Real Estate	-	-	-	-	-	-
4	Investments in Infrastructure and Housing	575.15	126.00	1,725.45	154.00	2,300.60	280.00
5	Other than Approved Investments	-	-	-	-	-	-
		1,077.88	582.19	3,233.64	711.57	4,311.52	1,293.76
	GRNAD TOTAL	7,906.18	10,986.77	23,718.56	13,428.28	31,624.74	24,415.05

Notes:

(a) Investments in subsidiary/holding companies, joint ventures and associates shall be separately disclosed, at cost.

- Holding company and subsidiary shall be construed as defined in the Companies Act, 1956 as amended by Company Act 2013:
- Joint Venture is a contractual arrangement whereby two or more parties undertake an economic activity, which is subject to joint control.
- Joint control - is the contractually agreed sharing of power to govern the financial and operating policies of an economic activity to obtain benefits from it.
- Associate - is an enterprise in which the company has significant influence and which is neither a subsidiary nor a joint venture of the company.

- Significant influence (for the purpose of this schedule) - means participation in the financial and operating policy decisions of a company, but not control of those policies. Significant influence may be exercised in several ways, for example, by representation on the board of directors, participation in the policymaking process, material inter-company transactions, interchange of managerial personnel or dependence on technical information. Significant influence may be gained by share ownership, statute or agreement. As regards share ownership, if an investor holds, directly or indirectly through subsidiaries, 20 percent or more of the voting power of the investee, it is presumed that the investor does have significant influence, unless it can be clearly demonstrated that this is not the case. Conversely, if the investor holds, directly or indirectly through subsidiaries, less than 20 percent of the voting power of the investee, it is presumed that the investor does not have significant influence, unless such influence is clearly demonstrated.

- A substantial or majority ownership by another investor does not necessarily preclude an investor from having significant influence.

(b) Aggregate amount of company's investments other than listed equity securities and derivative instruments and also the market value thereof shall be disclosed in the **Annexure A as specified below.**

(c) Investments made out of Catastrophe reserve should be shown separately.

(d) Debt securities will be considered as "held to maturity" securities and will be measured at historical cost subject to amortisation.

(e) Investment Property means a property [land or building or part of a building or both] held to earn rental income or for capital appreciation or for both, rather than for use in services or for administrative purposes.

(f) Investments maturing within twelve months from balance sheet date and investments made with the specific intention to dispose of within twelve months from balance sheet date shall be classified as short-term investments

(g) Investment Regulations, as amended from time to time, to be referred

A) Aggregate value of Investments other than Listed Equity Securities and Derivative Instruments

Particulars	Shareholders		Policyholders		(Amount in Rs. Lakhs)	
	Total		Total		Total	
	As At 30th September, 2021	As At 30th September, 2020	As At 30th September, 2021	As At 30th September, 2020	As At 30th September, 2021	As At 30th September, 2020
Long Term Investments--						
Book Value	6,828.30	10,404.58	20,484.92	12,716.71	27,313.22	23,121.29
market Value	7,010.78	10,803.03	21,032.35	13,203.71	28,043.14	24,006.74
Short Term Investments--						
Book Value	1,077.88	582.19	3,233.64	711.57	4,311.52	1,293.76
market Value	1,099.60	591.53	3,298.81	722.98	4,398.42	1,314.51



FORM NL-13-LOANS SCHEDULE

(Amount in Rs. Lakhs)

	Particulars	As At 30th September, 2021	As At 30th September, 2020
1	SECURITY-WISE CLASSIFICATION		
	Secured		
	(a) On mortgage of property	-	-
	(aa) In India	-	-
	(bb) Outside India	-	-
	(b) On Shares, Bonds, Govt. Securities	-	-
	(c) Others (to be specified)	-	-
	Unsecured	-	-
	TOTAL	-	-
2	BORROWER-WISE CLASSIFICATION		
	(a) Central and State Governments	-	-
	(b) Banks and Financial Institutions	-	-
	(c) Subsidiaries	-	-
	(d) Industrial Undertakings	-	-
	(e) Companies	-	-
	(f) Others (to be specified)	-	-
	TOTAL	-	-
3	PERFORMANCE-WISE CLASSIFICATION		
	(a) Loans classified as standard	-	-
	(aa) In India	-	-
	(bb) Outside India	-	-
	(b) Non-performing loans less provisions	-	-
	(aa) In India	-	-
	(bb) Outside India	-	-
	TOTAL	-	-
4	MATURITY-WISE CLASSIFICATION		
	(a) Short Term	-	-
	(b) Long Term	-	-
	TOTAL	-	-

Notes:

(a) Short-term loans shall include those, which are repayable within 12 months from the date of balance sheet. Long term loans shall be the loans other than short-term loans.

(b) Provisions against non-performing loans shall be shown separately.

(c) The nature of the security in case of all long term secured loans shall be specified in each case. Secured loans for the purposes of this schedule, means loans secured wholly or partly against an asset of the company.

(d) Loans considered doubtful and the amount of provision created against such loans shall be disclosed.

Provisions against Non-performing Loans			
	Non-Performing Loans	Loan Amount (Rs. Lakhs)	Provision (Rs. Lakhs)
	Sub-standard	-	-
	Doubtful	-	-
	Loss	-	-
	Total	-	-



FORM NL-14-FIXED ASSETS SCHEDULE

(Amount in Rs. Lakhs)

Particulars	Cost/ Gross Block				Depreciation				Net Block	
	Opening	Additions	Deductions	Closing	Up to Last Year	For The Period	On Sales/	To Date	As At 30th September, 2021	As At 30th September, 2020
							Adjustments			
Goodwill	-	-	-	-	-	-	-	-	-	-
Intangibles (specify)	29.18	-	-	29.18	19.45	4.87	-	24.32	4.86	14.60
Land-Freehold	-	-	-	-	-	-	-	-	-	-
Leasehold Property	39.20	-	-	39.20	22.28	3.92	-	26.20	13.00	20.84
Buildings	-	-	-	-	-	-	-	-	-	-
Furniture & Fittings	31.24	-	-	31.24	17.00	3.13	-	20.13	11.11	17.35
Information Technology Equipment	45.72	28.86	-	74.58	35.31	8.95	-	44.26	30.31	18.01
Vehicles	38.86	-	-	38.86	17.86	3.90	-	21.76	17.10	24.87
Office Equipment	3.82	0.54	-	4.36	1.42	0.40	-	1.82	2.54	2.78
Others (Specify nature)	-	-	-	-	-	-	-	-	-	-
TOTAL	188.02	29.40	-	217.42	113.32	25.17	-	138.49	78.92	98.45
Work in progress	-	-	-	-	-	-	-	-	-	-
Grand Total	188.02	29.40	-	217.42	113.32	25.17	-	138.49	78.92	98.45
PREVIOUS YEAR	184.85	3.17	-	188.02	66.01	23.55	-	89.56	98.46	127.65

Note:

(a) Assets included in land, property and building above exclude Investment Properties as defined in note (e) to Form NL-12-Investment Schedule.

FORM NL-15-CASH AND BANK BALANCE SCHEDULE
(Amount in Rs. Lakhs)

	Particulars	As At 30th September, 2021	As At 30th September, 2020
1	Cash (including cheques ^(a) , drafts and stamps)	0.40	0.40
2	Bank Balances	-	-
	(a) Deposit Accounts	-	-
	(aa) Short-term (due within 12 months)	4,500.00	4,250.00
	(bb) Others	-	-
	(b) Current Accounts	845.68	407.01
	(c) Others (to be specified)	-	-
3	Money at Call and Short Notice	-	-
	(a) With Banks	-	-
	(b) With other Institutions	-	-
4	Others (to be specified)	-	-
	TOTAL	5,346.08	4,657.41
	Balances with non-scheduled banks included in 2 and 3 above		
	CASH & BANK BALANCES		
	In India	5,346.08	4,657.41
	Outside India	-	-

* Cheques on hand amount to Rs. **NIL** Previous Year : Rs. **NIL**.



FORM NL-16A-ADVANCES AND OTHER ASSETS SCHEDULE (FRBs)

(Amount in Rs. Lakhs)

	Particulars	As At 30th September, 2021	As At 30th September, 2020
	ADVANCES		
1	Reserve deposits with ceding companies	-	-
2	Application money for investments	-	-
3	Prepayments	6.24	5.11
4	Advances to Directors/Officers	-	-
5	Advance tax paid and taxes deducted at source (Net of provision for taxation)	1,723.31	1,890.78
6	Others (to be specified)	-	-
	(a) Other Deposits	220.37	220.37
	(b) Advances to Employees	-	-
	(c) Advances recoverable in cash or kind	9.24	7.63
	(d) Unutilized GST Credit	21.53	475.56
	TOTAL (A)	1,980.69	2,599.45
	OTHER ASSETS		
1	Income accrued on investments	802.19	666.43
2	Outstanding Premiums	-	-
	Less : Provisions for doubtful debts	-	-
3	Agents' Balances	-	-
4	Foreign Agencies Balances	-	-
5	Due from other entities carrying on insurance business (including reinsurers)	2,982.44	3,992.69
	Less : Provisions for doubtful debts	-	-
6	Due from subsidiaries/ holding	-	-
7	Current Account of Head Office*	-	-
8	Others (to be specified)	-	-
	TOTAL (B)	3,784.63	4,659.12
	TOTAL (A+B)	5,765.32	7,258.57

Notes:

(a) The items under the above heads shall not be shown net of provisions for doubtful amounts. The amount of provision against each head should be shown separately.

(b) The term 'officer' should conform to the definition of that term as given under the Companies Act.

FORM NL-17A -CURRENT LIABILITIES SCHEDULE (FRBs)
(Amount in Rs. Lakhs)

	Particulars	As At 30th September, 2021	As At 30th September, 2020
1	Agents' Balances	338.11	368.78
2	Balances due to other insurance companies	436.01	737.67
3	Deposits held on re-insurance ceded	-	-
4	Premiums received in advance	-	-
	(a) For Long term policies	-	-
	(b) for Other Policies	-	-
5	Unallocated Premium	-	-
6	Sundry creditors	279.72	405.09
7	Due to subsidiaries/ holding company	-	-
8	Claims Outstanding	19,835.65	10,682.10
9	Due to Officers/ Directors	-	-
10	Current Account of Head Office*	-	-
11	Interest payable on debentures/bonds	-	-
12	GST Liabilities	-	-
13	Head Office Account	879.18	1,532.62
14	Statutory Dues	50.60	335.35
	TOTAL	21,819.27	14,061.61

Note:

(a) Long term policies are policies with more than one year tenure

**FORM NL-18-PROVISIONS SCHEDULE****(Amount in Rs. Lakhs)**

	Particulars	As At 30th September, 2021	As At 30th September, 2020
1	Reserve for Unexpired Risk	5,807.08	5,293.51
2	Reserve for Premium Deficiency	430.95	280.88
3	For taxation (less advance tax paid and taxes deducted at source)	-	-
4	Others (to be specified)	-	-
	For Employee Benefits	-	-
	(a) Bonus	134.18	155.40
	(b) Gratuity	39.92	21.24
	(b) Leave Encashment	51.21	29.23
	TOTAL	6,463.34	5,780.26



FORM NL-19 MISC EXPENDITURE SCHEDULE
(To the extent not written off or adjusted)

(Amount in Rs. Lakhs)

	Particulars	As At 30th September, 2021	As At 30th September, 2020
1	Discount Allowed in issue of shares/ debentures	-	-
2	Others (to be specified)	-	-
	TOTAL	-	-

Notes:

- (a) No item shall be included under the head "Miscellaneous Expenditure" and carried forward unless:
1. some benefit from the expenditure can reasonably be expected to be received in future, and 2. the amount of such benefit is reasonably determinable.
- (b) The amount to be carried forward in respect of any item included under the head "Miscellaneous Expenditure" shall not exceed the expected future revenue/other benefits related to the expenditure.



FORM NL-20-ANALYTICAL RATIOS SCHEUDLE

Name of the Insurer: Allianz Global Corporate & Specialty SE, India Branch

Sl.No.	Particular	Calculation	For the half year ended 30th September 2021	Up to half year ended 30th September 2021	For the half year ended 30th September 2020	Up to half year ended 30th September 2020
1	Gross Direct Premium Growth Rate**	$\frac{GDPI(CY)-GDPI(PY)}{GDPI(PY)}$	1.45%	1.45%	5.39%	5.39%
2	Gross Direct Premium to Net worth Ratio	Shareholder's funds / Net Worth = Share capital+reserve and surplus-Miscellaneous expenditure-debit balance in profit and loss account) Shareholders' funds / Net Worth comprise of Share Capital plus all Reserves and Surplus (except revaluation Reserve and fair value change account) net of accumulated losses and Miscellaneous expenditure to the extent not written off as at the Balance Sheet date	0.83	0.83	0.72	0.72
3	Growth rate of Net Worth	$\frac{(\text{Shareholder's Funds}(CY)-\text{Shareholder's funds}(PY))}{\text{Shareholder's funds}(PY)}$	-12.39%	-12.39%	-2.33%	-2.33%
4	Net Retention Ratio**	Net written premium / (Gross Direct Premium Income + Reinsurance Accepted)	71.27%	71.27%	63.02%	63.02%
5	Net Commission Ratio**	Net Commission / Net written premium	14.91%	14.91%	14.60%	14.60%
6	Expense of Management to Gross Direct Premium Ratio**	(Direct Commission+Operating Expenses) / Gross direct premium	30.88%	30.88%	33.99%	33.99%
7	Expense of Management to Net Written Premium Ratio**	(Net Commission+Operating Expenses) / Net Written Premium	36.72%	36.72%	44.51%	44.51%
8	Net Incurred Claims to Net Earned Premium**	Net Incurred Claims / Net Earned Premium	97.26%	97.26%	70.54%	70.54%
9	Claims paid to claims provisions**	Claim Paid (pertaining to provisions made previously) / claims provision made previously	11.22%	11.22%	36.66%	36.66%
10	Combined Ratio**	(7) +(8)	133.98%	133.98%	115.04%	115.04%
11	Investment income ratio	Investment income / Average Assets under management Investment income = Profit/ Loss on sale/redemption of Investments+Interest, Dividend & Rent – Gross (net of investment expenses) including investment income from pool	3.29%	3.29%	3.45%	3.45%
12	Technical Reserves to net premium ratio **	[(Reserve for unexpired risks+premium deficiency+reserve for outstanding claims(including IBNR and IBNER)] / Net premium written	3.02	3.02	2.16	2.16
13	Underwriting balance ratio	Underwriting results / Net earned premium Underwriting results= Net earned premium-Net incurred claims-Net commission-Operating Expenses (Before adjusting transfer to Profit and loss account as per Section 40C)- Premium Deficiency	(0.49)	(0.49)	(0.38)	(0.38)
14	Operating Profit Ratio	Operating profit / Net Earned premium	(0.37)	(0.37)	(0.27)	(0.27)
15	Liquid Assets to liabilities ratio	Liquid Assets / Policyholders liabilities Liquid Assets = Short term investments+Short term loans+Cash & Bank balances Policyholders liabilities=Outstanding Claims including Incurred But Not Reported (IBNR) & Incurred But Not Enough Reported (IBNER)+ Unearned Premium Reserve+ Premium Deficiency Reserve, if any+ Catastrophe Reserve, if any; and+ Other Liabilities net off Other Assets Other Liabilities in point (e) above, comprise of (i) Premium received in advance (ii) Unallocated premium (iii) Balance due to OTHER Insurance Companies (iv) Due to other Members of a Pool such as Third Party Pool; Terrorism Pool; etc. (vi) Sundry creditors (due to Policyholders). Other Assets in point (e) above, comprise of (i) Outstanding premium (ii) due from other entities carrying on Insurance business including Reinsurers (iii) Balance with Pool such as Thirty Party Pool; Terrorism pool; etc.	41.05%	41.05%	45.77%	45.77%
16	Net earning ratio	Profit after tax / Net Premium written	(0.24)	(0.24)	(0.13)	(0.13)
17	Return on net worth ratio	Profit after tax / Net Worth	-14.04%	-14.04%	-5.74%	-5.74%
18	Available Solvency margin Ratio to Required Solvency Margin Ratio	to be taken from solvency margin reporting	2.26	2.26	2.59	2.59
19	NPA Ratio	to be taken from NPA reporting				
	Gross NPA Ratio				NA	
	Net NPA Ratio					
20	Debt Equity Ratio	(Debt/Equity) Debt=(Borrowings+Redeemable Preference shares, if any) Equity=Shareholders' Funds excluding Redeemable Preference shares, if any			NA	
21	Debt Service Coverage Ratio	(Earnings before Interest and Tax) Interest and Principal Installments Due			NA	
22	Interest Service Coverage Ratio	(Earnings before Interest and Tax) Interest due			NA	
23	Earnings per share	Profit / (loss) after tax / No. of shares			NA	
24	Book value per share	Net worth / No. of shares			NA	

Notes: -

1. Net worth definition to include Head office capital for Reinsurance branch



FORM NL-20-ANALYTICAL RATIOS SCHEUDLE

Name of the Insurer: Allianz Global Corporate & Specialty SE, India Branch

** Segmental Reporting up to the quarter

Segments Upto to the quarter ended on 30th September 2021	Gross Direct Premium Growth Rate**	Net Retention Ratio**	Net Commission Ratio**	Expense of Management to Gross Direct Premium Ratio**	Expense of Management to Net Written Premium Ratio**	Net Incurred Claims to Net Earned Premium**	Claims paid to claims provisions**	Combined Ratio**	Technical Reserves to net premium ratio **	Underwriting balance ratio
FIRE										
Current Period	-9.17%	69.38%	14.77%	28.51%	36.50%	92.39%	18.53%	128.89%	2.61	(49.80)
Previous Period	33.22%	61.77%	15.15%	31.94%	44.95%	0.35%	11.49%	45.31%	1.67	26.15
Marine Cargo										
Current Period	-35.95%	74.41%	17.25%	30.34%	37.80%	-30.80%	89.61%	7.00%	4.87	96.39
Previous Period	-92.03%	91.20%	23.92%	49.27%	52.49%	0.00%	101.07%	52.49%	3.09	26.87
Marine Hull										
Current Period	-100.00%	0.00%	NA	NA	NA	NA	NA	NA	NA	NA
Previous Period	-84.31%	100.00%	NA	29.38%	29.38%	NA	NA	29.38%	0.00	NA
Total Marine										
Current Period	-37.80%	74.41%	17.25%	30.34%	37.80%	-30.80%	89.61%	7.00%	4.87	96.39
Previous Period	-91.91%	91.46%	23.16%	48.70%	51.76%	22.42%	101.07%	74.18%	3.09	26.87
Motor OD										
Current Period	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
Previous Period	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
Motor TP										
Current Period	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
Previous Period	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
Total Motor										
Current Period	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
Previous Period	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
Health										
Current Period	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
Previous Period	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
Personal Accident										
Current Period	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
Previous Period	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
Travel Insurance										
Current Period	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
Previous Period	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
Total Health										
Current Period	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
Previous Period	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
Workmen's Compensation/ Employer's liability										
Current Period	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
Previous Period	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
Public/ Product Liability										
Current Period	-10.92%	63.52%	12.76%	33.23%	35.84%	-14.43%	0.57%	21.42%	3.80	63.97
Previous Period	5.43%	61.70%	12.84%	37.88%	43.30%	214.91%	101.59%	258.21%	3.31	(177.35)
Engineering										
Current Period	84.72%	81.23%	15.15%	35.12%	35.72%	745%	NA	780.85%	7.03	(693.88)
Previous Period	-31.88%	97.07%	11.37%	39.24%	39.96%	41%	NA	81.08%	1.50	10.09
Aviation										
Current Period	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
Previous Period	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
Crop Insurance										
Current Period	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
Previous Period	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
Other segments **										
Current Period	1736%	97.92%	18.75%	38.51%	39.33%	91.92%	0.00%	131.24%	2.27	(29.30)
Previous Period	NA	100.00%	21.23%	28.59%	49.82%	348.23%	412.50%	398.05%	6.34	(277.40)
Total Miscellaneous										
Current Period	27.61%	74.33%	15.09%	34.81%	37.03%	106.13%	0.57%	143.17%	3.63	(50.70)
Previous Period	2.26%	64.87%	12.92%	38.19%	43.14%	203.37%	115.40%	246.51%	3.21	(162.05)
Total-Current Period	1.45%	71.27%	14.91%	30.88%	36.72%	97.26%	11.22%	133.98%	3.02	(48.59)
Total-Previous Period	5.39%	63.02%	14.60%	33.99%	44.51%	70.54%	36.66%	115.04%	2.16	(38.37)



FORM NL-21-RELATED PARTY TRANSACTIONS SCHEDULE
Name of the Insurer: Allianz Global Corporate & Specialty SE, India Branch

For the Half Year Ending 30th September, 2021

PART-A Related Party Transactions				Consideration paid / received* (Rs. in Lakhs)			
Sl.No.	Name of the Related Party	Nature of Relationship with the Company	Description of Transactions / Categories	Consideration paid / received* (Rs. in Lakhs)			
				For the Half Year ending 30th Sept, 2021	Up to the Half year ending 30th Sept, 2021	For the Half Year ending 30th Sept, 2020	Up to the Half year ending 30th Sept, 2020
1	Allianz Global Corporate & Specialty (AGCS) SE	Head Office	Operating Expense	466.82	466.82	481.16	481.16
			Reinsurance Insurance Premium Payable	2,446.28	2,446.28	2,702.93	2,702.93
			Reinsurance Commission Receivable	(491.73)	(491.73)	(601.46)	(601.46)
			Brokerage Payable	-	-	(14.41)	(14.41)
2	Allianz Global Corporate & Specialty SE - SG Branch	Branch Office	Operating Expense	(24.06)	(24.06)	(1,708.82)	(1,708.82)
			Operating Expense	454.26	454.26	514.95	514.95
			Operating Expense	5.62	5.62	17.16	17.16
			Reinsurance Insurance Premium Receivable	(875.59)	(875.59)	(875.57)	(875.57)
3	Allianz Fire and Marine Insurance Japan Limited	Subsidiary of AGCS SE	Reinsurance Commission Payable	90.33	90.33	91.86	91.86
			Reinsurance Insurance Premium Payable	0.03	0.03	788.92	788.92
			Claim Receivable	(380.88)	(380.88)	(115.58)	(115.58)
			Reinsurance Commission Receivable	(0.00)	(0.00)	(74.47)	(74.47)
4	Allianz Global Risk US Insurance Company	Subsidiary of AGCS SE	Operating Expense	55.71	55.71	64.25	64.25
			Reinsurance Insurance Premium Receivable	(56.42)	(56.42)	(653.01)	(653.01)
			Reinsurance Commission Payable	16.52	16.52	95.03	95.03
			Reinsurance Insurance Premium Payable	4.88	4.88	(77.70)	(77.70)
5	Firemans Fund Insurance Company Chicago	Subsidiary of AGCS SE	Claim Receivable	(24.94)	(24.94)	(54.24)	(54.24)
			Reinsurance Commission Receivable	(1.85)	(1.85)	3.01	3.01
			Operating Expense	(0.58)	(0.58)	3.71	3.71
			Operating Expense	20.06	20.06	3.71	3.71
6	Allianz ART SG, Switzerland	Subsidiary of AGCS SE	Operating Expense	8.67	8.67	14.82	14.82
			Operating Expense	20.78	20.78	70.75	70.75
			Operating Expense	-	-	17.83	17.83
			Operating Expense	0.34	0.34	4.98	4.98
7	Allianz Technology SE, Singapore Branch	Subsidiary of Allianz SE	Operating Expense	18.42	18.42	18.74	18.74
			Operating Expense	(163.68)	(163.68)	(182.19)	(182.19)
			Reinsurance Commission Payable	17.46	17.46	19.87	19.87
			Reinsurance Insurance Premium Receivable	(18.13)	(18.13)	(7.88)	(7.88)
8	Allianz Technology SE, India Branch	Subsidiary of Allianz SE	Reinsurance Commission Payable	1.99	1.99	2.88	2.88
			Reinsurance Insurance Premium Receivable	(103.57)	(103.57)	-	-
			Reinsurance Commission Payable	6.17	6.17	-	-
			Reinsurance Insurance Premium Receivable	(9.41)	(9.41)	(17.06)	(17.06)
9	Allianz Insurance Company of Egypt	Subsidiary of Allianz SE	Reinsurance Commission Payable	2.96	2.96	2.41	2.41
			Reinsurance Insurance Premium Receivable	(4.38)	(4.38)	(7.89)	(7.89)
			Reinsurance Commission Payable	(0.85)	(0.85)	3.50	3.50
			Reinsurance Insurance Premium Receivable	(3.03)	(3.03)	0.00	0.00
10	Allianz Jindong General Insurance Company Ltd.	Subsidiary of AGCS SE	Reinsurance Commission Payable	0.67	0.67	(0.00)	(0.00)
			Reinsurance Insurance Premium Receivable	(16.51)	(16.51)	(8.71)	(8.71)
			Reinsurance Commission Payable	3.21	3.21	3.21	3.21
			Reinsurance Insurance Premium Receivable	(24.04)	(24.04)	(13.50)	(13.50)
11	Allianz Mexico S.A. Compania de Seguros	Subsidiary of AGCS SE	Reinsurance Commission Payable	4.33	4.33	2.46	2.46
			Reinsurance Insurance Premium Receivable	(0.62)	(0.62)	(4.88)	(4.88)
			Reinsurance Commission Payable	(65.99)	(65.99)	(49.00)	(49.00)
			Reinsurance Insurance Premium Receivable	5.47	5.47	4.88	4.88
12	Allianz SE General Reinsurance Branch Labuan	Subsidiary of Allianz SE	Reinsurance Insurance Premium Receivable	(5.76)	(5.76)	(1.82)	(1.82)
			Reinsurance Commission Payable	2.46	2.46	0.72	0.72
			Reinsurance Insurance Premium Receivable	(31.58)	(31.58)	-	-
			Reinsurance Commission Payable	4.84	4.84	-	-
13	Allianz Sigorta A.S.	Subsidiary of AGCS SE	Reinsurance Insurance Premium Receivable	(6.74)	(6.74)	-	-
			Reinsurance Commission Payable	2.09	2.09	-	-
			Reinsurance Insurance Premium Receivable	(55.30)	(55.30)	(48.36)	(48.36)
			Reinsurance Commission Payable	6.13	6.13	3.54	3.54
14	JSC IC Allianz	Subsidiary of AGCS SE	Reinsurance Insurance Premium Receivable	(1.33)	(1.33)	-	-
			Reinsurance Commission Payable	0.58	0.58	-	-
			Reinsurance Insurance Premium Receivable	-	-	(1.86)	(1.86)
			Reinsurance Commission Payable	-	-	0.82	0.82
15	PT Asuransi Allianz Utama	Subsidiary of AGCS SE	Reinsurance Insurance Premium Receivable	129.42	129.42	122.29	122.29
			Reinsurance Commission Payable	-	-	-	-
			Reinsurance Insurance Premium Receivable	-	-	-	-
			Reinsurance Commission Payable	-	-	-	-
16	Allianz Insurance Lanka Limited	Subsidiary of AGCS SE	Remuneration for the period	129.42	129.42	122.29	122.29
			Reinsurance Commission Payable	-	-	-	-
			Reinsurance Insurance Premium Receivable	-	-	-	-
			Reinsurance Commission Payable	-	-	-	-
17	Key Managerial Personnel	KMP	Remuneration for the period	129.42	129.42	122.29	122.29
			Reinsurance Commission Payable	-	-	-	-
			Reinsurance Insurance Premium Receivable	-	-	-	-
			Reinsurance Commission Payable	-	-	-	-

PART-B Related Party Transaction Balances - As at the end of the Half Year 30th September, 2021

Sl.No.	Name of the Related Party	Nature of Relationship with the Company	Amount of Outstanding Balances including Commitments (Rs. in Lakhs)	Whether Payable / Receivable	Whether Secured? If so, Nature of consideration to be provided at the time of settlement	Details of any Guarantees given or received	Balance under Provision for doubtful debts relating to the outstanding balance receivable (Rs. in Lakhs)	Expenses recognised up to the quarter end during the year in respect of bad or doubtful debts due from the related party (Rs. in Lakhs)
1	Allianz Global Corporate & Specialty (AGCS) SE	Head Office	695.68	Payable	NA	NA	-	-
2	Allianz Global Corporate & Specialty SE - SG Branch	Branch Office	183.50	Payable	NA	NA	-	-
3	Allianz Fire and Marine Insurance Japan Limited	Subsidiary of AGCS SE	3.46	Payable	NA	NA	-	-
4	Allianz Global Risk US Insurance Company	Subsidiary of AGCS SE	18.83	Payable	NA	NA	-	-
5	Firemans Fund Insurance Company Chicago	Subsidiary of AGCS SE	(0.58)	Receivable	NA	NA	-	-
6	Allianz ART SG, Switzerland	Subsidiary of AGCS SE	29.80	Payable	NA	NA	-	-
7	Allianz Technology SE, Singapore Branch	Subsidiary of Allianz SE	27.59	Payable	NA	NA	-	-
8	Allianz Technology SE, Germany	Subsidiary of Allianz SE	37.59	Payable	NA	NA	-	-
9	Allianz Technology SE, Trivandrum	Subsidiary of Allianz SE	(0.11)	Receivable	NA	NA	-	-
10	Allianz Services Pvt Limited Trivandrum	Subsidiary of Allianz SE	17.98	Payable	NA	NA	-	-
11	Allianz Argentina Compania de Seguros S.A.	Subsidiary of Allianz SE	(7.79)	Receivable	NA	NA	-	-
12	Allianz Avudhya General Insurance PCL	Subsidiary of AGCS SE	(7.30)	Receivable	NA	NA	-	-
13	Allianz Fire and Marine Insurance Japan Limited	Subsidiary of AGCS SE	(685.30)	Receivable	NA	NA	-	-
14	Allianz Global Risk US Insurance Company	Subsidiary of AGCS SE	(15.39)	Receivable	NA	NA	-	-
15	Allianz Insurance Company of Egypt	Subsidiary of AGCS SE	(7.53)	Receivable	NA	NA	-	-
16	Allianz Insurance Lanka Limited	Subsidiary of AGCS SE	(0.88)	Receivable	NA	NA	-	-
17	Allianz Jindong General Insurance Company Ltd.	Subsidiary of AGCS SE	(7.58)	Receivable	NA	NA	-	-
18	Allianz Allianz	Subsidiary of AGCS SE	(13.30)	Receivable	NA	NA	-	-
19	Allianz Mexico S.A. Compania de Seguros	Subsidiary of AGCS SE	(7.66)	Receivable	NA	NA	-	-
20	Allianz Saudi Fransi Cooperative Ins. Co.	Subsidiary of Allianz SE	(0.62)	Receivable	NA	NA	-	-
21	Allianz SE General Reinsurance Branch Labuan	Subsidiary of Allianz SE	(10.25)	Receivable	NA	NA	-	-
22	Allianz Seguros S.A.	Subsidiary of AGCS SE	(4.80)	Receivable	NA	NA	-	-
23	Allianz Ukraine LLC	Subsidiary of Allianz SE	(4.82)	Receivable	NA	NA	-	-
24	JSC IC Allianz	Subsidiary of AGCS SE	(49.25)	Receivable	NA	NA	-	-
25	PT Asuransi Allianz Utama	Subsidiary of AGCS SE	(7.12)	Receivable	NA	NA	-	-
26	Allianz Australia Insurance Limited	Subsidiary of AGCS SE	10.24	Payable	NA	NA	-	-
27	Allianz Global Corporate & Specialty SA Ltd	Subsidiary of AGCS SE	95.83	Payable	NA	NA	-	-
28	Allianz Insurance Company of Egypt	Subsidiary of Allianz SE	2.56	Payable	NA	NA	-	-

FORM NL-23 - SOLVENCY MARGIN (FORM IRDAI-GI-TA)
STATEMENT OF ADMISSIBLE ASSETS :
As At 30th September, 2021
Name of Insurer: ALLIANZ GLOBAL CORPORATE AND SPECIALTY SE, INDIA BRANCH
Registration No.: FRB/010
Date of Registration: 06 AUGUST, 2018
Classification: Business within India / Total Business

(All amounts in Rupees of Lakhs)				
Item No.	Particulars	Policyholders A/c.	Shareholders A/c.	Total
	Investments:			
	Shareholders as per NL-12 of BS	23,719	7,906	31,625
	Policyholders as per NL-12 A of BS	-	-	-
(A)	Total Investments as per BS	23,719	7,906	31,625
(B)	Inadmissible Investment assets as per Clause (1) of Schedule I of regulation	-	-	-
(C)	Fixed assets as per BS	-	79	79
(D)	Inadmissible Fixed assets as per Clause (1) of Schedule I of regulation	-	24	24
(E)	Furniture, fixtures, dead stock and stationery	-	11	11
(F)	Leasehold improvements	-	13	13
	Current Assets:			
(E)	Cash & Bank Balances as per BS	-	5,346	5,346
(F)	Advances and Other assets as per BS	2,982	2,783	5,765
(G)	Total Current Assets as per BS...(E)+(F)	2,982	8,129	11,111
(H)	Inadmissible current assets as per Clause (1) of Schedule I of regulation	152	-	152
(I)	Balances of Indian Reinsurers and Foreign Reinsurers having Branches in India outstanding for more than 180 days;	4	-	4
(J)	Other Reinsurer's balances outstanding for more than 180 days;	148	-	148
(K)	Loans as per BS	-	-	-
(L)	Fair value change account subject to minimum of zero	-	-	-
(M)	Total Assets as per BS (excl. current liabilities and provisions)...(A)+(C)+(G)+(I)	26,701	16,114	42,815
(N)	Total Inadmissible assets...(B)+(D)+(H)+(J)	152	24	176
(O)	Total Admissible assets for Solvency (excl. current liabilities and provisions)...(K)-(L)	26,549	16,090	42,639

(All amounts in Rupees of Lakhs)				
Item No.	Inadmissible Investment assets (Item wise Details)	Policyholders A/c.	Shareholders A/c.	Total
	Inadmissible Investment assets as per Clause (1) of Schedule I of regulation	-	-	-
	Inadmissible Fixed assets			
	(a) Furniture, fixtures, dead stock and stationery	-	11	11
	(b) Leasehold improvements	-	13	13
	Inadmissible current assets			
	(a) Balances of Indian Reinsurers and Foreign Reinsurers having Branches in India outstanding for more than 180 days;	4	-	4
	(b) Other Reinsurer's balances outstanding for more than 180 days;	148	-	148

Note: The form is prepared as per prescribed IRDAI Solvency Regulations as amended from time to time.



FORM NL-24 - SOLVENCY MARGIN (FORM IRDAI-GI-TR)

STATEMENT OF LIABILITIES :
As At 30th September, 2021

(All amounts in Rupees of Lakhs)

Item No.	Reserve	Current Year	
		Gross Reserve	Net Reserve
(a)	Unearned Premium Reserve (UPR)	8,355	5,807
(b)	Premium Deficiency Reserve (PDR)	943	431
(c)	Unexpired Risk Reserve (URR)...(a)+(b)	9,298	6,238
(d)	Outstanding Claim Reserve (other than IBNR reserve)	17,238	10,481
(e)	IBNR reserve	18,524	9,355
(f)	Total Reserves for Technical Liabilities...(c)+(d)+(e)	45,060	26,074

Note: The form is prepared as per prescribed IRDAI Solvency Regulations as amended from time to time.



FORM NL-25 - SOLVENCY MARGIN (TABLE IA)

Name of Insurer: ALLIANZ GLOBAL CORPORATE AND SPECIALTY SE, INDIA BRANCH

Registration No.: FRB/010

Date of Registration: 06 AUGUST, 2018

Classification: Business within India / Total Business

TABLE IA: REQUIRED SOLVENCY MARGIN BASED ON NET PREMIUM AND NET INCURRED CLAIMS as on 30th September, 2021

(All amounts in Rupees of Lakhs)

Item No.	Line of Business	Gross Premiums	Net Premiums	Gross Incurred Claims	Net Incurred Claims	RSM 1	RSM 2	RSM
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)
1	Fire	8,907	6,970	6,426	5,453	1,394	1,636	1,636
2	Marine Cargo	161	134	639	190	27	115	115
3	Marine - Other than Marine Cargo	-	-	-	-	-	-	-
4	Motor	-	-	-	-	-	-	-
5	Engineering	674	572	1,578	1,787	114	536	536
6	Aviation	-	-	7	-	-	1	1
7	Liability	5,187	2,462	6,702	2,070	778	1,508	1,508
8	Health	-	-	-	-	-	-	-
9	Miscellaneous	2,312	2,279	2,121	2,083	456	625	625
10	Crop	-	-	-	-	-	-	-
	Total	17,241	12,417	17,473	11,583	2,769	4,421	4,421

Note: The form is prepared as per prescribed IRDAI Solvency Regulations as amended from time to time.



FORM NL-26 - SOLVENCY MARGIN (TABLE IB)

Name of Insurer: ALLIANZ GLOBAL CORPORATE AND SPECIALTY SE, INDIA BRANCH

Registration No.: FRB/010

Date of Registration: 06 AUGUST, 2018

Classification: Business within India / Total Business

(All amounts in Rupees of Lakhs)

(1) ITEM NO.	(2) DESCRIPTION	(3) AMOUNT
(A)	Policyholder's FUNDS	
	Available assets(as per Form IRDAI-GI-TA)	26,549
	Deduct:	
(B)	Current Liabilities as per BS	26,074
(C)	Provisions as per BS	-
(D)	Other Liabilities	436
(E)	Excess in Policyholder's funds (A)-(B)-(C)-(D)	39
	Shareholder's FUNDS	
(F)	Available Assets	16,090
	Deduct:	
(G)	Other Liabilities	1,773
(H)	Excess in Shareholder's funds (F-G)	14,317
(I)	Total ASM (E+H)	14,355
(J)	Total RSM	6,350
(K)	SOLVENCY RATIO (Total ASM/ Total RSM)	2.26

Note: The form is prepared as per prescribed IRDAI Solvency Regulations as amended from time to time.

FORM NL-28-STATEMENT OF INVESTMENT ASSETS AND STATEMENT OF ACCRETION OF ASSETS

PART - A

Name of Insurer: ALLIANZ GLOBAL CORPORATE AND SPECIALTY SE, INDIA BRANCH
 Registration No.: FRB/010
 Statement as on: 30th September, 2021
 Statement of Investment Assets (General Insurer including an insurer carrying on business of re-insurance or health insurance)
 (Business within India)
 Periodicity of Submission: Quarterly

(Rs. in Lakhs)				
Section I	No	PARTICULARS	AMOUNT	
1	8	Investments (Shareholders)	7,906.18	
	8A	Investments (Policyholders)	23,718.56	
	9	Loans	-	
	10	Fixed Assets	78.92	
	4 Current Assets			
	11	a. Cash & Bank Balance	5,346.08	
	12	b. Advances & Other Assets	5,765.32	
	5 Current Liabilities			
	13	a. Current Liabilities	21,819.27	
	14	b. Provisions	6,463.34	
	15	c. Misc. Exp not Written Off	-	
		d. Debit Balance of P&L A/c	5,491.28	
Application of Funds as per Balance Sheet (A)			76,588.95	
Less: Other Assets				
			SCH ++	
			Amount	
1	9	Loans (if any)	-	
2	10	Fixed Assets (if any)	78.92	
3	11	Cash & Bank Balance (if any)	5,346.08	
4	12	Advances & Other Assets (if any)	5,765.32	
5	13	Current Liabilities	21,819.27	
6	14	Provisions	6,463.34	
7	15	Misc. Exp not Written Off	-	
8		Investments held outside India	-	
9		Debit Balance of P&L A/c	5,491.28	
Total (B)			44,964.21	
'Investment Assets'			(A-B) 31,624.74	

(Rs. in Lakhs)											
Section II	No	'Investment' represented as	Reg. %	SH		PH	Book Value (SH + PH)	% Actual	FVC Amount	Total (g)=(d+f)	Market Value (h)
				Balance	FRSM*						
				(a)	(b)						
1	Central Govt. Securities	Not less than 20%	-	3,278.83	9,836.50	13,115.33	41.47	-	13,115.33	13,443.39	
2	Central Govt Sec, State Govt Sec or Other Approved Securities (incl (1) above)	Not less than 30%	-	4,746.24	14,238.74	18,984.98	60.03	-	18,984.98	19,426.54	
3	Investment subject to Exposure Norms										
	a. Housing / Infra & Loans to SG for Housing and FFE										
	1. Approved Investments	Not less than 15%	-	2,278.68	6,836.04	9,114.72	28.82	-	9,114.72	9,381.61	
	2. Other Investments		-	-	-	-	-	-	-	-	
	b. Approved Investments	Not exceeding 55%	-	881.26	2,643.78	3,525.04	11.15	-	3,525.04	3,633.41	
	c. Other Investments		-	-	-	-	-	-	-	-	
Investment Assets			100%	7,906.18	23,718.56	31,624.74	100.00	-	31,624.74	32,441.56	

- Note:
- (+) FRSM refers 'Funds representing Solvency Margin'
 - Other Investments' are as permitted under 27A(2)
 - Pattern of Investment is applicable to both Shareholders funds representing solvency margin and policyholders funds.
 - Exposure Norms shall apply to Funds held beyond Solvency Margin, held in a separate Custody Account
 - SCH (++) refers to Schedules to Balance Sheet, prepared as per IRDAI (Preparation of Fin. Stmt and Auditors' Report of Ins Companies) Regulations
 - Investment Regulations, as amended from time to time, to be referred

PART - B

Name of the Insurer: ALLIANZ GLOBAL CORPORATE AND SPECIALTY SE, INDIA BRANCH
 Registration Number: FRB/010
 Statement as on: 30th September 2021
 Statement of Accretion of Assets
 (Business within India)
 Periodicity of Submission : Quarterly

(Rs. Lakhs)									
No	Category of Investments	COI	Opening Balance	% to Opening Balance	Net Accretion for the Qtr.	% to Total Accrual	TOTAL	% to Total	
			(A)		(B)		(A+B)		
1	Central Govt. Securities	CGSB	12,070.27	46.48	1,045.06	18.49	13,115.33	41.47	
2	Central Govt Sec, State Govt Sec or Other Approved Sec	CGSB	15,598.05	60.06	3,386.92	59.91	18,984.97	60.03	
3	Investment subject to Exposure Norms								
	a. Housing & Loans to SG for Housing and FFE								
	1. Approved Investments	HTHD	999.73	3.85	0.10	0.00	999.83	3.16	
	1. Approved Investments	HTDA	2,026.77	7.80	493.81	8.73	2,520.58	7.97	
	2. Other Investments								
	b. Infrastructure Investments								
	1. Approved Investments	IPTD	4,837.35	18.63	756.96	13.39	5,594.31	17.69	
	2. Other Investments								
	c. Approved Investments	EPBT	2,509.36	9.66	1,015.67	17.97	3,525.04	11.15	
	d. Other Investments (not exceeding 15%)								
Total			25,971.27	100.00	5,653.47	100.00	31,624.73	100.00	

- Note:
- Total (A+B), fund wise should tally with figures shown in Form 3B (Part A)
 - Investment Regulations, as amended from time to time, to be referred



FORM NL-29-DETAIL REGARDING DEBT SECURITIES

Name of Insurer: ALLIANZ GLOBAL CORPORATE AND SPECIALTY SE, INDIA BRANCH

(Amount in Rs. Lakhs)

Detail Regarding debt securities								
	MARKET VALUE				Book Value			
	As at 30th September 2021	as % of total for this class	as at 30th September 2020	as % of total for this class	As at 30th September 2021	as % of total for this class	as at 30th September 2020	as % of total for this class
Break down by credit rating								
AAA rated	14,025.63	43.23%	10,862.27	42.90%	13,650.36	43.16%	10,399.27	42.59%
AA or better	-	-	-	-	-	-	-	-
Rated below AA but above A	-	-	-	-	-	-	-	-
Rated below A but above B	-	-	-	-	-	-	-	-
Any other (Government Securities)	18,415.92	56.77%	14,458.98	57.10%	17,974.37	56.84%	14,015.78	57.41%
Total (A)	32,441.56	100.00%	25,321.25	100.00%	31,624.73	100.00%	24,415.05	100.00%
BREAKDOWN BY RESIDUALMATURITY								
Up to 1 year	4,398.42	13.56%	1,314.51	5.19%	4,311.52	13.63%	1,293.77	5.30%
more than 1 year and upto 3years	10,185.53	31.40%	12,697.81	50.15%	9,883.96	31.25%	12,223.14	50.06%
More than 3years and up to 7years	10,190.87	31.41%	8,632.43	34.09%	9,898.21	31.30%	8,316.27	34.06%
More than 7 years and up to 10 years	6,597.73	20.34%	1,585.86	6.26%	6,478.57	20.49%	1,526.91	6.25%
above 10 years	1,069.00	3.30%	1,090.64	4.31%	1,052.47	3.33%	1,054.96	4.32%
Any other (Please specify)	-	0.00%	-	0.00%	-	0.00%	-	0.00%
Total (B)	32,441.56	100.00%	25,321.25	100.00%	31,624.73	100.00%	24,415.05	100.00%
Breakdown by type of the issuer								
a. Central Government	13,443.39	41.44%	10,815.54	42.71%	13,115.33	41.47%	10,458.22	42.84%
b. State Government	5,983.14	18.44%	3,643.44	14.39%	5,869.64	18.56%	3,557.56	14.57%
c. Corporate Securities	13,015.02	40.12%	10,862.27	42.90%	12,639.76	39.97%	10,399.27	42.59%
Any other (Please specify)	-	0.00%	-	0.00%	-	0.00%	-	0.00%
Total (C)	32,441.56	100.00%	25,321.25	100.00%	31,624.73	100.00%	24,415.05	100.00%

Note

- (a). In case of a debt instrument is rated by more than one agency, then the lowest rating will be taken for the purpose of classification.
- (b). Market value of the securities will be in accordance with the valuation method specified by the Authority under Accounting/ Investment regulations.
- (c). **Total A, B and C should match with each other and with debt securities reported under NL-12 and 12A (Investments). Other Debt Securities to be reported separately under the prescribed categories under line item "Any other (Please specify)"**

FORM NL-30 -DETAILS OF NON-PERFORMING ASSETS
Name of Insurer: ALLIANZ GLOBAL CORPORATE AND SPECIALTY SE, INDIA BRANCH

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Registration No.: FRB/010

Name of the Fund:General Insurance

(Amount in Rs. Lakhs)

NO	PARTICULARS	Bonds / Debentures		Loans		Other Debt instruments		All Other Assets		TOTAL	
		YTD (As on 30 Sept 2021)	Prev. FY (As on 31 Mar 2021)	YTD (As on 30 Sept 2021)	Prev. FY (As on 31 Mar 2021)	YTD (As on 30 Sept 2021)	Prev. FY (As on 31 Mar 2021)	YTD (As on 30 Sept 2021)	Prev. FY (As on 31 Mar 2021)	YTD (As on 30 Sept 2021)	Prev. FY (As on 31 Mar 2021)
1	Investments Assets	12,639.76	10,382.29	-	-	-	-	18,984.97	15,527.43	31,624.73	25,909.72
2	Gross NPA	-	-	-	-	-	-	-	-	-	-
3	% of Gross NPA on Investment Assets (2/1)	-	-	-	-	-	-	-	-	-	-
4	Provision made on NPA	-	-	-	-	-	-	-	-	-	-
5	Provision as a % of NPA (4/2)	-	-	-	-	-	-	-	-	-	-
6	Provision on Standard Assets	-	-	-	-	-	-	-	-	-	-
7	Net Investment Assets (1-4)	12,639.76	10,382.29					18,984.97	15,527.43	31,624.73	25,909.72
8	Net NPA (2-4)	-	-	-	-	-	-	-	-	-	-
9	% of Net NPA to Net Investment Assets (8/7)	-	-	-	-	-	-	-	-	-	-
10	Write off made during the period	-	-	-	-	-	-	-	-	-	-

Note:

- The above statement, in the case of 'Life' Insurers shall be prepared 'fund-wise' Viz. Life Fund, Pension & Group Fund, ULIP Fund and at Assets Under Management level also.
- Total Investment Assets should reconcile with figures shown in other relevant forms
- Gross NPA is investments classified as NPA, before any provisions
- Provision made on the 'Standard Assets' shall be as per Circular issued, as amended from time to time.
- Net Investment assets is net of 'provisions'
- Net NPA is gross NPAs less provisions
- Write off as approved by the Board
- Investment Regulations, as amended from time to time, to be referred



FORM NL-31-STATEMENT OF INVESTMENT AND INCOME ON INVESTMENT

Name of Insurer: ALLIANZ GLOBAL CORPORATE AND SPECIALTY SE, INDIA BRANCH

Registration No.: FRB/010

Statement as on: 30th September, 2021

Name of the Fund - General Insurance

Statement of Investment and Income on Investment

Periodicity of Submission: Quarterly

(Amount in Rs. Lakhs)

No.	Category of Investment	Category Code	Current Quarter				Year to Date (current year)				Year to Date (previous year) ³			
			Investment (Rs.) ¹	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.) ¹	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²	Investment (Rs.) ¹	Income on Investment (Rs.)	Gross Yield (%) ¹	Net Yield (%) ²
1	CENTRAL GOVERNMENT BONDS	CGSB	12,855.04	207.36	1.61	0.91	12,313.26	397.52	3.23	1.82	10,612.00	344	3.24	1.82
5	STATE GOVERNMENT BONDS	SGGB	4,383.70	73.34	1.67	0.94	3,960.55	132.31	3.34	1.88	3,567.00	119	3.34	1.88
7	OTHER APPROVED SECURITIES (EXCLUDING INFRASTRUCTURE INVESTMENTS)	SGOA	1,010.66	2.80	0.28	0.16	1,010.66	2.80	0.28	0.16	-	0	-	-
20	BONDS / DEBENTURES ISSUED BY HUDCO	HTHD	999.75	17.22	1.72	0.97	999.77	33.97	3.40	1.91	1,000.00	34	3.40	1.91
22	BONDS / DEBENTURES ISSUED BY AUTHORITY CONSTITUTED UNDER ANY HOUSING / BUILDING SCHEME APPROVED BY CENTRAL / STATE / ANY AUTHORITY OR BODY CONSTITUTED BY CENTRAL / STATE ACT	HTDA	2,078.25	37.88	1.82	1.03	2,053.81	75.86	3.69	2.08	2,272.00	87	3.83	2.16
33	INFRASTRUCTURE - PSU - DEBENTURES / BONDS	IPTD	4,926.68	88.94	1.81	1.02	4,882.39	174.61	3.58	2.01	5,110.00	184	3.60	2.03
67	CORPORATE SECURITIES - BONDS - (TAXABLE)	EPBT	2,551.19	49.21	1.93	1.09	2,531.36	96.80	3.82	2.15	2,521.00	97	3.85	2.17
	TOTAL		28,805.27	476.74	1.66	0.93	27,751.81	913.88	3.29	1.85	25,082.00	865.00	3.45	1.94

Note: Category of Investment (COI) shall be as per Guidelines, as amended from time to time

1 Based on daily simple Average of Investments

2 Yield netted for Tax

3 In the previous year column, the figures of the corresponding Year to date of the previous financial year shall be shown

4 FORM shall be prepared in respect of each fund.

5 YTD Income on investment shall be reconciled with figures in P&L and Revenue account

6 Investment Regulations, as amended from time to time, to be referred



FORM NL-32-STATEMENT OF DOWN GRADED INVESTMENTS

Registration No.: FRB/010

Statement as on: 30th September, 2021

Name of Fund General Insurance

Statement of Down Graded Investments

Periodicity of Submission: Quarterly

(Amount in Rs. Lakhs)

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of last Downgrade	Remarks
A.	During the Quarter ¹								
B.	As on Date ²								

NIL

Note:

- 1 Provide details of Down Graded Investments during the Quarter.
- 2 Investments currently upgraded, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.
- 3 FORM shall be prepared in respect of each fund.
- 4 Category of Investment (COI) shall be as per Guidelines issued by the Authority
- 5 Investment Regulations, as amended from time to time, to be referred



FORM NL-33- REINSURANCE/RETROCESSION RISK CONCENTRATION

Name of Insurer: ALLIANZ GLOBAL CORPORATE AND SPECIALTY SE, INDIA BRANCH
Registration No.: FRB/010

(Amount in Rs. Lakhs)

S.No.	Reinsurance/Retrocession Placements	No. of reinsurers	Premium ceded to reinsurers (Upto the Quarter)			Premium ceded to reinsurers / Total reinsurance premium ceded (%)
			Proportional	Non-Proportional	Facultative	
Outside India						
1	No. of Reinsurers with rating of AAA and above	-	-	-	-	0%
2	No. of Reinsurers with rating AA but less than AAA	3	1,595.93	850.35	4.90	70.52%
3	No. of Reinsurers with rating A but less than AA	8	-	-	817.53	23.52%
4	No. of Reinsurers with rating BBB but less than A	-	-	-	-	0%
5	No. of Reinsurers with rating less than BBB	-	-	-	-	0%
	Total (A)	11	1,595.93	850.35	822.43	94.04%
With In India						
1	Indian Insurance Companies	-	-	-	-	0%
2	FRBs	1	-	-	207.24	5.96%
3	GIC Re	-	-	-	-	0%
4	Other (to be Specified)	-	-	-	-	0%
	Total (B)	1	-	-	207.24	5.96%
	Grand Total (C)= (A)+(B)		1,595.93	850.35	1,029.67	100%

Note:-

- (a) The total of Premium ceded to reinsurers (Proportional, Non-Proportional and Facultative is consistent with all relevant NL forms; The aforementioned Business figures are matching with all relevant NL forms. In case of difference, pl give reasons
- (b) Figures are to be provided upto the quarter



FORM NL-41 OFFICES INFORMATION

Name of Insurer: **ALLIANZ GLOBAL CORPORATE AND SPECIALTY SE, INDIA BRANCH**

As at: **30th September 2021**

Sl. No.	Office Information	Number
1	No. of offices at the beginning of the year	1
2	No. of branches approved during the year	0
3	No. of branches opened during the year	0
4	Out of approvals of previous year	0
	Out of approvals of this year	0
5	No. of branches closed during the year	0
6	No of branches at the end of the year	1
7	No. of branches approved but not opened	0
8	No. of rural branches	0
9	No. of urban branches	1
10	<u>No. of Directors:-</u> (a) Independent Director (b) Executive Director (c) Non-executive Director (d) Women Director (e) Whole time director	
11	<u>No. of Employees</u> (a) On-roll: (b) Off-roll: (c) Total	22 0 22
12	<u>No. of Insurance Agents and Intermediaries</u> (a) Individual Agents, (b) Corporate Agents-Banks (c) Corporate Agents-Others (d) Insurance Brokers (e) Web Aggregators (f) Insurance Marketing Firm (g) Motor Insurance Service Providers (DIRECT) (h) Point of Sales persons (DIRECT) (i) Other as allowed by IRDAI (To be specified)	NA

Employees and Insurance Agents and Intermediaries -Movement

Particulars	Employees	Insurance Agents and Intermediaries
Number at the beginning of the quarter	19	NA
Recruitments during the quarter	3	NA
Attrition during the quarter	0	NA
Number at the end of the quarter	22	NA



FORM N BOARD OF DIRECTORS & KEY MANAGEMENT PERSONS

Allianz Global Corporate & Specialty SE, India Branch Date: 30th September, 2021

Board of Directors and Key Management Persons				
Sl. No.	Name of person	Designation	Role /Category	Details of change in the period, if any
1	Chalat Balaraman Murali	Chief Executive Officer	Chief Executive Officer	No change
2	Piyush Patwa	Chief Financial Officer	Chief Financial Officer	No change
3	Abhijit Datar	Chief Underwriting Officer	Chief Underwriting Officer	No change

Notes:-

(a) "Key Management Person" as defined under Annexure 4 (Guidelines on appointment and reporting of Key Management Persons) of Corporate Governance Guidelines for Insurers in India 2016

b) In case of directors, designation to include "Independent Director / Non-executive Director / Executive Director / Managing Director/Chairman"



Form NL-46-VOTING ACTIVITY DISCLOSURE UNDER STEWARDSHIP CODE

Name of Insurer: ALLIANZ GLOBAL CORPORATE AND SPECIALTY SE, INDIA BRANCH

Date: 28/12/2021

For the half year ending: 30th September 2021

Meeting Date	Investee Company Name	Type of Meeting (AGM / EGM)	Proposal of Management / Shareholders	Description of the proposal	Management Recommendation	Vote (For / Against/ Abstain)	Reason supporting the vote decision
NIL							